

# YOUNG LIVES ROUND 4 SURVEY ETHIOPIA OCTOBER 2013 TO MARCH 2014

# HOUSEHOLD QUESTIONNAIRE OLDER COHORT





Young Lives is funded by UK aid from the Department for International Development (DFID)

www.younglives.org.uk

# HOUSEHOLD QUESTIONNAIRE OLDER COHORT ROUND 4 ETHIOPIA

## **Household Roster**

**Data Handlers Codebox Index** Section 0 **Household Roster** Section 1 **Household Education** Sub-section 1.1 Household Education Section 2 **Livelihoods and Asset Framework** Sub-section 2.1 Land and Crop Agriculture Sub-section 2.2 **Productive Assets** Sub-section 2.3 Relative importance of income **Household Food and Non-food Consumption and Expenditure** Section 3 Sub-section 3.1 Food Consumption and Expenditure Sub-section 3.2 Non-Food Expenditure **Social Capital** Section 4 Sub-section 4.1. Support Networks Section 5 **Economic Changes and Recent Life History** Sub-section 5.1. **Economic Changes** Sub-section 5.2. Shocks

Section 6 Socio-Economic Status Section 7 Public programs

Sub-section 7.1. General access to public programs

Sub-section 7.2. Health extension program

Sub-section 7.3. Productive Safety Net Program (PSNP)

Sub-section 7.4. Credit support Program

**Date and Time of Completion of Interview** 

## Codeboxes

## **Codeboxes Index**

Codebox #0: Relationship to YL Child

Codebox #1: Relationship to household head

Codebox #2: Marital status

Codebox #3: Highest grade completed

Codebox #4: Reasons for not attending school

Codebox #5: Local units for plot size

Codebox #6: Main use of agricultural land

Codebox #7: Main use of non-agricultural land: Living Accomodation

Codebox #8: Main use of non-agricultural land: Business and others

Codebox #9: Crops

Codebox # 15: Occupation productive assets

Codebox # 16: Occupation productive assets

Codebox # 17: Productive assets

Codebox # 30: Why situation changed

Codebox #31: Response to event

Codebox #32: Wall material

Codebox #33: Roof material

Codebox #34: Floor material

Codebox #35: Source of drinking water

Codebox #36: Toilet facility

Codebox #37: Fuel for cooking

Codebox #38: Fuel for heating

Codebox #39: Dwelling improvement

Codebox # 45: Type of support/ assistance

Codebox # 46: NGOs and Gos

Codebox #47: Frequency of support

Codebox #48: Perceived impact on CHILD wellbeing

Codebox #49: Source of credit

Codebox #50: Purpose of credit

Codebox #51: Reason for not paying back on time

## Date and time of start of interview

Q.1	Date of start of interview	[//] DD MM YYYY		
	Enter day, month and year.	IVIIVI IIII		
Q.2	Time of start of interview	[:]		

FIELDWORKER The following information are the equivalent to the data handlers in paper.

## **DATA HANDLERS**

## **Date of Interview**

Fieldworker: You should sign and date the questionnaire (using the 'get time' button on screen) only after you have completed and checked the questionnaire thoroughly. Signing the questionnaire shows that you have checked for any problems and solved all your doubts, and therefore, the questionnaire has been completed. The signature is a sign that you take responsibility for the quality of the questionnaire. You must be clear about all the information you collected before handing in your interview file to your supervisor and, most importantly, before leaving the sentinel site.

Q.1	Date of interview  Enter day, month and year.	[///]
Q.2	Fieldworker ID	[]

Supervisor: You should sign and date the questionnaire (using the 'get time' button on screen) only after you have completed and checked the questionnaire thoroughly.

## **Date of Supervisor Check**

Q.3	Date of Supervisor Check  Enter day, month and year.	[//] DD MM YYYY
Q.4	Supervisor ID	[]

#### 0. HOUSEHOLD ROSTER

#### Full Household Roster

FIELDWORKER: Check that prefilled details for previous household members are correct and correct, if necessary. Please administer the following table row by row. After asking for all previous household members (PHHM's), add new members at the end of the roster by adding new rows to the table.

FIELDWORKER: If Addis Ababa enter region, city, sub-city and woreda.
For other urban places, enter region, zone, city/town, subcity/keftgna and kebele.  If rural place, enter region, zone, woreda and kebele.

_																	
	Q.1	PQ.2	Q.2	Q.3	Q.4	PQ.5	Q.5	Q.6	Q.7	Q.8	Q.9	Q.10	Q.11	Q.12	Q.13	Q.14	Q.15
ID of household member		Age in R3	How old is [HHM]?	Age in completed months	Sex	Relationship to [YL Child] in R3	Relationship to [YL Child]	Was [YL Child] born in this	Was [YL Child]'s place of birth urban	Region	Zone	City / Town	Sub-city / Keftgna	Woreda		Does [HHM] still live in the household?	Which year did [HHM] die in?
[PREFILLED FOR PHHM's]	[PREFILLED FOR PHHM's]	[PREFILLED FOR PHHM'S]	[PREFILLED FOR PHHM's] Enter in completed years ➤ Skip to Q.4 00=Less than 1 year -77=NK -88=NA	Enter 00-11.	[PREFILLED FOR PHHMS] 01=Male 02=Female	[PREFILLED FOR PHHM's]	[PREFILLED FOR PHHM's] Enter code from Codebox #0	kebele? 00=No 01=Yes ► Skip to Q.14 77=NK	or rural? 01=Urban 02=Rural				Enter 88=NA if not applicable (i.e. no sub- cities in city/town)		If YL Child was bom in Addis Ababa, please enter 88=NA	01-Yes, lives in household  > Skip to next row  02-2No, lives elsewhere temporarily  > Skip to next row  03-Person has died  04-No, lives elsewhere permanently  > Skip to next row  05-Person not known by the household  > Skip to next row	77=NK 79=Refused to answer Once answerered ► Skip to next row
[]	[]	[]	[]	[]	[]	[]	[]	[]	[]	[]		[]	[]	[]	[]	Skip to next row	[]
[]	[]	[]	[]	[]	[]	[]	[]									Specify	[]
[]	[]	[]	[]	[]	[]	[]	[]									[] Specify	[1

FIELDWORKER: Ask who is the current head of the household. If different from Round 3, probe the reported response. The household head is defined as the person that has control over the family in the household. If the respondent considers more than one person as household head, ask which of

PQ.16	Household head in Round 3	
	[PREFILLED FROM ROUND 3]	11

#### Current Household Roster

FIELDWORKER: These questions refer only to <u>current</u> household members (include all previous household members still living in the household AND the new household members).

FIELDWORKER: Ask the following question to all household members 12 and above.

		Q.16	Q.17	Q.18	Q.19	Q.20
member	[PREFILLED FROM FULL HOUSEHOLD ROSTER]	What is (HHM)'s relationship to [HOUSEHOLD HEAD]? Enter code from Codebox #1.	status? Enter code from Codebox #2.	Does [HHM] have a permanent disability that affects his/her work capacity? 00=No 01=Yes 77=NK	Who is [HHM]s biological mother?  Enter ID from household roster. If not in the household, enter 98; if death, enter 99.  98=Does not live in household 99=Died	Who is [HHM]'s biological father? Enter ID from household roster. If not in the household, enter 98; if death, enter 99.  88=Does not live in household 99=Died
[]	[1	[1	[1	[]	[1	[1
f1	11	11	[1	11	11	11
[]		[]	[]	[]	[]	[]

FIELDWORKER: The following questions will be answered by you only. Once finished updating the household roster, check with the respondent that all current household members have been included, and correct if necessary.

Q.21	Tick if the current household roster is complete.	
Q.22	Tick if this is a polygamous household.  FIELDWORKER: This refers if the household head has more than one spouse. Do NOT ask the respondent.	

ID of respondent for this section  Q.23 Identify the respondent for this section from the household roster.	[]
---	----

## 1. HOUSEHOLD EDUCATION

0.1	0.4	Respondent for this section	r 1
	Q.1	Enter household member from the household roster.	L — _ J

## For ages 18 and over

SAY: First, I am going to ask about the education level of all current household members aged 18 years old and over, excluding the [YL Child].

					FIELDWORKER: Only for PHHM
		Q.2	Q.3	Q.4	Q.5
ID of household member [PREFILLED FROM HOUSEHOLD ROSTER]	Household member name [PREFILLED FROM HOUSEHOLD ROSTER]	he/she currently attending school?  00=No ► Skip to next row 01=Yes  77=NK ► Skip to next row	What was the highest grade that [HHM] completed (excluding pre-primary)?  Enter code from Codebox #3.  77=NK 79=Refused to answer  FIELDWORKER: if highest grade completed is pre-primary, record as "00=None".	Is [HHM] currently in full-time education?  00=No 01=Yes, attending regularly 02=Yes, attending irregularly 03=No, but attending part- 77=NK 79=Refused to answer	Has [HHM]'s education level changed since 4 years ago?  FIELDWORKER: This question refers to changes in completed grades (e.g. 09, 10, etc) - NOT qualification (e.g. primary, secondary, etc).  00=No 01=Yes 77=NK 79=Refused to answer
[]	[]	[]	[ ] Spec	[]	[]
[]	[]	[]	[ ] Spec	[]	[]
[]	[]	[]	[] Spec	[]	[]

## For ages 5-17 only

SAY: Now I will ask about the education of all 5-17 year olds.

