

THE YOUNG LIVES STUDY - HOUSEHOLD QUESTIONNAIRE - 12yr old

CONFIRM CONSENT - SAY: "When we saw you yesterday (or whenever) you agreed to continue being part of the YL study. Now that you have had time to consider this are you still happy to be part of the study - remember you can withdraw at any time?"

FIELDWORKER: If they are happy to continue then show them the consent form - either give them time to read it themselves or read it to them (if they cannot read). Then ask them to sign the consent form or put their mark.

CHILD ID AND DATE

0.1	INSERT CHILD ID	VN ___ 1 _____	CHILDDID
0.2	Date of interview	___ / ___ / 2006 d d / m m / yyyy	DINTDAY DINTMTH DINTYEAR

DATA HANDLERS

Fieldworker	Name: _____	Code: [___ ___]	FLDCODE
	Signature: _____	Date of check: ___ / ___ / _____ d d / m m / yyyy	FLDDAY FLDMTH FLDYEAR
Supervisor	Name: _____	Code: [___ ___]	SUPCODE
	Signature: _____	Date of check: ___ / ___ / _____ d d / m m / yyyy	SUPDAY SUPMTH SUPYEAR

Data entry clerk (first data entry)	Name: _____	Code: [___ ___]	DE1CODE
	Signature: _____	Date of first data entry: ____ / ____ / _____ d d / m m / yyyy	DE1DAY DE1MTH DE1YEAR
Data entry clerk (second data entry)	Name: _____	Code: [___ ___]	DE2CODE
	Signature: _____	Date of second data entry: ____ / ____ / _____ d d / m m / yyyy	DE2DAY DE2MTH DE2YEAR

SECTION 1 – PARENTAL BACKGROUND

1.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[___]	ID1
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FIELDWORKER: For this section, ask the family to identify the father, the mother and the primary caregiver (the person who spends the most time looking after the child). The mother or father could be the primary caregiver, in which case there is no need to enter any details in the last column (Primary caregiver). If e.g., mother is primary caregiver then no need to fill in last column. If neither is the primary caregiver then identify who is the primary caregiver and fill in these details in the last column. If any of the individuals are not members of the household (and are therefore not on the household roster) then use code "90".

		Father		Mother		Primary caregiver	
1.1	Is this person still alive? 01=Yes, 00=No If No, skip to 1.12 for this person	[___]	(DADAL)	[___]	(MUMAL)		
1.2	Is this the biological parent? 01=Yes, 00=No	[___]	(BIODAD)	[___]	(BIOMUM)		
1.3	Is this the primary caregiver? 01=Yes, 00=No IF ONE OF THE PARENTS IS THE PRIMARY CAREGIVER THEN CROSS THROUGH THE PRIMARY CAREGIVER COLUMN, OTHERWISE IDENTIFY THE CAREGIVER AND COMPLETE THE COLUMN.	[___]	(PRIDAD)	[___]	(PRIMUM)		
1.4	Roster ID of this person (90 if not a member of the household)	[___]	(DADID)	[___]	(MUMID)	[___]	(CAREID)
1.5	How often does this person see NAME? 01=Daily, 02=Weekly, 03=Monthly 04=Annually, 05=Irregularly, 06=Never, 77=NK	[___]	(SEEDAD)	[___]	(SEEMUM)	[___]	(SEECARE)

		Father		Mother		Primary caregiver	
1.6	How long has this person lived in this commune? (ENTER YEARS) 00=<1yr, -66=All his/her life, -77=N/K	[___]	(DADLIVE)	[___]	(MUMLIVE)	[___]	(CARELIVE)
1.7	What was the first language this person learnt as a child? (mother tongue) 10=Other, 41=Vietnamese, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Dao, 49=Gia, 77=NK	[___]	(DADLANG)	[___]	(MUMLANG)	[___]	(CARELANG)
1.8	Can this person read and understand a letter or newspaper in Vietnamese? 01=Easily, 02=With difficulty, 03=Not at all, 77=N/K If yes, skip to 1.10 for this person	[___]	(DADLITS)	[___]	(MUMLITS)	[___]	(CARELITS)
1.9	Can this person read and understand a letter, newspaper etc, in any language? 01=Easily, 02=With difficulty, 03=Not at all, 77=NK	[___]	(DADLITA)	[___]	(MUMLITA)	[___]	(CARELITA)
1.10	Can this person speak Vietnamese? 00=No, 01=Yes, 77=NK If no > Skip to 1.12 for this person	[___]	(DADSPK)	[___]	(MUMSPK)	[___]	(CARESPK)
1.11	How well does this person speak Vietnamese? 01=Fluently (like mother tongue) 02=Well (easily understood) 03=Basic (difficult to be understood), 77=NK	[___]	(DADFLU)	[___]	(MUMFLU)	[___]	(CAREFLU)

		Father		Mother		Primary caregiver	
1.12	Because of his/her ancestors and according to your customs, which of the following origins does this person belong to? 01=Mixed, 10=Other, 41=Kinh, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Dao, 49=Giay, 77=NK	[___]	(DADETH)	[___]	(MUMETH)	[___]	(CAREETH)
1.13	What is this person's religion? 01=Christian (Catholic), 02=Muslim, 03=Buddhist, 06=Christian (Protestant) 10=Other, 12=Cao Dai, 14=None 15=Hao Hoa, 77=NK	[___]	(DADREL)	[___]	(MUMREL)	[___]	(CAREREL)
1.14	What is the highest education grade attained (completed) by this person? ENTER GRADE 01-12, 13= Vocational post-secondary, 14=University, 28=Adult literacy, 29=Religious education, 00=None	[___]	(DADED)	[___]	(MUMED)	[___]	(CAREED)

SAY: I now want to ask some questions about **NAME's** background

1.15	Where did NAME live when he/she was born? 01=Same commune, 02=Outside commune, within district, 03=Outside district, within region, 05= Outside region, within the country, 06=Other country, 77=NK	[___]	(CHILDLIV)
1.16	How long has NAME lived here? (in years) (THIS NUMBER HAS TO BE MORE THAN 4 if child is in same area as in FIRST ROUND)	[___]	(LONGLIV)
1.17	Has NAME ever left this locality? 00=No, 01=Yes, 77=NK	[___]	(LEFT)
1.18	How many children were born (and lived for at least 24 hours) to NAME's mother before NAME?	[___]	(BORNBEP)
1.19	How many children have been born (and lived for at least 24 hours) to NAME's mother after NAME?	[___]	(BORNAFT)
1.20	Which of the following origins does NAME belong to? 01=Mixed, 10=Other, 77=NK 41=Kinh, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Dao, 49=Giay	[___]	(CHLDETH)

1.21	What is 'NAME's religion? 01=Christian (Catholic), 02=Muslim, 03=Buddhist, 06=Christian (Protestant), 10=Other, 12=Cao Dai, 14=None, 15=Hao Hoa, 77=NK, 88=N/A	[_ _]	(CHLDREL)
1.22	Is there a male household member that the child considers as his/her example role model? (ENTER ID CODE FROM HOUSEHOLD ROSTER. IF NONE USE 88=N/A)	[_ _]	(MALEROLE)
1.23	Is there a female household member that the child considers as his/her example role model? (ENTER ID CODE FROM HOUSEHOLD ROSTER. IF NONE USE 88=N/A)	[_ _]	(FEMROLE)
1.24	What was the first language NAME learned at home? 10=Other, 41=Vietnamese, 42=Chinese, 43=Tay, 44=H'Mong, 45=Nung, 46=Ede, 47=Thai, 48=Doa, 49=Giay, 77=NK	[_ _]	(CHLNG1ST)
1.25	Currently, does NAME speak Vietnamese? 00 = Not at all, 01 = Yes, speaks and understands, 02 = Does not speak, but understands	[_ _]	(CHSPKLNQ)

SECTION 2 – HOUSEHOLD EDUCATION

2.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[___]	ID2
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2A – HOUSEHOLD EDUCATION

SAY: Now I am going to ask you some questions about the education level of each individual in the household.

FIELDWORKER: Place the household roster card over the 'ID' column of this table. Work through the columns in the table asking questions 2.1.1 to 2.1.4 for each individual identified in the household roster card who is aged aged **18 and over**.