ID of household member [PREFILLED FROM HOUSEHOLD ROSTER]	[PREFILLED FROM HOUSEHOLD ROSTER]	he/she currently attending school?  00=No ► Skip to Q.10 01=Yes  77=NK ► Skip to next row	Q.7 What was the highest grade that [HHM] completed (excluding pre-primary)? Enter code from Codebox #3. 77=NK 79=Refused to answer FIELDWORKER: if highest grade completed is pre-primary, record as	Q.8 Is [HHM] currently in full-time education? 00=No ►Skip to Q.10	funded) 03= Community (NGO/Charity/Religious) 04= Government funded	Q.10 Why is [HHM] currently NOT in full-time education? Enter code from Codebox #4. Once answered, if never enrolled (Q.6=NO) ▶ Skip to next row	FIELDWORKER: Ask the following questions if [HHM] is currently NOT in school, <u>but</u> attended earlier. (Q.6=01 AND Q.8=00)  Q.11  How old was [HHM] when s/he completed/ stopped full time education?  Enter age in completed years.  77=NK 79=Refused to answer	Q.12  Has [HHM]'s education level changed since 4 years ago?  FIELDWORKER: This question refers to changes in completed grades (e.g. 09, 10, etc) - NOT qualification (e.g. primary, secondary, etc).
			"00=None".		05= Other_ 77=NK 79=Refused to answer ► Skip to Q.12			00=No 01=Yes 77=NK 79=Refused to answer
[]	[]	[]	[ ] Spec	[]	[ ] Spec	[ ] Spec	[]	[]
[]	[]	[]	[ ] Spec	[]	[ ] Spec	[ ] Spec	[]	[]
[]	[]	[]	[] Spec	[]	[ ] Spec	[ ] Spec	[]	[]

#### 2. LIVELIHOODS AND ASSET FRAMEWORK

-	Respondent for this section	, ,
- 1	Enter household member from the household roster.	l — — J

SAY: I am oping to ask you about what the members of this household do to make a living (which economic activities they do) and about the assets that the household has access to.

#### 2.1 LAND AND CROP DIVERSIFICATION

#### LAND USE

SAY: SAY: Now I am going to ask you some questions about any agricultural and non-agricultural land you own, borrow or rent. By agricultural land, we mean all the land used for growing crops and pasture. By non-agricultural land, we mean all the land used for living accommodation, gardening, etc.

SAY: Now I am oning to ask you some questions about any agricultural (e.g. growing cross, pasture, etc.) and non-agricultural land (e.g. living accompdation, business, etc.) you own, borrow or rent.

					Agricultu	ral Land	-		Non-Agricultura	I Land: Living accomoda	tion	0.11	Non-Agricu	ıltural Land: Indus	stry and others
		Q.1	Q.2	Q.3	Q.4	Q.5	Q.6	Q.7	Q.8	Q.9	Q.10		Q.12	Q.13	Q.14
Land	D Land Type	these types of land in the last 12 months (whether for agricultural or non- agricultural purposes)?	No agricultural land ▶	Total area of agricultural land FIELDWORKER: If more than one plot, ask for the sum of all plots.	Units of land area Enter code from Codebox #5.	most of the land.		Tick if applies.  No "Living accomodation" land ▶  Skip to Q.11	accomodation  FIELDWORKER: Includes if land is used for accomodation	Enter code from Codebox #5.	FIELDWORKER: If more than one plot, ask for the description of most of the land. Enter code from Codebox	Tick if applies.  No other land than accomodation ► Skip to next row	Total area of non- agricultural land for <u>Industry and</u> others  FIELDWORKER: If more than one plot, ask for the sum of all plots.	Units of land area Enter code from Codebox #5.	What is the main use of this land?  FIELDWORKER: If more than one plot, ask for the description of most of the land.  Enter code from
		non-agricultural land the place used as living accomodation. 00=No ➤ Skip to next row 01=Yes				Codebox #6.	01=Less than hair 02=Haif 03=More than haif 04=Ali 05=No land was irrigated in dry season		and other uses. If more than one plot, ask for the sum of all plots.		#7.				Codebox #8.
1	Owned (including leased-out, sharecropped out)	[]		[]	[]	Spec]	[]		[]	[]	Spec		11	[]	Spec
2	Borrowed	[]		[]	[]	Spec]	[]		[]	[]	Spec]		[]	[]	Spec
3	Rented-in	[]		[]	[]	Spec]	[]		[]	[]	Spec]		[]	[]	Spec
4	Sharecropped-in	[]		[]	[]	Spec	[]								
5	Other (e.g. common property, squatted, mortgaged)	[]		[]	[]	Spec]	[]		[]	[]	[] Spec		[]	[]	Spec

	What is the total area of leased-out / sharecropped-out land?  FIELDWORKER: If more than one plot, ask for the sum of all plots.	[]
Q.16	Units of land area  Enter code from Codebox #5.	[]

SAY: The following questions refer to any of the owned, borrowed or rented land we have just asked you about.

Q.17	Is [OC YL CHILD] the main decision maker about how any of this land is used?  00=N0  101×Yes	O No O Yes
Q.18	Is [OC YL CHILD] the main decision maker about how proceeds from any of this land are used?  00=No 01±Yes 88=NA	O No O Yes
Q.19	Does [QC YL CHILD] have a land certificate for any of this land?  00=No  01=Yes	O No O Yes

#### CROP DIVERSIFICATION

FIELDWORKER: If nobody in the household undertakes any agricultural activity, skip to next section.

SAY: Now I am going to ask you about income and expenditure from crops you have produced in the last agricultural year.

Γ	Q.1	In the last agriculture year, has anyone in your household grown and harvested any crop?	
		00=No ► Skip to sub-section 2.2 (Productive Assets) 01=Yes	[]

FIELDWORKER: Please record ALL the crops that the household grew and harvested in the last agricultural year, starting with the largest crops first. If more than 9 crops, enter the sum of the remaining crops in the last row of the table.

	Q.2	Q.3	Q.4
CROP	Important crops grown and harvested Enter code from Codebox #9.	Total output  -77=NK  -79=Refused to answer	Units of output  Enter code from Codebox #10.
Crop 01	[] Spec	[]	[]
Crop 02	Spec]	[]	[]
Crop 03	[ ] Spec	[]	[]
Crop 04	[ ] Spec]	[]	[]
Crop 05	[ ] Spec]	[]	[]
Crop 06	[ ] Spec.	[]	[]
Crop 07	[ ] Spec	[]	[]
Crop 08	[ ] Spec	[]	[]
Crop 09	[ ] Spec]	[]	[]
All other crops	[ ] Spec]	[]	[]

## 2. LIVELIHOODS AND ASSET FRAMEWORK

## 2.2. Livestock and Productive Assets

#### LIVESTOCK

Q.1 Has anyone in the household owned any livestock in the last 12 months? For example, milk animals, draught animals, beehives, or small rumlants such as poultry. (Do not include pets)	
01= Yes, 00 = No    ▶ Skip to Q.6 77 = NK    ▶ Skip to Q.6	[]

FIELDWORKER. please administer this table row by row.

	Type of Livestock	Q.2 Has anyone in the household owned any of the following animals at any time in the last 12 months?  00=No ► Skip to next row 01=Yes	following animals does the household currently own?	Q.4 If you were to sell all your currently owned [NAME OF ANIMAL] today, here, how much would people pay for them?  -77 = NK	Q. 5 If you were to <u>sale or</u> rent all of these animals today, can [YL CHILD] dispose entirely or partially of the money obtained?
	Milk animals				
1	Cow (modern variety)	[]	[]	[]	O No O Yes O NK
2	Cow (traditional variety)	[]	[]	[]	O No O Yes O NK
3	Calves (modern variety)	[]	[]	[]	O No O Yes O NK
4	Calves (traditional variety)	[]		[]	O No O Yes O NK
6	Heifer	[]	[]	[]	O No O Yes O NK
	Draught animals				
9	Donkey/horse/mule	[]	[]	[]	O No O Yes O NK
10	Bull Calf/Young bull	[]	[]	[]	O No O Yes O NK
11	Oxen	[]	[]	[]	O No O Yes O NK
12	Camel	[]	[]	[]	O No O Yes O NK
	Small ruminants				
13	Sheep	[]	[]	[]	O No O Yes O NK
14	Goat	[]	[]	[]	O No O Yes O NK
15	Pigs	[]	[]	[]	O No O Yes O NK
16	Poultry/birds	[]	[]	[]	O No O Yes O NK
	Country specific				
31	Beehives/beeclonies	[]	[]	[]	O No O Yes O NK
32	Others, inclu. cross breed	[]	[]	[]	O No O Yes O NK

## PRIMARY OCCUPATION PRODUCTIVE ASSETS

SAY: Now I will ask you some questions about agricultural and non-agricultural assets (e.g. machinery, equipment, tools, etc.) that the household may have had in the last 12 months to work or generate any income. These could be owned, rented or borrowed.

Do you have any assets (owned, rented, or borrowed) that allow your household to work and generate income?	
00=No ▶ Skip to next sub-section 01=Yes	[]

FIELDWORKER: Please administer the next table row by row

Q.7 ASSET – owned, rented or borrowed		Q.9 How many of them do you	Q.10 For how much could	Q.11 If you were	
Write asset's name and then enter code from Codebox #17		asset used?  Enter from Codebox #16	currently <b>own</b> ?  Enter number  -77=NK ► Skip to next row  If 00 ► Skip to next row	you sell all of this kind of asset that you own? Enter in Birr -77 = NK	to sell/rent it/them today. can [YL CHILD] dispose entirely or partially of the money obtained??
Assets – Owned, rented or borrowed	Total number of assets				
1. []	[]	[]	[]	[]	O No O Yes
2. [] []	[]	[]	[]	[]	O No O Yes
3. []	[]	[]	[]	[]	O No O Yes
4. [] []	[]	[]	[]	[]	O No O Yes
5. [] []	[]	[]	[]	[]	O No O Yes
6. [] []	[]	[]	[]	[]	O No O Yes

## 2. LIVELIHOODS AND ASSET FRAMEWORK

## 2.3 RELATIVE IMPORTANCE OF INCOME

## **INCOME SOURCES**

SAY: I am going to ask you about what people in this household do to get Income

	Q.1. In the past 12 months, from which of the following activities does the household perceived its MONETARY Income?					
ID	HAVE EARNED INCOME FROM:	<b>Received?</b> 00 = No 01 = Yes				
INDE	PENDENT ACTIVITY (Self-Employment /Business)					
01	Independent Farmer (From land owned, rented or borrowed)	[]				
02	Cattle/Stockbreeding (including small animals breeding)	[]				
03	Fishing	[]				
04	Forestry	[]				
05	Transport (Taxi driver, owner vehicle)	[]				
06	Trade	[]				
07	Industry (Small and Medium Business, Tailoring, Handicrafts)	[]				
08	Other independent activity (Specify)	[];Spec				
WAGE	EARNING ACTIVITY / SALARIES					
09	Work for Wages in Agriculture, Fishing, Forest, Cattle/Stockbreeding	[]				
10	Work for Wages in Non-Agriculture Work (Industry, Transport, Trade and Other Services)	[]				
OTHER	NON-EARNED INCOME					
11	Other non-earned income (non-labour income incl. transfers, pensions, etc.)	[]				

## **RELATIVE IMPORTANCE OF INCOME**

FIELWORKER: Copy all the the activities that generate MONETARY incomes to the household from Q.1.

**FIELDWORKER:** After writing down the activities in Q2.1; give to the interviewee 20 beans and the card with the activities mentioned in question Q1. Ask him/her to place the 20 beans in each circle that correspons to the activities mentioned considering the importance of the activity for the household in terms of MONETARY income in the past 12 months.

	Q.2.1 Type of Activity  Enter ID of Q1	Q.3. Importance  FIELDWORKER: Enter number of beans placed in each circle
1	[]	[]
2	[]	[]
3	[]	[]
4	[]	[]
5	[]	[]
6	[]	[]
7	[]	[]
8	[]	[]
9	[]	[]
10	[]	[]
11	[]	[]

#### 3. FOOD AND NON-FOOD CONSUMPTION

Q.0 ID of respondent for this section (identify the respondent for this	, ,
section using the ID from the household roster)	l J

## 3.1 Food consumption and expenditure

TICK ALL THE HOUSEHOLD MEMBERS THAT APPLY.

#### **FOOD CONSUMPTION**

SAY: I am now going to ask some questions about how much food has been consumed by your household in recent times. I am going to start by asking how much you and your household consumed of goods you or any member of your household bought in the last 15 days, then ask you how much you and your household consumed from your own harvest or stock, and then how much you consumed from other sources (such as gifts, transfers, or food aid).

FIELDWORKER: Ask if there was a major festival, wedding or other feasting/ fasting ceremony in the last 15 days. If there was, specify that the respondent should think about the household's consumption in the 15 days prior to this event.

#### BOUGHT, OWN HARVEST, STOCK AND GIFTS FOOD CONSUMPTION

		Q.1 Household has consumed this item in the last 15 days?	of this item bought and	Q.3 How much of this commodity did you and your household consume from your own harvest or own stock in the past 15 days?	How much of this commodity did you and your household consume from gifts, transfers or food aid received from relatives, friends, neighbours, government or other organisations in the past 15 days?	
		00=No ► Skip to next row 01=Yes		Estimated value in birr  00=Nothing -77=NK	Q.4. Estimated value in birr  00=Nothing ► Skip to next row -77=NK	Q.5 Main Source: 01=Gift from relatives, friends, neighbours 02=Food aid, transfer or direct support 03=Food for work 04=Other, specify 77=NK
01	Pulses/Lentils/Beans	[]	[]	[]	[·]	[ ] Other (specify)
02	Pasta/Rice/	[]	[•]	[·_]	[·_]	[ ] Other (specify)
03	Bread/Wheat flour (processed)	[]	[]	[·_]	[·_]	[ ] Other (specify)
04	Cereals (barley, wheat, teff, sorghum, maize, etc.) (unprocessed)	[]	[·]	[]	[]	[ ] Other (specify)
06	Meat Products	[]	[·]	[·_]	[·_]	[ ] Other (specify)
08	Milk or milk products (milk, butter, cheese, yoghurt, etc.)	[]	[1	[·_]	[·_]	[ ] Other (specify)
17	Prepared food (restaurants, food stalls)	[]	[1	[·_]	[·_]	[ ] Other (specify)
22	Enset/Kocho	[]	[•]	[]	[]	[ ] Other (specify)
Q.5. Whi	ich household members do NOT consume at least one meal per da	y in the house?	[ ]			

# 3. FOOD AND NON-FOOD CONSUMPTION

# 3.2. Non-food expenditure

**SAY:** I am now going to ask you how much you have spent on non-food and other items in the <u>last 30 days</u>. Please consider prices at the time of the purchase.

Q.1	What is the money value of the total amount <b>spent</b> by the household in the last 30 days on the following items:	Enter value in Birr 00=Nothing -77=NK
05	Public transport	[•]
06	Security (guards) /house maid	[•]

SAY: I am now going to ask you how much you have spent on non-food and other items in the last 12 months.

Q.2	Has the household bought any of these items in the last 12 months? How much did you spend on each of them?	Enter value in Birr 00=Nothing -77=NK
01	Rent (house for Residence)	[•]
02	Dwelling maintenance	[•]
04	Rent (business, market stall)	[•]
13	Festivals/celebrations (regular occurrence)/Iddir/community contribution	[•]

Q.3	What is the money value (in birr) of the total amount purchased by the household in the last 12 months for the following items:	Enter value in Birr 00=Nothing -77=NK
01	Clothing (adult men -18 years or older)	[]
02	Clothing (adult women -18 years or older)	[]
03	Clothing (girls – excluding school uniform - less than 18 years)	[]
04	Clothing (boys – excluding school uniform - less than 18 years)	[]
05	Footwear (e.g. shoes, slippers) (adult men -18 years or older)	[]
06	Footwear (e.g. shoes, slippers) (adult women -18 years or older)	[]
07	Footwear (e.g. shoes, slippers) (girls - less than 18 years)	[·]
08	Footwear (e.g. shoes, slippers) (boys - less than 18 years)	[]

## 4. SOCIAL CAPITAL

	ID of respondent for this section (identify the respondent for this section using the ID	
Q.0	from the household roster)	[]

# 4.1 Support networks

Q.1	Suppose you are in need of material support. How many people can you rely on in time of need?  01=1-2 people 02=3-5 people 03=6-10 04=11-15 05=16-20 06=21-30 07=over 30 Write 00 if none; 77=NK)	[]
Q.2	How many relatives/family live in this community (excluding those in your own household)?  00=None ► Skip to Q.4  01=1-5 families  02=6-10 families  03=11-20 families  04=21-30 families  05=over 30 families  77=NK 88=NA	[]
Q.3	How many of these relatives/family are influencial in the community?  00=None 01=1-5 families 02=6-10 families 03=11-20 families 04=21-30 families 05=over 30 families 77=NK 88=NA	[]
	NV 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Q.4	Would your household be able to raise 500 Birr in one week if you needed it?  01=Yes, very easily 02=Probably 03=Not at all 77=NK, 88=N/A	[]

5. ECONOMIC CHANGES AND RECEN	T LIFE HISTORY	
Q.0. ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[]	
5.1 Economic changes		_
<b>SAY:</b> I am now going to ask you to think about your current circumperiods.	nstances and how and why they might have c	hanged over
How would you describe the household you were living in?	01=Very rich, 02=Rich 03=Comfortable – manage to get by 04=Struggle - never have quite enough 05=Poor, 06=Destitute	
Q.1 Currently	[]	
Q.2 FOUR years ago (around time of previous visit)	[]	
If situation has NOT changed (i.e. Q1=Q.2), skip to Q.5		-
Please give the two main reasons why your situation has changed compared to the earlier period.		