FIELDWORKER: Note: You have already collected highest grade attained for mother, father and/or caregiver in question 1.12. Therefore, do not ask 2.1.1 for mother, father, and/or caregiver. **DO ASK 2.1.2** for all household member, including mother, father and/or caregiver.

Say: First, I am going to ask about the education level of all HH members aged **18 and over**.

2.1	2.1.1	2.1.2
ID	What was the highest grade that this person completed (excluding pre-primary)? (ENTER GRADE 01-12; 13=Post-secondary, Vocational; 14=University; 28=Adult literacy; 29=Religious education, 00=None)	Is this person currently in full time education? 00=No, 01=Yes, attending regularly, 02=Yes, but attending irregularly, 77=NK
(ID)	(GRADE)	(STILL)
[___]	[___]	[___]
[___]	[___]	[___]
[___]	[___]	[___]
[___]	[___]	[___]
[___]	[___]	[___]
[___]	[___]	[___]
[___]	[___]	[___]
[___]	[___]	[___]

[__]	[__]	[__]
[__]	[__]	[__]
[__]	[__]	[__]
[__]	[__]	[__]

SAY: Now I'm going to ask about the education of all children in household between the ages and 5 and 17.

FIELDWORKER: Transfer IDs for all 5-17 year olds in ID column below.

2.2 FOR AGES 5-17 ONLY					
	2.2.1	2.2.2	2.2.3	2.2.4	2.2.5
CHILD ID	What was the highest grade that this person completed (excluding pre-primary)? (ENTER GRADE 01-12; 13=Post-secondary, Vocational; 14=University; 28=Adult literacy; 29=Religious education, 00=None)	Is this person currently in full time education? 00=No, but has previously been in school 01=Yes, attending regularly, 02=Yes, but attending irregularly, 04=No, has never been in school 77=NK (If yes, Skip to 2.2.5)	Why is this child currently NOT in full-time education? (ENTER CODE FROM CODE BOX 1) (If never enrolled, SKIP to next child)	[If this child has ever been in full-time education] How old was this child when s/he completed/ stopped full-time education? (ENTER AGE, 77=NK, 88=N/A)	[If this child has ever been in full-time education] How old was this child when s/he first entered full-time education? (ENTER AGE, 77=NK, 88=N/A; 89=Never) If currently NOT enrolled in full-time education, SKIP to next child .
(ID)	(CHGRADE)	(CHSTILL)	(WHYNOT)	(AGEGRADE)	(AGESTSCH)
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]

[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
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CODEBOX 1 - REASONS NOT IN SCHOOL			
01= Fees too expensive	09= Banned from school for behaviour reasons	17= No need for schooling for future job.	25= Family member ill/disabled/elderly (including care for this family member)
02= Books and/or other supplies too expensive	10= Banned from school because away for too long	18= Need to learn a trade/skill, e.g. through apprenticeship so went to work	26= Family issues e.g. problems at home – parent disputes/marital conflict
03= Shoes/Clothes/Uniform for school too expensive	11= Banned from school because failed to achieve necessary grade/level at school	19= Need to stay home to look after siblings	27= Stigma and Discrimination (child was not be welcome because of ethnic group/caste/socioeconomic group etc).
04= Transport too expensive	12= Quality of education at school (teaching and learning) poor	20= Needed for domestic and/or agricultural work at home (include chores, farm work, harvest)	28= School not accessible for seasonal reasons: river prohibits access
05= School too far from home	13= Quality of care (food, non-educational care) poor	21= Have to do paid work to earn money (including agricultural work other than for household)	29= Child too young
06= Not safe to travel to school	14= No sanitation facilities at school	22= It's not appropriate for girls to go to/continue at school	30= Child Still in preschool
07= Lack of transport	15= Bullying/abuse from peers	23= Marriage	31= Other
08= Truancy, child does not want to go, not interested	16= Ill-treatment/abuse from teachers/principal	24= Disability, Illness	79= Refused to answer

FIELDWORKER: For all children in the household aged between 5 and 17 yrs old who are currently enrolled in Full Time Education, ask the following questions.

2.2 FOR AGES 5-17 (Currently enrolled in full-time education)				
	2.2.6	2.2.7	2.2.8	2.2.9
CHILD ID	What type of school are they attending? 01=Private, 02=Public community school (e.g. NGO/Charity/Church not-for-profit), 03= Public of the National Government, 04=Informal community (e.g. mothers' cooperative), 05=Other, 77=NK, 88=N/A	What is the name of his/her school? FIELDWORKER: Please record name of school in space below.	How would you say the child is performing? 01= Excellent; 02=Good; 03=Reasonably well; 04=Poorly; 05=Very bad; 77=NK; 88=N/A	How good would you say the school is in terms of overall quality (including teaching and infrastructure)? 01= Excellent 02=Good; 03=Reasonably OK; 04=Bad; 05=Extremely bad
(ID)	(HHSCHTYP)	(SCHNAME)	(PERF)	(SCHOOLEN)
[__ __]	[__ __]	_____	[__ __]	[__ __]
[__ __]	[__ __]	_____	[__ __]	[__ __]
[__ __]	[__ __]	_____	[__ __]	[__ __]
[__ __]	[__ __]	_____	[__ __]	[__ __]
[__ __]	[__ __]	_____	[__ __]	[__ __]
[__ __]	[__ __]	_____	[__ __]	[__ __]
[__ __]	[__ __]	_____	[__ __]	[__ __]
[__ __]	[__ __]	_____	[__ __]	[__ __]
[__ __]	[__ __]	_____	[__ __]	[__ __]

2B - EDUCATION AID

2.3	Over the last 12 months, has NAME received support in cash or in-kind from government or educational organisations for his/her schooling? 00=No, 01=Yes If No, skip to 2.7	[___]	(VNEDAID)
2.4	Has NAME been exempted from tuition by the government? 01=Yes, 00=No If No, skip to 2.7	[___]	(VNEXTUIT)
2.4.1	What are the reasons for NAME being exempted from tuition? 01=Household's low economic status, 02=Ethnic minority status, 03=Martyr, 04=Household member is disabled or a sick veteran, 05=Household's remote location, 06=Household's difficult situation, 07=Primary pupil, 08=School offers free tuition, 09=Household has many children, 10=Other, Specify: _____	[___]	(VNYEXTUT) (SPECTUIT) (ESPCTUIT)
2.5	What is the total amount of tuition exempted for NAME each month? (in VND)	[___]	(VNEXAMNT)
2.6	What percentage of NAME's education cost does this exemption cover? 01=0-10%, 02=10-20%, 03=20-30%, 04=30-40%, 05=40-50%, 06=50-60%, 07=60-70%, 08=70-80%, 09=80-90%, 10=90-100%	[___]	(VNEXPERC)

2.7	In the last 12 months, how much have you contributed for education of your children, exclusive of officially compulsory tuition fees?				
	TYPE of contribution	2.7.1 Thinking about the school(s) attended by children from your household - Do the parents of children at these schools contribute in cash towards the following: 00=No, 01=Yes, compulsory, 02=Yes, voluntary,	2.7.2 How much did your household contribute over the past 12 months? (in VND)	2.7.3 What was the value of the exemption your household was granted for this contribution? (in VND)	2.7.4 What was the reason for this exemption? (ENTER CODE FROM CODE BOX #2)
	(SPECSCON) (ESPCSCON)	(SCHPAY01) - (SCHPAY06)	(SCHCON01) (SCHCON06)	(EXSCH01) - (EXSCH06)	(REASEX01) (REASEX06) (SPECRX01) - (SPECRX06) (ESCPRX01) - (ESCPRX06)
01	School construction fee	[___]	[___ . ___]	[___ . ___]	[___]
02	Accident insurance	[___]	[___ . ___]	[___ . ___]	[___]

03	School security	[___]	[____ . ____]	[____ . ____]	[___]
04	Class's fund	[___]	[____ . ____]	[____ . ____]	[___]
05	Pupils' parents' fund	[___]	[____ . ____]	[____ . ____]	[___]
06	Other, Specify: _____	[___]	[____ . ____]	[____ . ____]	[___]

CODEBOX 2 - REASON FOR SCHOOL FEE EXEMPTION		
01=Household's low economic status	04=Household member is disabled or a sick veteran	07=Primary pupil
02=Ethnic minority status	05=Household's remote location	08=School offers free tuition
03=Martyr	06=Household's difficult situation	09=Household has many children
		10=Other, specify: _____