[\_\_]

[\_\_]

Other, specify \_

Other, specify \_

03=Richer than most households

05=A little poorer than most households

[\_\_\_]

[\_\_]

01=The richest 02=Among the richest

04=About average

30=Other specify

06=Among the poorest 07=The poorest 77=NK

Enter code from codebox #30

Q.3 Reason 1

Q.4 Reason 2

Q.5 Currently

Fieldworker: refer to codebox #30 in paper to code as appropiate.

During this period, compared to other households in this LOCALITY, how would you describe your household?

Q.6 FOUR years ago (around time of previous visit)

## 5. ECONOMIC CHANGES AND RECENT LIFE HISTORY

#### 5 2 SHOCKS

SAY: Now I am going to ask you about the most important events and changes that have happened (that negatively affected the household economy) the last time we came to see you in 2002 (E.C.) (equivalent to 2009 (G.C.))

FIELDWORKER: Ask questions in shaded boxes and record responses that match EVENTS in that section and record responses for Q.1 through to Q.2. Probe if necessary. When respondent has answered all questions (A-G), go to the next screen.

	EVENTS AND CHANGES	Q.1 Has [SHOCK NAME] happened since 2002 (E.C.) (equivalent to 2009 (G.C.))? 00=No ► Skip to next row	Q.2 Indicate if each event happen (please tick the year in which the	ed in any of these years event occurred).			
		01=Yes	2002	2003	2004	2005	2006
Α	SAY: Has the household been the victim of any crimes sin	nce we last came to see you in	2002 (E.C.) (equivalent to 2009 (G	C.))?			
	Fieldworker: If yes, what were these? Prompt for the	listed events. If no to all, tic	k □ and skip to B				
1	Theft or destruction? (e.g. cash, crops, livestock, destruction of housing)	[]					
В	SAY: Have any regulations or actions had a negative impa	act on the household since we	last came to see you in 2002 (E.C.)	(equivalent to 2009 (G.C.))?			
	Fieldworker: Prompt for the listed events. If yes, What	at were these? If no to all, tic	k □ and skip to C		ī	T	T
10	Forced contributions or arbitrary taxation or protection money	[]					
С	SAY: Have any changes to economic conditions affected	I the household since we last c	ame to see you in 2002 (E.C.) (equi	valent to 2009 (G.C.))?			
	Fieldworker: Prompt for the listed events. If yes, Wha	at were these? If no to all, tio	k 🗆 and skip to D				
12	Large increase in input prices	[]					
13	Large decrease in output prices	[]					
47	Increase in the price of food that I buy	[]					
14	Livestock died	[]					
16	Job loss/source of income/family enterprise	[]					
23	Disputes with neighbours/PA members regarding land or assets	[]					
D	SAY: Have you experienced any natural disasters since w	ve last came to see you in 2002	=				
	Fieldworker: Prompt for the listed events. If yes, Wha	at were these? If no to all, tic	k □ and skip to E				
24	Drought	[]					
25	Too much rain or flood	[]					
26	Erosion, Cracks or landslide	[]					
27	Frosts or hailstorm	[]					
28	Pests or diseases that affected crops before they were harvested	11					
29	Crops failed	[1					
30	Pests or diseases that led to storage losses	[1					
31	Pests or diseases that affected livestock	[]					
Е	SAY: Has anything happened since we last came to see y	you in 2002 (E.C.) (equivalent t	o 2009 (G.C.)) that has affected the	building you live in?			
	Fieldworker: Prompt for the listed events. If yes, What	at were these? If no to all, tic	k □ and skip to F				
32	Fire or collapse of building	[]					
F	SAY: Have there been any changes within the family sinc	e we last came to see you in 2	002 (E.C.) (equivalent to 2009 (G.C	.))? Or other things that have affe	ected members of the family?		
	Fieldworker: Prompt for the listed events. If yes, What	at were these? If no to all, tic			T		
	Death of YL CHILD's father	[]					П
35	Death of YL CHILD's mother	[]					П
36 37	Death of another person from the household  Illness of YL CHILD's father	[]			П		П
38	Illness of YL CHILD's rather  Illness of YL CHILD's mother	[]	П	П	П	П	
39	Illness of other household member	[]	П	П	П	П	П
48	Illness of non-household members	[]					П
40	Divorce, separation or abandonment	[]					П
41	Birth/new household member	[]					П
42	Child's school enrolment – having to pay school fees	[]					
G	SAY: Has anything else happened that has affected the e	conomic situation of your hous	ehold since we last came to see yo	in 2009? If yes, Specify			
45	Other, Specify:	[]					
		1	l .		1	1	l .

Q.3 Indicate the 3 most important negative events that affected the economic situation of the household.  Enter event codes by importance (ID's from serial numbers 1-4-8)  88 = NA	Q.4 Years in which the event happened PREFILLED FROM Q.2	Q.5 How much does this economic loss represent of the income generated by the household in a regular year?  01=No economic loss  02=A small proportion of the household's income  03=Less than half of the household's income  03=Less than half of the household's income  77=NK  79=Refused to answer  88=NA	Q.6 What did your household do in response to this event? You can choose up to 3 responses but list in order of importance.  (Enter code from Codebox # 31)
	2002 [] 2003	[] Spec	
Most important event	[] 2004 []	Spec	[]; Other,spec.  []; Other,spec
(	2005 [] 2006	[] Spec	[ ]; Other,spec
	[] 2002 []	Spec	
Second most important event	2003 []	[] Spec	[]; Other,spec.
[]	2004 [] 2005	[] Spec	[]; Other,spec
	[] 2006 []	Spec	_
	2002 []	Spec	
Third most important event	2003 []	[] Spec	[]; Other,spec.
[]	2004 [] 2005	Spec	[ ]; Other,spec
	[] 2006 []	Spec	

## 6. SOCIO-ECONOMIC STATUS

	ID of respondent for this section (identify the respondent for this	. 1
Q.U	section using the ID from the household roster)	l — — J

**SAY**: Now I am going to ask some questions about the place where you live.

Q.1	Does you or anyone in your household own your house? 00=No ► Skip to Q.3 01=Yes 77=NK	[]
Q.2	Does you or anyone in your household has a mortgage on your house? 00=No 01=Yes 77=NK	[]
Q.3	How many rooms are there in the house? 77=NK	[]
Q.4	Do you have a separate kitchen/cooking area? 00=No 01=Yes 77=NK	[]
Q.5	Do you have electricity? 00=No 01=Yes 77=NK	[]

FIELDWORKER: Please observe building material:

	Davies a	
Q.6	WALL Enter codes from codebox #32	[]
Q.6	Enter codes from codebox #32	Other, specify
	ROOF	[]
Q.7	Enter codes from codebox #33	Other, specify
	FLOOR	[ ]
Q.8	Enter codes from codebox #34	Other, specify
	What is the main source of drinking water for members of your	[ ]
Q.9	household?	
	Enter codes from codebox #35  What kind of main toilet facility does your household use?	Other, specify
Q.10	Enter codes from codebox #36	[]
ασ		Other, specify
Q.11	What is the <b>main</b> type of fuel you or anyone in your household use for cooking?	[]
Q.11	Enter codes from Codebox #37	Other, specify
	Is heating used in this area/household?	
Q.12	01=Yes	[]
Q.12	00=No ► Skip to Q.14. 77=NK ► Skip to Q.14.	l — — J
	•	
0.40	What is the <b>main</b> type of fuel you or anyone in your household usually use for heating?	[]
Q.13	E	Other, specify
	Enter codes from codebox #38	. , , ,
	Have you or anyone in your household invested in the improvement of your dwelling since 2002 (E.C.) (equivalent to 2009 G.C.)?	
	your awaring <u>since 2002 (E.O.)</u> (equivalent to 2003 G.O.):	
Q.14	01=Yes	[ ]
α	00=No ► Skip to Q.16.0	11
	77=NK ► Skip to Q.16.0	
	If yes, what have you invested in?	
	iii yes, what have you iiivesteu iii?	[ ] Other, specify
	Enter UP to three codes from codebox #39	Otner, specify
Q.15		[]
		Other, specify
		[]
		Other, specify

Q.16.0 SAY: I am now going to ask you whether the household owns specific items. FIELDWORKER: Ask about each item. Then ask for the value fo each item.

	ltem	Q.16.1 Does anyone in the household own this item?  00=No ► Skip to next item  01=Yes	Q.16.2 How many does the household own?  Enter number  -77=NK  -79 = Refused to answer	Q.18 How much do you think you would be paid if you were to sell these items? -77=NK, -88=N/A
1	Working television	[]	[]	[]
2	Working radio	[]	[]	[]
3	Working car/ truck/ automobile	[]	[]	[]
4	Working motorbike/scooter	[]	[]	[]
5	Working bicycle	[]	[]	[]
6	Working landline telephone	[]	[]	[]
7	Working mobile/cell telephone	[]	[]	[]
8	Working refrigerator	[]	[]	[]
9	Electric oven/ electric mitad	[]	[]	[]
10	Table & chair	[]	[]	[]
11	Sofa	[]	[]	[]
12	Working Fan/Ventilator	[]	[]	[]
13	Bedstead	[]	[]	[]
14	Working videocassette player/DVD player	[]	[]	[]
15	Videogames	[]	[]	[]
16	Beffet	[]	[]	[]
17	Other specify	[]	[]	[]

Q.0 ID of respondent for this section (identify the respondent for this section using the ID from the household roster)
---

## 7.1. GENERAL ACCESS TO PUBLIC PROGRAMS

SAY: Now I am going to ask you some questions about support or assistance you may have received from any programs provided by NGOs or GOs since 2002 (E.C.) (equivalent to 2009 G.C.).

FIELDWORKER: If necessary, explain what an NGO and a GO are. You may prompt using the list of support programs provided in Codebox #45. Record all NGO and GO activity since we last came to visit. If the household receives the same type of assistance from different organizations, record each organization on a separate line

	Has your household received support or assistance through programmes provided by NGOs or GOs since since 2002 (E.C.) (equivalent to 2009 G.C.)?	
Q	1 00=No ► Skip to next section 01=Yes 77=NK ► Skip to next section	[]

Q.2	Q.3	Q.4	Q.5	Q.6	Q.7
What kind of support was provided?  Enter code from Codebox #45	Who provided the support?  Enter code from Codebox #46	In which year did the support begin?  FIELDWORKER: use Ethiopian. calendar.	When did the support end?  FIELDWORKER: If ongoing, enter 9999		Which particular member of the household did the program target ? If FIELDWORKER: Enter member ID fro roster card. 80=more than one hh members 90=person outside the hh
1 []; Spec:	[]; Spec:	[]	[]	[]; Spec:	[]; Spec:
2 []; Spec:	[ ]; Spec:	[]	[]	[]; Spec:	[]; Spec:
3 []; Spec:	[ ]; Spec:	[]	[]	[]; Spec:	[]; Spec:
4 []; Spec:	[ ]; Spec:	[]	[]	[]; Spec:	[ ]; Spec:

## 7.2. ACCESS TO HEALTH EXTENSION PROGRAM

Q.1	Is any HHM a beneficiary/member of the Health extension program (HEP)?  00=No ► Skip to next section  01=Yes  77=NK ► Skip to next section	[]
	In which year did the support begin?	
Q.2	Enter year using Ethiopian Calendar	[]
	77=NK	
	When did the support end?	
Q.3	Enter year using Ethiopian Calendar	[]
	FIELDWORKER: If ongoing, enter "-9999"	
Q.4	How often did you get this support?  Enter code from codebox #47	[]
Q.5	Did the programme target any particular member of the household?  00=No 01=Yes, (specify ID)  (Enter member ID from roster card) 80=more than one hh members 90=person outside the hh	[]
Q.6	Has this programme met your expectations?  01 = Highly dissatisfied 02 = Dissatisfied 03 = Somewhat satisfied 04 = Fully satisfied 77 = NK	[]

## 7.3. PRODUCTIVE SAFETY NET PROGRAM

**SAY:** We would like to know whether this household has participated in the Productive and Safety Net Program (PSNP) ove<u>r past 12 (13 Ethiopian months) [for example between Tikemet' 2005 to Meskerem 2006.</u>

Q.1	Were you or any member of this household registered as a beneficiary of the PSNP – Public Works program in the past 12 (13 Ethiopian) months?  00=No; 01=Yes; 77=NK	[]
Q.2	Were you or any member of this household registered as beneficiary of <b>Direct Support</b> (transfers of cash, food or other goods without requiring individuals to work) in the past 12 (13 Ethiopian) months?  00=No; 01=Yes; 77=NK	[]
Q.3	Have you graduated from public work program component of PSNP?  00=No ► Skip to Q.6 if Q.1==01  ► Skip to Q.7 if Q.1==00  01=Yes  77=NK ► Skip to Q.7	[]
Q.4	If so, when did you graduate?  Enter month and year  FIELDWORKER: Please use Ethiopian Calendar	[]/[]
Q.5	What was the most important immediate effect of graduation?  01 = Lost income 02= higher food Insecurity 03 = Unemployment 04 = Forced to sell assets 05 = No consequence 06 = Other, specify  Once answered, ▶ Skip to Q.7	[ ] Specify:
Q.6	If you have not graduted from PSNP so far, do you know when you will Graduate from the PSNP public works program?  00=No 01=Yes - write month and year 77=NK	[]/[]

## **OTHER PROGRAMMES**

Q.7	Were you or any member of household registered as beneficiary of <b>Emergency Aid Programme</b> since 2002 (E.C) (2009 equvalent (G.C))?  00=No; 01=Yes; 77=NK	[]
Q.8	Has the household received support from the Other Security Programmes such as household asset building programs (HAB) over the past 12 (13 Ethiopian) months  This might include access to improved seeds, improvements in water/irrigation, land or pastures, as well as provision of credit, livestock or beehives?  00=No; 01=Yes; 77=NK	[]
Q.9	Has anyone in the family or the whole household got <b>resettled</b> by the governmentsince 2002 (E.C) (2009 equvalent (G.C))?  00=No ► Skip to next section 01=Yes 77=NK ► Skip to next section	[]
Q.10	If yes, when was the household/ member resettled by the government?  Enter month and year  FIELDWORKER: Please use Ethiopian Calendar	[]/[]
Q.11	What type of resettlement was this?  01= To another rural area for farming 02= To another place/house (such as condominium) 03= To another place/house (non-condominium) 04= other (specify)	[] Specify:

#### 7.4. CREDIT SUPPORT PROGRAMS

SAY: Now I am going to ask you some questions about any credit you or any member of your household may have taken out over since 2002 (E.C) (2009 equvalent (G.C))

	Have you or any of your household members taken out credit since 2002 (E.C) (2009 equvalent (G.C))?	
	00=No ▶ Finish questionnaire 01=Yes	[]
	77=NK ▶ Finish questionnaire	

	Q.2	Q.3	Q.4	Q.5	Q.6	Q.7	Q.8	Q.9	Q.10
		Who received the credit?	Why did you or any of your household members take out the credit?	When did you or any of your household members take out the credit?	What was the amount of the credit?		Have you or any of your houshold finished making payments?	Did you or your household member pay back in time?	Why did you not pay back the credit in time?
LOANID	codebox #49	Enter ID using household roster	codebox #50	Enter month and year  FIELDWORKER: Use Ethiopian calendar  1= Meskerem 2=Tikmet;	FIELDWORKER: If credit was in kind, convert to cash equivalent  77= NK	FIELDWORKER: If the interest rate was variable, ask for the average.	00=No ► skip to next line 01=Yes 77 = NK ► skip to next line 79 = Refused to answer ► skip to next line	00=No 01=Yes ▶ skip to next line 77 = NK ▶ skip to next line 79 = Refused to answer ▶ skip to next line	Enter code from codebox # 51
LID1	[ ] Specify:	[]	[ ] Specify:	[] Month [] Year		%	[]	[]	[ ] Specify:
LID2	[ ] Specify:	[]	[ ] Specify:	[] Month		%	[]	[]	[ ] Specify:
LID3	[ ] Specify:	[]	[ ] Specify:	[] Month		%	[]	[]	[] Specify:
()	[ ] Specify:	[]	[ ] Specify:	[] Month [ ] Year		%	[]	[]	[ ] Specify:

## DATE OF COMPLETION OF INTERVIEW

Q.1	Date of end of interview  Enter day, month and year	[//] DD MM YYYY
Q.2	Time of end of interview	[:]