2.8	Over the past 12 months, what is the total amount that has been received from educational aid organizations for NAME's education? (in VND) -77=NK If 0, skip to Section 2C	[____ . ____]	(VNAMTREC)
2.9	(FIELDWORKER: ONLY ASK IF SOME EDUCATION AID OR TUITITON EXEMPTION WAS RECEIVED) If you had not received education aid and/or tuition exemptions, would you still send NAME to school? 00=No, 01=Yes, 77=NK	[___]	(VNSTSCHL)

2C - EXTRA SCHOOLING

2.10	Has NAME participated in extra classes (additional to regular schooling) in the last six months? 00=No, 01=Yes, 77=NK If Yes, skip to 2.12	[___]	(VNEXCLS)
2.11	Why does NAME not attend extra classes? You can provide up to three answers, but please list the most important reasons first. (ENTER CODES FROM CODE BOX #3) Skip to Section 3	[___] [___] [___]	(VNYNOCL1) (VNYNOCL2) (VNYNOCL3)

CODEBOX 3 - REASONS FOR NO EXTRA CLASSES		
01=Not necessary for knowledge	05=Fees too expensive	09=Bullying/abuse from peers
02=Child must do paid work to earn money	06=Books and/or other supplies too expensive	10=Child not interested (truancy)
03=Child must stay home to help family with other tasks	07=Not safe to travel to classes	11=Child does other activities (e.g. sport, music, etc.)
04=Disability or illness of child	08=Ill treatment/abuse from teachers/principal	12=Other

2.12	What are the main reasons that NAME attends extra classes? You can provide up to three answers, but please list the most important reasons first. (ENTER CODES FROM CODE BOX #4)	[___] [___] [___]	(VNYXCLS1) (VNYXCLS2) (VNYXCLS3)
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CODEBOX 4 - REASONS FOR EXTRA CLASSES		
01=Regular school teachers requested child attend extra classes	04=Almost all children take extra classes	07=Helpful practice for enrolment examination for higher level of education
02=To improve child's academic performance in regular school	05=Helpful practice for examinations for main classes	08=Child wants to attend

03=To enjoy friends	06=Knowledge in regular school classes is not enough	09=Other	

2.13	During an average week, how many hours has NAME attended extra classes? -77=NK	[___] Hours/week	(VNXCLHRS)
2.14	In your opinion, is this amount of time for extra classes too much, too little or reasonable? 01=Too little, 02=Reasonable, 03=Too much, 77=NK	[___]	(VNOPHRS)
2.15	How many hours each week would you prefer for NAME to attend extra classes? -77=NK	[___] Hours/week	(VNP RHRS)
2.16	Over the past six months, approximately how much money has the household paid for NAME's extra classes? (in VND) -77=NK	[___ . ___]	(VNXCCOST)
2.17	Have you had any difficulty paying for NAME's extra classes? 00=No, 01=Yes	[___]	(VNXCPAYD)
2.18	How would you rate the impacts of extra classes for NAME's performance in ordinary school classes? 01=Extremely positive, 02=Positive, 03=No Impact, 04=Negative, 05=Extremely negative	[___]	(VNXCPERF)
2.19	How would you rate the impacts of extra classes for NAME's physical development? 01=Extremely positive, 02=Positive, 03=No Impact, 04=Negative, 05=Extremely negative	[___]	(VNXCDEVP)

SECTION 3 - LIVELIHOODS AND ASSET FRAMEWORK

3.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[___]	ID3
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SAY: I am going to ask you about what people in this household do to make a living and about the assets that the household has access to.

3A LAND AND CROP AGRICULTURE

SAY: Now I am going to ask you some questions about how the household makes a living.

3.1	In the last 12 months has anyone in your household owned, borrowed or rented any land? 00=No, 01=Yes If No > skip to 3.10	[___]	(OWNLAND)
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3.2	Please tell me about each plot and its uses in the last 12 months/agricultural year? Start with the plot your house is built on.					
	3.2.1	3.2.2	3.2.3	3.2.4	3.2.5	3.2.6
PLOT	Size of plot in metres squared -77=NK	Which of the following best describes this plot? 01=Owned 02=Rented in, 03=Borrowed 04=Sharecropped in, 05=Common property, 06=Squatted 31=Leased from state, 33=Mortgaged 77=NK	What is the plot used for? 01=Farming (arable), 02=Pasture, 03= Gardening 04=Building (house/shop), 05=Industry, 06=Rented out, 07=Sharecropped out, 08=Nothing/left fallow, 09=Recreation, 10=Grazing livestock, 11=Aquaculture, 12=Forestry, 77=NK	Would you be able to transfer the full use of the plot to anyone else, through sale, gift or bequest? 00=No; 01=Full transfer rights; 02=Only bequest; 03=Transfer only with formal community approval.	Would anyone in the household be able to use this plot as collateral? 00=No, 01=Yes If No or NK > Skip to next plot	Who would be able to transfer this plot or use it for collateral? Get ID # from hh roster - use 90 if person not in household, Use 80 if household as a whole 88=N/A
(PLOTID)	(LAREA)	(LOWN)	(LUSE)	(TRANS)	(COLLAT)	(TRANSID)
01 (HOUSE)	[___ • ___]	[___]	[___]	[___]	[___]	[___]
02	[___ • ___]	[___]	[___]	[___]	[___]	[___]
03	[___ • ___]	[___]	[___]	[___]	[___]	[___]
04	[___ • ___]	[___]	[___]	[___]	[___]	[___]

05	[____ • __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
06	[____ • __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
07	[____ • __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]

3.2.7	Who is the <u>main</u> person responsible for making the key decisions about most of the land? (Get ID # from HH roster: use 90 if person not in household, use 80 if household as a whole, 88=N/A (e.g. if only one person) If caregiver identified, skip to 3.2.10)	[__ __]	DECID
3.2.8	Is the caregiver responsible for making the key decisions about any of the plots? (00=No, 01=Yes, 77=NK) If no, skip to 3.2.10	[__ __]	DECCG
3.2.9	If yes, which plots? (Give up to three plots) (Insert plot ID for up to three plots. Give the most important one first)	[__ __][__ __][__ __]	(DECPL1) (DECPL2) (DECPL3)
3.2.10	Who is the <u>main</u> person responsible for looking after/farming most of the land? Get ID # from HH roster: use 90 if person not in household, use 80 if household as a whole 88=N/A (e.g. if plot is left fallow) If caregiver identified, skip to 3.2.13	[__ __]	LOOKID
3.2.11	Is the caregiver responsible for looking after/farming any of the plots? (00=No, 01=Yes, 77=NK) If no, skip to 3.2.13	[__ __]	LOOKCG
3.2.12	If yes, which plots? (Give up to three plots) (Insert plot ID for up to three plots. Give the most important one first)	[__ __][__ __][__ __]	(LOOKPL1) (LOOKPL2) (LOOKPL3)
3.2.13	Who mainly controls the use of the earnings from the sale of goods or rent from most of the land? Get ID # from HH roster: use 90 if person not in household, use 80 if household as a whole 88=N/A (e.g. if plot is left fallow) If caregiver identified, skip to 3.3	[__ __]	BENID
3.2.14	Does the caregiver control the use of the earnings from the sale of goods or rent from any of these plots? (00=No, 01=Yes, 77=NK) If no, skip to 3.3	[__ __]	BENCG
3.2.15	If yes, which plots? (Give up to three plots) (Insert plot ID for up to three plots. Give the most important one first)	[__ __][__ __][__ __]	(BENPL1) (BENPL2) (BENPL3)

FIELDWORKER: Ask about all land that the household is not using for growing crops (See question 3.2.3 for codes other than 01):

3.3	3.3.1	3.3.2	3.3.3
(PLOTID) INSERT PLOT ID FROM TABLE 3.2	Did the household receive any income (cash or in-kind) from this land in the last 12 months? 00=No, 01=Yes If No, Skip to next plot	What is the total value of income you collected for this plot (cash and/or in-kind) in the last 12 months? (in VND)? FIELDWORKER: If plot is sharecropped, record value of in-kind rent collected	What is the total value of money you have spent on this land (e.g. buildings, services, repair, maintenance, mortgage payments, etc.) in the last 12 months? (in VND)
(PLOTID)	(PLINCOME)	(PLVALUE)	(PLCOST)
[___]	[___]	[___]	[_____ • ___]
[___]	[___]	[___]	[_____ • ___]
[___]	[___]	[___]	[_____ • ___]
[___]	[___]	[___]	[_____ • ___]
[___]	[___]	[___]	[_____ • ___]
[___]	[___]	[___]	[_____ • ___]
[___]	[___]	[___]	[_____ • ___]