## **CODEBOXES**

## Codebox #0: Relationship to YL Child

00= YL child	07= Brother/Sister (both parents the same)	14= Cousin (inc. cousin brother & cousin sister)	21=Other, unrelated	29= Brother/sister-in-law (sibling of YL spouse)
01= Biological parent 08= Half-sibling (same father) 1		15= Nephew/Niece	22=Nanny (Live-in)	77=NK
02=Step-parent (partner of biological parent)	09= Half-sibling (same mother)	16= Brother/sister-in-law (spouse of sibling)	23=Tenant/lodger	88=NA
03= Adoptive parent	10= Step-sibling (no parent in common)	17= Great-Grandparent (Mother's side)	25=Partner/Spouse of the YL Child	
04= Foster parent	11=Adoptive brother/sister	18= Great Grandparent (father's side)	26=Father-in-law/Mother-in-law	
05= Maternal grandparent	12= Foster brother/sister	19=Other relative	27=Child of YL child	
06=Paternal grandparent	13= Uncle/Aunt	20= Servant (farm-worker, maid, etc.)	28=Step-child	

## Codebox #1: Relationship to household head

01=Household head
02=Spouse/Partner
03=Daughter/son
04=Son-in-law/Daughter-in-law
_
05=Grandchild
06=Parents
07=Other relatives
08=Domestic worker
09=Tenant / lodger
10=Other non-relatives
11=Father-in-law, mother-in-law

## Codebox #2: Marital status

00=Single (Never married)	
01=Married	
02=Cohabitant	
03=Widow(er)	
04=Divorced	
05=Separated	

## Codebox #3: Highest grade completed

00 = None	09 = Grade 9 (Secondary First	22 = TVET/2nd year/level	32 = Undergraduate degree (1st	88= NA
00 = 140110	Cycle)	(include diplomas such as	year, regular (R))	00= 1 <b>4</b> /4
	oyaic)	accounting diploma)	your, rogular (11)	
01 = Grade 1 (Primary)	10 = Grade 10 (Secondary First	26 = TVET/3rd year/level	33 = Undergraduate degree	77=NK
	Cycle)	(include diplomas such as	(2nd year, regular (R))	
	* '	accounting diploma)	, , , , , , , , , , , , , , , , , , , ,	
02 = Grade 2 (Primary)	11 = Grade 11 (Secondary	26 = TVET/4rd year/level	34 = Undergraduate degree (3rd	41 =Other,
	Second Cycle Preparatory	(include diplomas such as	year, regular (R))	(specify)
	Programme)	accounting diploma)		
03 = Grade 3 (Primary)	12 = Grade 12 (Secondary	27=Secondary education,	35 = Undergraduate degree (4th	
	Second Cycle Preparatory	teacher (diploma holder)/1st year	year, regular (R))	28=Adult literacy
	Programme)			
04 = Grade 4 (Primary)	13 = First cycle of primary	27=Secondary education,	36 = Undergraduate degree (1st	
	teaching certificate	teacher (diploma holder)/2nd	year or equivalent, non-regular	
	(grade 1-4)/1st year	year	(NR), summer/distant/	29=Religious education
			evening/weekend student)	
			everiling/weekend student/	
05 = Grade 5 (Primary)	14 = First cycle of primary	30 = Secondary education,		
	teaching certificate	teacher (bachelor's degree	37 = Undergraduate degree	
	(grade 1-4)/2nd year	holder and above)/1st year	(2nd year or equivalent, non-	
			regular (NR), summer/distant/	
			evening/weekend student)	
06 = Grade 6 (Primary)	17 = Second cycle of primary	30 = Secondary education,		
oo = Grado o (i riinary)	teaching certificate (grades 5-	teacher (bachelor's degree	38 = Undergraduate degree (3rd	
	8)/1st year	holder and above)/2nd year	year or equivalent, non-regular	
	, .,,	, , , , , , , , , , , , , , , , , , , ,	(NR), summer/distant/	
			evening/weekend student)	
			,	
07 = Grade 7 (Primary)	16 = Second cycle of primary	30 = Secondary education,		
• • •	teaching certificate (grades 5-	teacher (bachelor's degree	39 = Undergraduate degree (4th	
	8)/2nd year	holder and above)/3rd year	year or equivalent, non-regular	
			(NR), summer/distant/	
			evening/weekend student )	
00 O I 0/D:	04 70574 4 4 4 5 4 4	0.0		
08 = Grade 8 (Primary)	21 = TVET/1st year/level (include		40=Masters or doctoral at	
	diplomas such as accounting diploma)	(6 months to one year)	university	
	aipioma)		,	

## Codebox #4: Reasons for not attending school

01=Fees too expensive	09=Banned from school for behaviour reasons	17= No need for schooling for future job.	25= Family member ill/disabled/elderly (including care for this family member)	33 = Wanted to start
02=Books and/or other supplies too expensive	10=Banned from school because away for too long	18= Need to learn a trade/skill, e.g. through apprenticeship so went to work	26= Family issues e.g. problems at home – parent disputes/marital conflict	
03= Shoes/Clothes/Uniform for school too expensive	11= Banned from school because failed to achieve necessary grade/level at school	19=Need to stay home to look after siblings	27=Stigma and Discrimination (child was not be welcome because of ethnic group/caste/socioeconomic group etc).	
04= Transport too expensive	12= Quality of education at school (teaching and learning) poor	20=Needed for domestic and/or agricultural work at home (include chores, farm work, harvest)	28= School not accessible for seasonal reasons: river prohibits access	
05= School too far from home	13= Quality of care (food, non- educational care) poor	21=Have to do paid work to earn money(including agricultural work other than for household)	29=Child too young	
06=Not safe to travel to school	14= No sanitation facilities at school	22= It's not appropriate for girls to go to/continue at school	30=Child Still in preschool	
07= Lack of transport	15= Bullying/abuse from peers	23=Marriage	31=Other, specify	
08=Truancy, child does not want to go, not interested	16= Ill-treatment/abuse from teachers/principal	24= Disability, Illness	32= Terminated the course of education in which I was enrolled in	

## Codebox #5: Local units for plot size

01=Gasha	07=Kedema	13=Gezem	19=Fer
02=Hectare	08=Kufaro	14=Kind	20=Other, Specify
03=Gemed	09=Zhir	15=Square Zhir	
04=Timad	10=Tinto	16=Medeb	
05=Kert	11=Ermija	17=Square Meter	
06=Massa	12=Dero	18=Boy	

## Codebox #6: Main use of agricultural land

05= Farming (arable)
06= Pasture
10=Sharecropped-out
11=Nothing/left fallow
13=Grazing livestock
14=Aquaculture
20=Other, specify
77=NK
79=Refused to Answer
88-NA

## Codebox #7: Main use of non-agricultural land: Living Accomodation

01= Living Accommodation
02= Living Accommodation plus
garden/terrace
03=Living Accommodation plus Garden with produce for consumption or sale
04= Living accomodation plus industry
(e.g. industry)
05=Living accomodation plus garden
with produce for consumption and sale
plus industry
20=Other (specify)
77=NK
79=Refused to Answer

## Codebox #8: Main use of non-agricultural land: Business and others

07=Gardening only
08=Industry (e.g. business, etc.) only
09=Rented-out (fixed rent)
11=Nothing / Left fallow
12=Recreation
20=Other (specify)
77=NK
70-Polycod to Anguar

## Codebox #9: Crops

01=	15=Chick peas	29=Godere	44=Maize	58=	72=Tomato
02=	16=Chillies	30=	45=Mango	59=Selata	73=Tumeric
03=Avocado	17=Coffee.	31=Grass	46=Mulberry	60=Sesame	74=
04=Bananas	18=Cotton	32=Groundnuts	47=Nueg	61=Shiefera/Haleko	75=Wheat
05=Barley	19=Cow peas	33=Guava	48=Nuts	62=	76=White teff
06=Beetroot	20=Dagussa	34=Hamicho	49=Oats	63=Sinar/Germia	78=Yam
07=Berbere	21=Eucalyptus	35=Haricot Beans	50=Onions	64=Sorghum	79=Zengada
		(Adenguare / Boloke)		65=Spices	
08=Black/mixed teff	22=Fasolia	36=Honey	51=Orange	66=Spinach	123=Vetch
09=Black pepper	23=Field peas	37=Jowar	52=	67=	124=Soya bean
10=Cabbage	24=Flowers	39=Karia	53=Paddy rice	68=Sunflower	125=Horse bean
11=Cactus	25=	40=Karibo/Keredo	54=Pineapple	69=Sweet potatoes	130=Wassera/ Warsa/Hanfets/ Mixture of wheat & barley
12=Carrot	26=Garlic	41=Kotcho/Inset	55=Potatoes	70=	77=NK
13=Castor	27=Gesho	42=Lentils	56=Pumpkin	71=Tobacco	96=Other specify
14=Chat	28=Ginger	43=Linseed	57=Ragi	80=sugarcane	109 = Coconut

## Codebox #10: Local units for crop outputs

01=KILOGRAMMES	13=BAGS	25=KUBAYA/KELASA	40=BIG MADABERIA	50=BUNCH (BANANAS)	60=EGIR
02=QUINTAL	14=BUNDLES	26=BIRCHIKO	41=SMALL MADABERIA	51=MELEKIA/LIK	61=WESLA
03=CHINET	15=PIECES	27=SINI	42=DIRIB	52=GUCHIYE	62=MESFERIA
04=DAWLA	16=BARS	28=GEMBO	43=SAHIN/LOTERY	53=BEKOLE	63=KURFO
05=KUNNA	17=BOXES	29=BOTTLES	44=MANKORKORIA	54=ENKIB	64=KOLELA
06=MEDEB	18=LEAVES	30=BIRR	45=PLASTIC BAG/FESTAL	55=SHEKIM	65=Gurdi
07=KURBETS	19=LITRES		46=ZURBA	56=NUMBER	66=Kuch-Belu
08=SILICHA	20=KIL		47=AKARA	57=GOTERA	67=Millilik
09=AKMADA	21=GAN		48=SMALL PLASTIC BAG	58=LEMBA	68=Bechere
			(MIKA)		
10=ESIR	22=ENSIRA		49=KERCHAT/KEMBA	59=SHIRIMERI	69=Madiga
11=BOBO	23=GURZIGNE				70=Jemb
12=PACKETS	24=TASSA			95=OTHER (Specify)	

## Codebox # 15: Occupation productive assets

01=Army	12=Food/local drink preparation/Restaurant	23=Trading (selling commodities	
02=Barber shop	13=Forestry/Logging	24=Transportation/ Driver/ Courier/ Taxi	
03=Beauty salon	14=Handicrafts or art	25=Weaving	
04=Blacksmith	15=Hunting	27= collecting fire wood/dung/fetching water for sell	
05=Casual/ intermittent labour (e.g. road crew)	16=Mechanic services	28= civil servant	
06=Child care	17=Nursing/ Medicinal services	29= cash/food for work	
07=Construction	18=Plumbing service	30=fishing	
08=Domestic work/ Cleaning/ Janitorial	19=Pottery	31=house renting	
09=Entertainment services (DJ, singing, etc.)	20=Security guard		
10=Factory Work	21=Tailor/Sewing	26=Other specify	
11=Farming/Agriculture	22=Teaching	88=NA	

## Codebox # 16: Occupation productive assets

01=Army	12=Food/local drink preparation/Restaurant	23=Trading (selling commodities)
02=Barber shop	13=Forestry/Logging	24=Transportation/ Driver/ Courier/ Taxi
03=Beauty salon	14=Handicrafts or art	25=Weaving
04=Blacksmith	15=Hunting	27= collecting fire wood/dung/fetching water for sell
05=Casual/ intermittent labour (e.g. road crew)	16=Mechanic services	28= civil servant
06=Child care	17=Nursing/ Medicinal services	29= cash/food for work
07=Construction	18=Plumbing service	30=fishing
08=Domestic work/ Cleaning/ Janitorial	19=Pottery	31=house renting
09=Entertainment services (DJ, singing, etc.)	20=Security guard	
10=Factory Work	21=Tailor/Sewing	26=Other specify
11=Farming/Agriculture	22=Teaching	88=NA

## Codebox # 17: Productive assets

Agricultural assets:	Non-agricultural assets:	Non-agricultural assets continued:	
01=Agricultural tools (e.g. sickle, crowbar, shovels)	09=Barber tools (scissors, mirror, etc.)	18=Plumbing equipment	
02=Cart/ wheelbarrow	10=Beauty salon equipment (hair dryer, etc.)	19=Pottery equipment (kiln, potters wheel, etc.)	
03=Pesticide sprayer	11=Blacksmith tools	20=Protective clothing (overalls, steel-toed boots, hardhat, etc.)	
04=Plough	12=Cleaning/domestic work equipment (mop, iron, etc.)	21=Sewing machine	
05=Working pump (motor or engine)	13=Construction tools (electrical tools, carpentry tool box, etc.)	22=Teaching supplies (books, blackboard, etc.)	
06=Thresher	14=Entertainment equipment (musical instruments, speakers, lighting, karaoke machine, etc.)	23=Trading equipment (display table, weighing machine, money box, etc.)	
07=Tractor		24=Trading license (e.g. for market stall)	
08=Other farm equipment	15=Food/Drinks preparation equipment (portable stove, serving bowls, etc.)	25=Transport (minibus, car, motorbike, bicycle, etc.)	
	16=Gun	26=Weaving equipment (loom, etc.)	
	17=Mechanic equipment (jack, tyres, jumper cables, etc)	40=Fishing boat	
		30=Other	

## Codebox # 30: Why situation changed

	Why Situation I	mproved	
01=Harvests have been good	10=Have new spouse	20=Own business or trade has been profitable	30=God has helped
02=Started growing new crops	11=Have more children	21=Set up a new business or trade	32=S/he drinks less (alcohol)
03=Tried new farming techniques	12=Children older and more able	22=Started work or new job/non-	33=Government-run
	to work	farm work	Employment Programs
04=Managed my crops or livestock well	13= Women in household drink less	23=Food or Cash Aid	34 = Spouse started work or a new job
05=Accumulated livestock	14=Men in household drink less	24= Involvement in safety net programs; Food for work/cash for work income generation programme,	35=Received a raise for current job
06=Accumulated other resources, assets, possessions	15=More people around to help, advise (Better Networks)	32=involvement in farmers'	36 = Both husband and wife work
07=My own health has improved	16=Job security (continuous security)	26=Migration	37=involvement in farmers' union
08=Spouse's health has improved	17=Live in a nicer house	27=Was willing to take risks	31=Other (Specify)
09=Spouse works hard	18=Managed my business well	28=Prices have risen	77=NK,
	19=Worked hard	29=Good Luck	88=NA
	Why Situation V		
41=Harvests have been poor	50=Have fewer other resources, assets, possessions	59=Men in household drink too much	68 = House is not as nice as it used to be
42=Tried new crops but they failed	51=I was lazy	60=Women in the house drink too much (alcohol)	69=Old age
43=Tried new ways of farming but they failed	52=Spouse was lazy	61=Less people around to help, advise (Poorer Networks)	
44=Managed my crops or livestock	53=I have been/got ill/injured/disabled	62=Theft/robbery	
45=Have less livestock	54=Spouse has been/got ill/injured/disabled	63=Too many loans	70=Bad luck
46=Managed my business poorly	55=Spouse died	64=Did not want to take risks	71=God has not helped
47=Own business failed or didn't do well	56=Children sick or died	65=Prices for goods have fallen	72=Other (Specify)
48=Tried new business/trade but it failed	57=Children moved away	66=increase in price of inputs	77=NK, 88=NA
49=Lost job (permanent/temporary)	58=Large family/too many		
66=increase in price of inputs		67=increase in food prices.	İ

## Codebox #31: Response to event

01=Ate less		10=Received help from Government/NGO	15=Took children out of school	20= Sold animals	26 = Change children of school (e.g. private to public)
02=Bought less/Reduction in household expenditures	07=Nothing	11=Sent children to be cared for by friends or relatives	16=Used credit	21=Pawning/Mortgage	77=NK,
04=Fled/moved away from the problem	08=Received help from the community/leaders	12=Sent children to work	17=Used savings	22=Started looking for a job	88=NA
05=Insurance paid	09=Received help from relatives/friends	13=Sold possessions/ belongings	18=Worked more/start work	23=Sold properties	
24 = Report to police		26 = Organize a community activity to raise funds/money	(0,000)	25=Change to cheaper ways of production (e.g. fetilizer to composte)	

#### Codebox #32: Wall material

01= Adobe/mud	05=Fibreboard/Chipboard	09=Mud & stones	13=Quincha	17=Mud and Wood	23=Wood/Tripley
02=Bamboo/Cane	06= Galvanised /Corrugated iron	10=Neohouzealla wattle	14=Stone		24=Adobe and stones
	_				
03=Brick/concrete	07= Matting	11=Nipa leaves	15=Wood/branches	21=Cane and mud	25=Concrete blocks
04=Cement bags	08=Mud & bricks	12=Plastic sheet	16=Other		
<u> </u>			Specify		

## Codebox #33: Roof material

01=AC Roofing sheets	05= Earth/mud	10=Plastic sheet	14=Thuthuri sticks	18=Wood/planks	23=Cane & mud
02=Asbestos sheets	06= Galvanised/Corrugated iron	11= Straw/thatch	15=Tiles/slates	19=Other specify	
					24= Mat
03=Bamboo/Cane	08=Nipa leaves	12=Sugar leaves	16=Wood & mud/adobe	25=Cardboard	
04= Concrete/cement	09=Palm leaves	13=Tar slabs	17= Wood & stones	20= Leaves (Achon, omiro,	