FIELDWORKER: If any plots are used for growing crops (i.e. 01 response to question 3.2.3 above), ask the following questions. **IF NO CROPS ARE GROWN SKIP TO SECTION 3B.**

3.4	3.4.1	3.4.2	3.4.3
PLOT (IF USED FOR GROWING CROPS)	If you are using the land to grow crops in the last 12 months, what are the main crops you grow in the plot? If more than 1 please choose the 3 most important crops in terms of household welfare. (ENTER CODE FROM CODE BOX # 5)	In the last 12 months have you irrigated any of the land? 00=No, 01=Yes, If No, > Skip to next plot	Of the land what proportion was irrigated in the last dry season? 00=None, 01=Less than half, 02=Half, 03=More than half, 04=All,
(PLOTID)	(CROP01) (CROP02) (CROP03)	(IRRIGAT)	(PERIRRIG)
[___]	[___] [___] [___]	[___]	[___]
[___]	[___] [___] [___]	[___]	[___]

[_ _]	[_ _] [_ _] [_ _]	[_ _]	[_ _]
[_ _]	[_ _] [_ _] [_ _]	[_ _]	[_ _]
[_ _]	[_ _] [_ _] [_ _]	[_ _]	[_ _]
[_ _]	[_ _] [_ _] [_ _]	[_ _]	[_ _]
[_ _]	[_ _] [_ _] [_ _]	[_ _]	[_ _]

CODEBOX 5 - CROPS						
92=Apples	85=Cassava/manioc	26=Garlic	112=Kohirabi	50=Onions	56=Pumpkin	69=Sweet potatoes
03=Avocado	15=Chick peas	28=Ginger	42=Lentils	51=Orange	119=Rubber	122=Tea
04=Bananas	16=Chillies	91=Grapes/vineyard	111=Longans, litchis, rambutans	52=Other cereals	60=Sesame	71=Tobacco
09=Black Pepper	109=Coconut	31=Grass	44=Maize	121=Paddy	113=Soybean	72=Tomato
118=Bonsai	17=Coffee	32=Groundnut	45=Mango	53=Paddy Rice	65=Spices	74=Vegetables
117=Breeding trees	18=Cotton	33=Guava	120=Mangosteens	93=Papaya	66=Spinach	75=Wheat
10=Cabbage	21=Eucalyptus	35=Haricot beans	46=Mulberry	54=Pineapple	67=Sugar	78=Yam
12=Carrot	24=Flowers	36=Honey	116=Mustard Greens	110=Plums	80=Sugar cane	126= Water morning glory
114=Cashew	25=Fruits	115=Jute, ramie	48=Nuts	55=Potatoes	68=Sunflower	77=NK, 88=N/A

3.5	In the last 12 months has anyone in the household used chemical fertilizer on your land? 00=No, 01=Yes, 77=NK	[__]	(FETILISE)
3.6	In the last 12 months did you or anyone in your household use any methods to increase the yield of your commercial crops, such as HYV/improved variety seeds 00=No, 01=Yes, 77=NK	[__]	(METHODS)
3.7	In the last 12 months did you or anyone in your household ever share a tractor or other farming vehicles with other people in the community? 00=No, 01=Yes, 77=NK	[__]	(FARMshr)
3.8	In the last 12 months did you or anyone in your household ever share agricultural labour with other people in the community? 00=No, 01=Yes, 77=NK	[__]	(LABshr)

SAY: Now I am going to ask you about income and expenditure from crops you have grown in the last agricultural year.

3.9	Income and expenditure from crops
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	3.9.1	3.9.2	3.9.3	3.9.4	3.9.5
CROP	LIST IN THIS COLUMN THE CROPS CULTIVATED BY THE HOUSEHOLD IN THE PAST 12 MONTHS (ENTER CODE FROM CODE BOX # 5 - BASED ON 3.4.1)	What is the <u>total quantity (in quintiles)</u> of this crop <u>harvested</u> in the last agricultural year? Quantity (in quintiles), -77=NK	LOCAL UNITS (Need a code box for this)	What is the value of the total harvest of this crop <u>sold</u> in the last agricultural year? (in VND) -77=NK	What were your estimated <u>operational</u> costs incurred in producing this crop in the last agricultural year? (in VND) -77=NK
(CROPID)	(CROP)	(QNTHARV)	(QNTUNIT) (SPECQUNT) (ESPCQUNT)	(VLCRSLD)	(CROPCOST)
Crop 01	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 02	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 03	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 04	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 05	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 06	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 07	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 08	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 09	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]
Crop 10	[_ _]	[_____ • _ _]	[_ _]	[_____ • _ _]	[_____ • _ _]

SECTION 3B TIME ALLOCATION OF ADULTS AND CHILDREN

FIELDWORKER: This section is an attempt to get a more complete understanding of what people do - including non-agricultural activities. Also it will allow intra-household division of labour. Please note that unpaid activities such as household chores **MUST** be included here. For each household member record the 3 most important activities.

3.10	For each member of the household please record in the tables below their 3 most important work activities (in terms of time spent) in the last 12 months beginning with the most important activity. If a household member has done less than 3 work activities or does not work at all (e.g. very young or very old) then please use the N/A code of 88 as appropriate					
	3.10.1	3.10.2	3.10.3			
ID (from household roster)	Does this person have a permanent disability and if so how does it affect their ability to work and take care of themselves (ENTER CODE FROM CODE BOX # 6)	Most important activity (ENTER CODE FROM CODE BOX # 7) (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX # 7)	Enter the number of months in which any of this activity was done, give the typical number of days per week during these months and approximate hours per day			
			Number of months per year	Number of weeks per month	Days per week	Hours per day
(ID)	(DISAB)	(ACT1)	(MONTHS1)	(WEEKS1)	(DAYS1)	(HOURS1)
[___]	[___]	[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]	[___]	[___]

CODEBOX 6 - DISABILITY SCALE		
00=No disability (able to work same as others of this age)	02=Able to work full-time but only work requiring no physical activity	04=Cannot work but able to care for themselves (e.g. dress themselves, etc.)

<i>01=Capable of most types of full-time work but some difficulty with physical work</i>	<i>03=Can only do light work on a part-time basis</i>	<i>05=Cannot work and needs help with daily activities such as dressing, washing, etc.</i>
--	---	--

CODEBOX 7 - OCCUPATION CODES		
AGRICULTURE & ALLIED AGRICULTURE	NON-AGRICULTURE	UNEMPLOYED OR UNPAID
<i>01=Self Employed (Food crops)</i>	<i>08=Self Employed (Manufacturing)</i>	<i>14=Unemployed</i>
<i>02=Self Employed (Non-food, including horticulture, sericulture and floriculture)</i>	<i>09 Self Employed (Services)</i>	<i>15=Household chores</i>
<i>03 = Self Employed (Aquaculture)</i>	<i>10= Self Employed (Business)</i>	<i>16=Other unpaid activity</i>
<i>04 = Self Employed.(Livestock)</i>	<i>11 = Self Employed (Other non-Agriculture.)</i>	<i>17=Household dependent (child or retired elder)</i>
<i>05=Wage Employment (Agriculture)</i>	<i>12=Wage Employment (Unsalariated; Non-agriculture)</i>	<i>18=Begging</i>
<i>06=Annual Farm Servant</i>	<i>13=Regular Salaried Employment</i>	
<i>07=Other (allied) agriculture</i>		<i>77=NK</i>

3.10	Continued (Second most important activity)				
	3.10.4	3.10.5			
ID (from household roster)	Second most important activity (ENTER CODE FROM CODE BOX # 7) (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX # 7)	Enter the number of months in which any of this work was done, give the typical number of days per week during these months and approximate hours per day			
		Number of months per year	Number of weeks per month	Days per week	Hours per day
(ID)	(ACT2)	(MONTHS2)	(WEEKS2)	(DAYS2)	(HOURS2)
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]
[__]	[__]	[__]	[__]	[__]	[__]

[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]

3.10	Continued (Third most important activity)				
	3.10.6	3.10.7			
ID (from household roster)	Third most important activity (ENTER CODE FROM CODE BOX # 7) (FIELDWORKER: You may want to write down the activity and later choose the correct does from CODE BOX # 7)	Enter the number of months in which any of this work was done, give the typical number of days per week during these months and approximate hours per day			
(ID)	(ACT3)	Months per year	Weeks per month	Days per week	Hours per day
		(MONTHS3)	(WEEKS3)	(DAYS3)	(HOURS3)
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]

SAY: Now I am going to ask you some questions about the activities carried out by each individual in the household between the ages of 5 and 17yrs.

FIELDWORKER: TAKE THE HOUSEHOLD ROSTER CARD AND FOR EACH HOUSEHOLD MEMBER BETWEEN 5 AND 17YRS OLD RECORD THEIR ROSTER ID AND ASK THE FOLLOWING QUESTION.

3.11	Typically how many hours did each child in the household (aged between 5 and 17yrs) spend on the following activities during <u>a typical day</u> in the last week? Start with NAME. 00=None, 77=NK, 88=N/A							
	3.11.1	3.11.2	3.11.3	3.11.4	3.11.5	3.11.6	3.11.7	3.11.8
ID from roster Start with NAME.	Sleeping	Caring for others (younger siblings, ill household members)	Domestic tasks (fetching water, firewood, cleaning, cooking, washing, shopping, etc)	Tasks on family farm, cattle herding, other family business, shepherding (not just farming)	Activities for pay outside of household or for someone not in the household	At school	Studying outside of school time (at home, extra tuition)	Play time / general leisure
(ID)	(SLEEP)	(CHCARE)	(HHCHORE)	(NPAYWORK)	(PAYWORK)	(SCHOOL)	(STUDY)	(PLAY)
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]

SECTION 3C - PRODUCTIVE ASSETS

SAY: Now I am going to ask you some questions about animals owned by household members in the last 12 months:

3.12	Has anyone in the household owned any livestock in the last 12 months? 00=No, 01=Yes, 77=NK If no or NK > skip to 3.14.1	[___]	(ANIMALS)
-------------	--	---------	-----------

3.12	Type of Livestock	3.12.1 Has anyone in the household owned any of the following animals in the last 12 months? 00=No, 01=Yes, 77=NK IF NO, SKIP TO NEXT ANIMAL	3.12.2 How many of the following animals does the household currently own? -77=NK, -88=N/A	3.12.3 If you were to sell all your 'NAME OF ANIMAL' today how much would you get for them in VND? -77=NK, -88=N/A
		(ANYAIM)	(NUMAIM)	(VALAIM)
	MILK ANIMALS			
01	Cow (modern variety)	[___]	[_____]	[_____ • ___]
02	Cow (traditional variety)	[___]	[_____]	[_____ • ___]
03	Calves	[___]	[_____]	[_____ • ___]
04	Buffalo (modern Variety)	[___]	[_____]	[_____ • ___]
05	Buffalo (Traditional Variety)	[___]	[_____]	[_____ • ___]
	DRAUGHT ANIMALS			
07	Bullock	[___]	[_____]	[_____ • ___]
08	He-buffalo	[___]	[_____]	[_____ • ___]
09	Donkey/horse/ Other	[___]	[_____]	[_____ • ___]
	SMALL RUMINANTS			
13	Sheep	[___]	[_____]	[_____ • ___]

3.12		3.12.1	3.12.2	3.12.3
14	Goat	[_ _]	[_ _ _]	[_ _ _ . _]
15	Pigs	[_ _]	[_ _ _]	[_ _ _ . _]
16	Poultry/birds	[_ _]	[_ _ _]	[_ _ _ . _]
17	Rabbits	[_ _]	[_ _ _]	[_ _ _ . _]

3.12.4	Who is the <u>main</u> person that can make decisions about most of the animals? (GET ID # FROM HH ROSTER, 90=PERSON NOT IN HOUSEHOLD, 80=WHOLE FAMILY) 77=NK, 88=N/A. If the caregiver identified, skip to 3.12.6	[_ _]	DSAID
3.12.5	Can the caregiver make decisions about any of the animals? (00=no, 01=yes, 77=NK, 88=N/A)	[_ _]	DSACG
3.12.6	Can NAME make decisions about any of the animals? (00=no, 01=yes, 77=NK)	[_ _]	(DSACHILD)
3.12.7	Who is the <u>main</u> person responsible for looking after these animals? (GET ID # FROM HH ROSTER, 90=PERSON NOT IN HOUSEHOLD, 80=WHOLE FAMILY) 77=NK, 88=N/A. If caregiver identified, skip to 3.12.9	[_ _]	LKAID
3.12.8	Is the caregiver responsible for looking after any of the animals? (00=no, 01=yes, 77=NK, 88=N/A)	[_ _]	LKACG
3.12.9	Is NAME responsible for looking after any of the animals? (00=no, 01=yes, 77=NK)	[_ _]	(LKACHILD)
3.12.10	Who is the <u>main</u> person who controls the use of the earnings from the sale of goods or rent from these animals? (GET ID # FROM HH ROSTER, 80 =HOUSEHOLD AS WHOLE, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A If caregiver identified, skip to 3.12.12	[_ _]	BNAID
3.12.11	Does the caregiver control the use of the earnings from the sale of goods or rent from any of the animals? (00=no, 01=yes, 77=NK, 88=N/A)	[_ _]	BNACG
3.12.12	Does NAME control the use of the earnings from the sale of goods or rent from any of the animals?	[_ _]	(BNACHILD)

3.13	What is the money value (in VND) of the total amount purchased by the household in the last 12 months for the following items:	(ENTER VALUE IN VND, 00=NOTHING, -77=NK)	
01	Animal feed	[_____•__]	(ANFDCOST)
02	Veterinary service	[_____•__]	(VETCOST)
03	Other	[_____•__]	(ANOTCOST)

SAY: Now I am going to ask you some questions about productive assets owned, rented or accessed by household members in the last 12 months.

FIELDWORKER: These should include both farm and non-farm productive assets.

3.14.1	PRIMARY OCCUPATION PRODUCTIVE ASSETS		
	In the last 12 months, what was the most important occupation/money-making activity of the household? (ENTER CODE FROM CODE BOX # 8) FIELDWORKER: If NONE, SKIP to 3.15.	[___]	(PRIMOCC)

CODEBOX 8 - PRIMARY OCCUPATION PRODUCTIVE ASSETS		
01=Army	10=Factory Work	19=Pottery
02=Barber shop	11=Farming/Agriculture	20=Security guard
03=Beauty salon	12=Food preparation/Restaurant	21=Tailor/Sewing
04=Blacksmith	13=Forestry/Logging	22=Teaching
05=Casual/ intermittent labour (e.g. road crew)	14=Handicrafts or art	23=Trading (selling commodities)
06=Child care	15=Hunting	24=Transportation/ Driver/ Courier/ Taxi
07=Construction	16=Mechanic services	25=Weaving
08=Domestic work/ Cleaning/ Janitorial	17=Nursing/ Medicinal services	27=Fishing
09=Entertainment services (DJ, singing, etc.)	18=Plumbing service	26=Other

Can you tell me up to three of the most VALUABLE assets (owned, rented, or borrowed) that allowed you (the household) to do this activity?				
	3.14.2	3.14.3	3.14.4	3.14.5
	ASSET - owned, rented or borrowed (ENTER CODE FROM CODE BOX # 9) (IF 'Other', SPECIFY THE TYPE OF ASSET)	How many of them do you actually own? (ENTER NUMBER OWNED, -77=NK)	If you sold it/them today, how much could you get? (ENTER AMOUNT IN VND, 00=Nothing, -77=NK, -88=N/A)	Who is the <u>main</u> person who decides whether to sell or give it/them away? <i>Get ID # from HH roster, 80=Whole household, 90=Person not in household, 77=NK, 88=N/A</i>
(PASSID)	(PASSET) (SPECPASS)	(NUMPASS)	(VALPASS)	(DECPASS)
01	[___] If 'Other', specify: _____	[___]	[_____•___]	[___]
02	[___] If 'Other', specify: _____	[___]	[_____•___]	[___]
03	[___] If 'Other', specify: _____	[___]	[_____•___]	[___]

CODEBOX 9 - PRODUCTIVE ASSETS		
Agricultural assets:	Non-agricultural assets:	Non-agricultural assets continued:
01=Agricultural tools (e.g. sickle, crowbar, shovels)	09=Barber tools (scissors, mirror, etc.)	18=Plumbing equipment
02=Cart/ wheelbarrow	10=Beauty salon equipment (hair dryer, etc.)	19=Pottery equipment (kiln, potters wheel, etc.)
03=Pesticide sprayer	11=Blacksmith tools	20=Protective clothing (overalls, steel-toed boots, hardhat, etc.)
04=Plough	12=Cleaning/domestic work equipment (mop, iron, etc.)	21=Sewing machine
05=Pump (motor or engine)	13=Construction tools (electrical tools, carpentry tool box, etc.)	22=Teaching supplies (books, blackboard, etc.)