## Codebox #34: Floor material

01= Cement/tile	06= Laminated material	09= Stone/brick
02=Cinder	07=Marble stone	10=Tar slabs
03= Concrete/cement	08=Polished stone	11= Wood
04= Earth/Sand	20=Vinyl floor	12=Other specify
05=Granite stone	21=False floor	

## Codebox #35: Source of drinking water

04 B #	00 D + + 1 : - +	144 11 4 4 1
01=Bore well	06=Protected spring water	11= Unprotected
		well/spring/pond/river/stream/can
		al
02=Bought water (delivery or bottled)	07=Protected well	12=Unprotected water tank
03= Piped into dwelling/yard/plot	08= Public standpipe/tube well	13=Water tank
		(community/protected)
04=Piped into neighbours	09=Rain water	14=Other
dwelling/yard/plot		
05=Piped into relatives'	10= Tube well in	
dwelling/yard/plot	dwelling/yard/plot	

#### Codebox #36: Toilet facility

01= Flush toilet/septic tank	05= Pit latrine (communal)	08=Simple latrine on pond
02=Forest/field/open place	06= Pit latrine (household's)	09=Toilet in health post
03=Neighbours toilet	07=Relatives toilet	10=Other
		specify

## Codebox #37: Fuel for cooking

01=Bamboo	05=Coal	09=Kerosene/paraffin	13=Shavings/sawdust
02=Bio-gas	06=Cow dung	10=Leaves	14=Straw/dead plants
03=Branches	07=Crop residue	11=None	16=Wood
04=Charcoal	08=Gas/electricity	12=Rice husk	15=Other specify

## Codebox #38: Fuel for heating

01=Bamboo	05=Coal	09=Kerosene/ paraffin	13=Shavings/sawdust
02=Bio-gas	06=Cow dung	10=Leaves	14=Straw/dead plants
03=Branches	07=Crop residue	11=None	16=Wood
04=Charcoal	08=Gas/electricity	12=Rice husk	15=Other specify

## Codebox #39: Dwelling improvement

01=New/renovated bedrooms	06=Improved wall finish	11=Sanitation	15=Build new house
02=New/renovated kitchen	07=Improved roof cover	12=Water supply	16=Improve/Build fence/wall
			around house
03=New/renovated bathroom	08=Rebuild dwelling	88=NA	17=Improve/New doors/windows
04=New/renovated living room	09=Electric re-wiring		
05=Improved floor	10=Central heating/gas servicing	13=Other specify	

## Codebox # 45: Type of support/ assistance

·				
01= Agricultural extension		17=Provision of sanitation facility like toilet	25= PSNP (direct support/food/cash aid	77=NK
02= Child protection	10= Irrigation development	18=Orphan & destitute children support	26= Drinking water provision /development	79=Refused to answer
03= PSNP (public work program) for Cash	11= Prevention of Mother to child HIV/AIDS transmission	19 =School feeding	27= Investment in health infrastructure	
04= PSNP (public work program) for food	12= Assistance to child education/ education support service (including shool materials, fee waiver, etc)	20 = Food aid (not PSNP)	29= Productive assets	
05=Credit & saving	13=Training in agriculture related skills	21= Access to land (for youth through association)	30= Environmental protection/development	
06= Disability support	14= Training in non-agricultural skils	22= Other food securuity program such as Household Asset Building Programme (HABP) & Complementary Community Investment Programme (CCI)	31= Income generation scheme	
07= Education about HIV including IEC/BCC	15= support to plant trees	23= Target supplementary feeding program	28= Other (specify):	
08=Family planning and reproductive health services		24 = Rehabilitating transport infrastructure (road repairs)		

## Codebox # 46: NGOs and Gos

01=ACDI (Agric. Coop Dev. Int'l)	17= Project Concern Int'l	32=Medicins Sans Frontiers	46=Medan Act Welag Alba (Hawassa)	77=NK
02=ADRA (Adventist Dev/Relief Agency)	18= ReddBarna	33=Bureau of Food Security (BFS)	47=Mulu Wengel, (Hawassa)	60=Red Cross
03=Africare	19= Relief Society of Tigray	34=CCF	48=Kale Hiwot (Hawassa)	
04=AIDAB (Australian Int'l DevAsst Bank)	20= Save the Children	35=Disaster Prevention and Preparedness Commission (DPPC)	49=Meserete Kristos (Hawassa, Leki)	
05=Bureau of Agriculture	21= UNHCR	36=Government Organisation or Institution	50=Rift Valley Women and Children	
06=Bureau of Health	22= WFP (World Food Program)	37=Oromiya Self Help Organisation (OSHO)	51=Development Organisation (Leki)	
07=CARE	23= World Vision Relief and Dev	38=Plan International Ethiopia	52=Emmanuel Development Fund (AA)	
08=Catholic Relief Services	24=SIDA	39=Safety Net Programme office	53=Community Care Coalition (Tigray)	
09=CIDA (Canadian Int'l Dev. Agency)	25=ORDA (Oromia Development Association)	40=Federal or regional Micro and small scale enterprise development agency	54=Plan International (Amhara)	
10=Feed the Children	26=TDA (Tigray Development Association)	41=Household Asset Building Programme (HABP)	55=Health Extension Programme	
11= Food for the Hungry Int'l	27=ADA (Amghara Development Association)	41=Kebele adminstation	56=Youth clubs	
12= Lutheran World Federation	28=USAID	42=Women, Children's Affairs Bureau	57=School clubs	
13= micro finance institutions/ DECSI, ACSI, Wisdom, Sidama, Oromia etc /	29=CPAR	43=Berhan Integrated Community 44=Organisation (AA site)	58=Women's association	
14= Ministry of Education	30=FHI (Family Health International)	44=Organisation (AA site)	59=Youth Association	
16= OXFAM	31=ILRI	45=Mary Joy (Hawassa)	15= Other (specify)	

## Codebox #47: Frequency of support

01=every year	06=every 2 months	79=Refused to answer
02=twice a year	07=monthly	
03=every 5 months	08=Irregular, (specify number of	
04=every 4 months	times in the last 4 years)	
05=every 3 months	09=Other (Specify)	

## Codebox #48: Perceived impact on CHILD wellbeing

01=Better quality food	06=More time to study	11= Counselling psycho-social support	15=better family planning
02=More food	07=Less time on work activities	12 = spiritual advice	16=start up a business
03=More advice on caring practices	08=Less time on household chores	09=Other, specify	17=financial literacy
04=More resources for educational purposes	09= better business activity	13=able to sustain job	18=adquisition of other knowledge (non-working skills)
05=More healthcare treatment	10= able to obtain Job	14=adquisition of work skills	19=better use of condom, contraception

#### Codebox #49: Source of credit

01= Agricultural input loan through MFI	07=Regular microfinance credit (MFI) – DECSI, ACSI, OMO, OROMIA, GASHA, ADDIS, etc.	13= Employer
02=Cooperatives, associations	08=Relative	14= Youth Associations
03= Food security loan through MFI or others	09=IDDIR	15= women associations
04=Moneylenders	10=Friend/Neighbour	77=NK
05=Other NGOs and governmental organizations (GOs)	11=Federal or regional Micro and small scale enterprise development agency	79=Refused to answer
06=Other (specify)	12=Household Asset Building Programme (HABP),	16= Eqqub

## Codebox #50: Purpose of credit

01=Agriculture (fattening, bee keeping, horticulture, poultry, goat and sheep rearing, dairy, purchase of agricultural equipment and variable inputs, etc.)	07=Healthcare (for adult)	13=Purchase of household durables	19=Trade (cereals, coffee, livestock, salt, spices)
02=Consumption (cover food shortage, festivities, clothing)	08=House construction and maintenance	14=Purchase of oxen	40=cash, purchase equipment, machine to establish new firm other than given in 05
03=Financial/business (e.g. lending to others)	09=Jewellery	15=Schooling (for children)	41=Purchase of equipments and machine for already established firm other than provided in 05
04=Food processing (injera, oil, bread/cake, alcohol, brewery)	10= Other (specify)	16=Schooling (for adults)	77=NK
05=Handicraft/Blacksmithing (pottery, embroidery, basket making, carpentry, brick making, etc.)	11=Paying Taxes	17=Paying for services (carriage (gari), hair dressing, barberry, shoe polishing, masonry, etc)	79=Refused to answer
06=Healthcare (for children)	12=Purchase of donkey, camel and other transport animals	18=Settling other debts	

## Codebox #51: Reason for not paying back on time

01=Conflict within the household, domestic dispute, or divorce	06=Market related problems (price fluctuation, absence of demand, or lack of access to market in time)	11=Time inconvenient	77=NK
02=Crop failure	07=Migration	12=Used money for consumption purposes, such as festivity	79=Refused to answer
03=Death or illness of family member	08=Other (specify)	13=War	88=N/A
04=Death of livestock	09=Payment of other loans	14= Unseccessful business	
05=Loan default by other members of the group	10=Theft and robbery		