06=Thresher	14=Entertainment equipment (musical instruments, speakers, lighting, karaoke machine, etc.)	23=Trading equipment (display table, weighing machine, money box, etc.)
07=Tractor	15=Food preparation equipment (portable stove, serving bowls, etc.)	24=Trading license (e.g. for market stall)
08=Other farm equipment	16=Gun	25=Transport (minibus, car, motorbike, bicycle, etc.)
	17=Mechanic equipment (jack, tyres, jumper cables, etc)	26=Weaving equipment (loom, etc.)
		40=Fishing boat
		30=Other

3.14.6	SECONDARY OCCUPATION PRODUCTIVE ASSETS		
	In the last 12 months, what was the second most important occupation/money-making activity of the household? (ENTER CODE FROM CODE BOX # 8) FIELDWORKER: If NONE, SKIP to 3.15.	[___]	(SECOCC)

Can you tell me up to three of the most VALUABLE assets (owned, rented, or borrowed) that allowed you (the household) to do this activity?				
	3.14.7	3.14.8	3.14.9	3.14.10
	ASSET - owned, rented or borrowed (ENTER CODE FROM CODE BOX # 9) (IF 'Other', SPECIFY THE TYPE OF ASSET)	How many of them do you actually own? -77=NK	If you sold it/them today, how much could you get? (in VND) -77=NK, -88=N/A	Who is the <u>main</u> person who decides whether to sell or give it/them away? Get ID # from HH roster, 80=Whole household 90=Person not in household, 77=NK, 88=N/A
(SASSID)	(SASSET) (SPECSASS)	(NUMSASS)	(VALSASS)	(DECSASS)
01	[___]	[___]	[_____•___]	[___]

	If 'Other', specify: _____			
02	[___] If 'Other', specify: _____	[___]	[_____ • ___]	[___]
03	[___] If 'Other', specify: _____	[___]	[_____ • ___]	[___]

SECTION 3D EARNINGS FROM NON-AGRICULTURAL ACTIVITIES

FIELDWORKERS: IN PRINCIPLE THESE EARNINGS SHOULD BE 'NET' EARNING OR PROFITS - NET OR COSTS, NET OF TAXES. THIS CAN BE TIME-CONSUMING BUT FOR LARGER EARNINGS (SUCH AS URBAN WAGE EARNERS) PLEASE ENSURE THAT THE FIGURES ARE CORRECTED FOR THIS. SINCE WE ARE MAINLY INTERESTED IN THE MORE SUBSTANTIAL EARNINGS, DO NOT SPEND TOO MUCH TIME CHASING SMALL AMOUNTS 'EXACTLY'

3.15	Please provide details of earnings from working on the following activities. Exclude earnings from crops produced by the household.			
		3.15.1	3.15.2	
	Type of activity	Has anyone in the household earned any income from this activity in the last 12 months? 00=No, 01=Yes, 77=NK IF NO, SKIP TO NEXT ACTIVITY	What were the total earnings (income) from this activity in the last 12 months? -77=NK, -88=N/A	
		(ACT)	(EARN)	(COSTS)
	LIVESTOCK			
01	Sale of livestock products (milk, cheese, butter, ...)	[___]	[_____ • ___]	[_____ • ___]
02	Sale of live small ruminants	[___]	[_____ • ___]	[_____ • ___]
	WORK FOR WAGES			
03	Agricultural wage work	[___]	[_____ • ___]	[_____ • ___]
04	Salaried/ regular wage work	[___]	[_____ • ___]	[_____ • ___]
05	Casual wage work	[___]	[_____ • ___]	[_____ • ___]

3.15 Please provide details of earnings from working on the following activities. Exclude earnings from crops produced by the household.			
		3.15.1	3.15.2
06	Food-for-work or cash-for-work program	[_ _]	[____ • ____]
	BUSINESS/SELF-EMPLOYMENT INCOME		
07	Profits (earnings) from trading and selling commodities	[_ _]	[____ • ____]
08	Profits (earnings) from processed food	[_ _]	[____ • ____]
09	Profits (earnings) from handicrafts	[_ _]	[____ • ____]
10	Profits (earnings) from carpentry, ironmongery, etc.	[_ _]	[____ • ____]
11	Profits (earnings) from 'services' (sewing, barber, other traditional, etc.)	[_ _]	[____ • ____]
12	Other	[_ _]	[____ • ____]

Note to fieldworker: If the household does any activities in the 'livestock' section, ask Q3.15.3-Q3.15.6. If not, skip to Q3.15.7.

3.15.3	Who is the <u>main</u> person responsible for making the key decisions about the sale of livestock or livestock products? (GET ID # FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15.5	[_ _]	(DECLIVID)
3.15.4	Is the caregiver responsible for making the key decisions about any of these livestock activities? (00=No, 01=Yes, 77=NK, 88=N/A)	[_ _]	(DECLIVCG)

3.15.5	Who is the <u>main</u> person responsible for controlling the earnings from the sale of livestock or livestock products? (GET ID # FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15.7	[___]	(RSPLIVID)
3.15.6	Is the caregiver responsible for controlling the earnings from any of these livestock activities? (00=No, 01=Yes, 77=NK, 88=N/A)	[___]	(RSPLIVCG)

Note to fieldworker: If the household does any activities in the 'Work for Wages' section, ask Q3.15.7-Q3.15.10. If not, **skip to Q3.15.11.**

3.15.7	Who is the <u>main</u> person responsible for making the key decisions about work for wages activities? (GET ID # FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15.9	[___]	(DECWAGID)
3.15.8	Is the caregiver responsible for making the key decisions about any of these work for wages activities? (00=No, 01=Yes, 77=NK, 88=N/A)	[___]	(DECWAGCG)
3.15.9	Who is the <u>main</u> person responsible for controlling the earnings from work for wages activities? (GET ID # FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15.11	[___]	(RSPWAGID)
3.15.10	Is the caregiver responsible for controlling the earnings from any of these from work for wages activities? (00=No, 01=Yes, 77=NK, 88=N/A)	[___]	(RSPWAGCG)

Note to fieldworker: If the household does any activities in the 'business/self-employment' section, ask Q3.15.11-Q3.15.14. If not, **skip to Q3.16.**

3.15.11	Who is the <u>main</u> person responsible for making the key decisions about business and self-employment activities? (GET ID # FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.15.13	[___]	(DECBUSID)
3.15.12	Is the caregiver responsible for making the key decisions about any of these business and self-employment activities? (00=No, 01=Yes, 77=NK, 88=N/A)	[___]	(DECBUSCG)
3.15.13	Who is the <u>main</u> person responsible for controlling the earnings from business and self-employment activities?	[___]	(RSPBUSID)

	(GET ID # FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A. If caregiver identified, skip to 3.16		
3.15.14	Is the caregiver responsible for controlling the earnings from any of these from business and self-employment activities? (00=No, 01=Yes, 77=NK, 88=N/A)	[_ _]	(RSPBUSCG)

SECTION 3E TRANSFERS, REMITTANCES AND DEBT

SAY: Now I am going to ask you some questions about money or goods that people send or give you and that you send and give others.

3.16	During the last 12 months have you or any other household member received any money or goods from the following? LIST THE SOURCES AND FOR EACH POSITIVE ANSWER ASK 3.15.2 THROUGH TO 3.15.5					
		3.16.1	3.16.2		3.16.3	3.16.4
	SOURCE OF MONEY/GOODS	Received from this source? 00=No, 01=Yes, 77=NK IF NO, SKIP TO NEXT SOURCE, IF ALL NO SKIP TO NEXT SECTION	What is the total value (cash and in kind) received in the last 12 months? -77=NK, -88=N/A		Who is the person who decides about the use of the money or goods received? (GET ID # FROM HH ROSTER, 80=WHOLE HOUSEHOLD, 90=PERSON NOT IN HOUSEHOLD) 77=NK, 88=N/A	Did any of this money or these goods go DIRECTLY towards, or to NAME? 00=No, 01=Yes, 77=NK
		(REMIT)	(CASH) (in VND)	(INKIND)	(TRNCON)	(TRNCHD)
	TRANSFERS FROM GOVERNMENT OR ORGANISATIONS					
01	Retirement Pension	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
02	Social security/Social subsidy	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
03	Food aid/PDS	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
04	Other type of government benefit	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]

05	Religious organisation	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
06	Charity groups/NGOs	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
07	Other transfers from groups or organisations	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
	TRANSFERS AND REMITTANCES FROM OTHER HOUSEHOLDS					
08	Individuals outside the HH (e.g. family/friends)	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
09	Alimony	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
10	Other transfers and remittances	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
	EARNINGS FROM ASSETS AND SAVINGS					
11	Interest from savings	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]
12	Rent from property or other assets	[_ _]	[____ • ____]	[____ • ____]	[_ _]	[_ _]

3.17	During the last 12 months, have you or any other member(s) of the household given money or goods to support individuals outside the household? 01=Yes, 00=No, 77=NK If No, > Skip to 3.19	[_ _]	(OREMIT)
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3.18	For each individual the household sent money, gifts or goods to in the last 12 months can you please tell me :		
	3.18.1	3.18.2	3.18.3
	How is the recipient related to NAME? (ENTER CODE FROM CODE BOX # 10)	Can you tell me how much money you sent in the last 12 months? (in VND) -77=NK, -88=N/A	Can you tell me the value of the goods you sent in the last 12 months? (in VND) -77=NK, -88=N/A
(OUTI)	(REMREL)	(REMEST)	(REMG00D)

D)			
01	[_ _]	[_____ • _]	[_____ • _]
02	[_ _]	[_____ • _]	[_____ • _]
03	[_ _]	[_____ • _]	[_____ • _]
04	[_ _]	[_____ • _]	[_____ • _]
05	[_ _]	[_____ • _]	[_____ • _]
06	[_ _]	[_____ • _]	[_____ • _]
07	[_ _]	[_____ • _]	[_____ • _]
08	[_ _]	[_____ • _]	[_____ • _]

CODEBOX 10 - RELATIONSHIP TO YL CHILD			
01=Biological parent	07=Brother/sister (both parents the same)	13=Uncle/Aunt	20=Servant (farm-worker, maid, etc.)
02=Step-parent (partner of biological parent)	08=Half-sibling (same father)	14=Cousin (inc. cousin brother & cousin sister)	22=Nanny/Child minder
03=Adoptive parent	09=Half-sibling (same mother)	15=Nephew/Niece	19=Other, relative
04=Foster parent	10=Step-sibling (no parent in common)	16=Brother/sister-in-law (spouse of sibling)	21=Other, unrelated
05=Maternal grandparent	11=Adoptive brother/sister	17=Great grandparent (mother's side)	
06=Paternal grandparent	12=Foster brother/sister	18=Great grandparent (father's side)	00=YL Child

3.19	Do you have any serious debts? 00=No, 01=Yes, 77=NK	[_ _]	(DEBT)
3.20	What would you or other members of your household do in case of hard times and/or misfortune caused by, e.g., natural disaster, crop failure, someone losing their job? (ENTER CODES FROM CODE BOX # 11)	[_ _] [_ _] [_ _]	(PLAN1) (PLAN2) (PLAN3)

CODEBOX 11 - PLANS FOR HARD TIMES			
01=Nothing	07=Use formal credit	13=Send children to work	19=Borrow from farmers
02=Ask relatives for help	08=Borrow from money lender	14=Take children out of school	20=Other
03=Ask friends/neighbours for help	09=Get credit from informal loan system (e.g. rotating funds)	15=Emigrate to another country	21=Borrow from neighbours (cash or in kind)
04=Look for work	10=Migrate to another part of the country	16=Faith/pray to God/Allah	22=Borrow from family (cash or in kind)
05=Use formal savings	11=Food for work	17=Sell properties or assets	
06=Use informal savings	12=Work longer hours (take extra work)	18=Mortgage assets	

3.21	Would your household be able to raise 230,000 VND in one week if you needed it? 01=Yes, very easily, 02=Probably, 03=Not at all, 77=NK,	[___]	(RAISE)
3.22	How would you or other members of the household try to raise 230,000 VND in one week if you needed it? You can list up to three way, but please list the most important one first. (ENTER CODES FROM CODE BOX # 12)	[___] [___] [___]	(HOWRS1) (HOWRS2) (HOWRS3)

CODEBOX 12 - HOW TO RAISE MONEY		
01=Sell durable goods/equipment	06=From relatives/friends in the same village/town	11= Microfinance
02=Sell land/house	07=From relatives/friends in different location	12= Other
03=Sell stocks or crops	08=Take extra work	
04=Sell livestock	09=Take a loan (formal)	
05=From savings	10=Take a loan (informal)	

3.23	Is your household included on the list of poor households created by MOLISA/Commune Committee for Hunger Eradication and Poverty Reduction?	[___]	(VNPOORHS)
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	00=No, 01=Yes, 77=NK		
3.24	Has your household borrowed loans in the last 12 months? 00=No, 01=Yes, 77=NK If No, skip to Section 4	[___]	(VNLOANS)

3.25	SAY: I would now like to ask you about the loans your household has borrowed in the last 12 months,				
	3.25.1	3.25.2	3.25.3	3.25.4	3.25.5
LOAN ID	Is this a formal or an informal loan? 01=Formal loan 02=Informal loan	What is the loan source? 01=Social Policy Bank 02= Bank for Agricultural and Rural Development 03=Other Bank 04=Jobs Aid Fund 05=Credit Organizations 06=Political-Social Organizations 07=Private Individual Lenders 08=Friends/Relatives 10=Other	How would you assess the impact of this loan on your household's overall standard of living? 01=Significantly Better 02=Somewhat Better 03=Same 04=Somewhat Worse 05=Significantly Worse 77=NK	How would you assess the impact of this loan on the wellbeing of the children in your household? (e.g. time for child care, education, amusement) 01=Significantly Better 02=Somewhat Better 03=Same 04=Somewhat Worse 05=Significantly Worse 77=NK	Is it difficult for your household to repay these loans? 01=Not difficult 02=Somewhat difficult 03=Very difficult 04=Impossible 77=NK
(LOANID)	(LOANTYPE)	(LOANSRCE)	(LOANIMPT)	(LOANKIDS)	(LOANREPY)
01	[___]	[___]	[___]	[___]	[___]
02	[___]	[___]	[___]	[___]	[___]
03	[___]	[___]	[___]	[___]	[___]
04	[___]	[___]	[___]	[___]	[___]
05	[___]	[___]	[___]	[___]	[___]

FIELDWORKER: REVIEW THE ANSWERS TO QUESTION 3.25.1 - IF THE HOUSEHOLD HAS ANY INFORMAL LOANS ASK QUESTIONS 3.26. IF THE HOUSEHOLD DOES NOT HAVE ANY INFORMAL LOANS, SKIP TO QUESTION 3.27.

3.26	(If household has borrowed an informal loan) What are the main reasons you have borrowed an informal loan?	[___]	(VNYLOAN1)
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You may provide up to three reasons, but please provide the most important reason first. (ENTER CODES FROM CODE BOX #13)	[___]	(VNYLOAN2)
	[___]	(VNYLOAN3)

CODEBOX 13 - REASONS FOR INFORMAL LOAN			
01= Easy to be approved for loan	04= Do not know procedures for loans from formal sources	07=Term/Tenure of loans from formal loan sources is not suitable	10= It is more confidential to borrow from informal sources
02= Mortgage not required	05= Formal loan procedures are too complicated	08= I can repay the loan with products	11= Other
03= Low loan value	06= Interest rates for formal loans are too high	09=Bank branch is too far away for a formal loan	

FIELDWORKER: REVIEW THE ANSWERS TO QUESTION 3.25.1 - IF THE HOUSEHOLD HAS ANY FORMAL LOANS ASK QUESTIONS 3.27.
 IF THE HOUSEHOLD DOES NOT HAVE ANY FORMAL LOANS, SKIP TO SECTION 4

3.27	For your formal loan(s) do you have a concessionary interest rate? 00=No, 01=Yes, 77=NK	[___]	(VNCNRATE)
3.28	Has it been easy for your household to be approved for the formal loan(s)? 00=No, 01=Yes, 77=NK If Yes, Skip to	[___]	(VNEASYLN)
3.29	Why was it difficult for your household to be approved for the formal loan(s)? (You can provide up to two reasons, but please provide the most important reason first.) 01=Complicated procedures, 02= Attitude of Bank staff, 03= Difficult to obtain poor certificate from commune authority, 77=Other, Specify: _____	[___] [___]	(VNYHLN01) (VNYHLN02) (SPECLOAN) (ESPCLOAN)

3.30 How do you assess your level of satisfaction with the following aspects of borrowing the formal loan(s):	01=Extremely satisfied, 02=Satisfied, 03=Neutral,	
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	04= Not satisfied, 05= Extremely unsatisfied, 77=NK	
Credit organization's procedures?	[___]	(VNCOPROC)
Credit organization's staff's attitudes?	[___]	(VNCOSTFF)
Commune procedures?	[___]	(VNCOMPRC)
Term of loan?	[___]	(VNLNVAL)
Loan amount?	[___]	(VNLNAMT)
Interest rate?	[___]	(VNINRATE)
Collateral (procedures and conditions related to borrowing against collateral)	[___]	(VNMORT)
Other, Specify: _____	[___]	(VNOTHER) (SPECSATI) (ESPCSATI)

SECTION 4 – HOUSEHOLD FOOD AND NON-FOOD CONSUMPTION & EXPENDITURE

4.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[____]	ID4
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SECTION 4A – FOOD CONSUMPTION AND EXPENDITURE

SAY: I am now going to ask some questions about how much food has been consumed by your family in recent times. I am going to start by asking how much you consumed of goods you bought in the last two weeks, then ask you how much you consumed from your own harvest or stocks, and then how much you consumed from other sources.

FIELDWORKER: AMOUNT OF DETAIL TO BE DETERMINED BY ITEMS WITH SUBSTANTIAL CONSUMPTION IN VALUE TERMS OR IN CALORIFIC TERMS. SO ITEMS THAT ARE VERY CHEAP AND NUTRITIONALLY NOT SO IMPORTANT NEED NOT BE SPECIFICALLY ITEMISED. THE FIRST PART OF THE TABLE REFERS TO PURCHASES. THE SECOND PART REFERS TO HOUSEHOLDS' OWN PRODUCTION.

4.1	Please think about the food consumed by your family in the last two weeks (15 days) FIELDWORKER: Ask if there was a major festival, wedding or other feasting or fasting ceremony in the last 15 days. If there was, specify that the respondent should think about the household's consumption in the 15 days prior to this event.		
		4.1.1	
	Commodity	What is the total value in VND of this item that you bought then consumed in the past two weeks? USE SHADED COLUMNS ONLY IF RESPONDENT HAS DIFFICULTY ESTIMATING VALUE, USE QUANTITIES COLUMNS	
		Quantity 00=None, -77=NK	Unit
			Estimated value -77=NK, -88=N/A (EATV)
01	Pulses/Lentils/Beans	[____ • ____]	[_____]
02	Pasta/Rice/Bread/ Wheat flour (processed)	[____ • ____]	[_____]
03	Wheat/Maize (unprocessed)	[____ • ____]	[_____]
04	Tubers/Potatoes/Root crops	[____ • ____]	[_____]

05	Meat Products	[____ • ____]	[_____]	[_____ • ____]
06	Powdered/Formula Milk	[____ • ____]	[_____]	[_____ • ____]
07	Milk or mile products (milk, butter, cheese, yoghurt, etc.)	[____ • ____]	[_____]	[_____ • ____]
08	Fresh Fish	[____ • ____]	[_____]	[_____ • ____]
09	Processed Fish (tinned)	[____ • ____]	[_____]	[_____ • ____]
10	Eggs	[____ • ____]	[_____]	[_____ • ____]
11	Vegetables	[____ • ____]	[_____]	[_____ • ____]
12	Fruit	[____ • ____]	[_____]	[_____ • ____]
13	Salt/Spices	[____ • ____]	[_____]	[_____ • ____]
14	Oil	[____ • ____]	[_____]	[_____ • ____]
15	Sugar/Honey	[____ • ____]	[_____]	[_____ • ____]
16	Prepared food (restaurants, food stalls)	[____ • ____]	[_____]	[_____ • ____]
17	Packaged sweets (biscuits, cakes)	[____ • ____]	[_____]	[_____ • ____]
18	Coffee and Tea	[____ • ____]	[_____]	[_____ • ____]
19	Soft drinks and Alcohol	[____ • ____]	[_____]	[_____ • ____]
20	Other	[____ • ____]	[_____]	[_____ • ____]

TABLE 4.1 CONTINUED

	4.1.2	4.1.3
COMMODITY	How much of this commodity did you consume from your own harvest or own stock?	How much of this commodity did you consume from gifts, transfers or food aid received from relatives, friends, neighbours, government or other organisations?

		Quantity 00=None, - 77=NK	Unit	Estimated value -77=NK, - 88=N/A	Quantity 00=None, -77=NK	Unit	Estimated value -77=NK, -88=N/A	Source: 01=Gift from relatives, friends, neighbours 02=Food aid, transfer 03=Food for work, 77=NK
				(OWNV)			(GIFTV)	(GIFSRC)
01	Pulses/Lentils/ Beans	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
02	Pasta/Rice/Bread/ Wheat flour (processed)	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
03	Wheat/Maize (unprocessed)	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
04	Tubers/Potatoes/ Root crops	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
05	Meat Products	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
06	Powdered/Formula Milk	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
07	Milk or milk products (milk, butter, cheese, yoghurt, etc.)	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
08	Fresh Fish	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
09	Processed Fish (tinned)	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
10	Eggs	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
11	Vegetables	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
12	Fruit	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
13	Salt/Spices	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
14	Oil	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]
15	Sugar/Honey	[____ • ____]	[_____]	[____ • ____]	[____ • ____]	[_____]	[____ • ____]	[____]

16	Prepared food (restaurants, food stalls)	[____ • ____]	[_____]	[____ • ____]	[_____ • ____]	[_____]	[____ • ____]	[____]
17	Packaged sweets (biscuits, cakes)	[____ • ____]	[_____]	[____ • ____]	[_____ • ____]	[_____]	[____ • ____]	[____]
18	Coffee and Tea	[____ • ____]	[_____]	[____ • ____]	[_____ • ____]	[_____]	[____ • ____]	[____]
19	Soft drinks and Alcohol							
20	Other							

SECTION 4B – NON-FOOD EXPENDITURE

SAY: I am now going to ask you how much you have spent on food and other items. Please give prices at the time of purchase.

4.2	What is the money value (in VND) of the total amount purchased by the household in the last 30 days on the following items: (Enter value in VND or codes 00=Nothing, -77=NK)		
01	Tobacco, cigarettes, etc.	[_____ • ____]	(SPEND01)
02	Personal care items (soap, cosmetics, hair oil, perfume, beauty parlour fees, hair cuts)	[_____ • ____]	(SPEND02)
03	Firewood, Kerosene, gas, batteries, candles	[_____ • ____]	(SPEND03)
04	Internet use	[_____ • ____]	(SPEND04)
05	Public transport	[_____ • ____]	(SPEND05)
06	Security (guards)	[_____ • ____]	(SPEND06)

4.3	What is the money value (in VND) of the total amount purchased by the household in the last 12 months on the following items: (Enter value in VND or codes 00=Nothing, -77=NK)		
07	Rent (house)	[_____ • ____]	(SPNDYR07)
12	Dwelling maintenance	[_____ • ____]	(SPNDYR12)

20	Cleaning materials	[_____•__]	(SPNDYR20)
13	Rent (business, market stall)	[_____•__]	(SPNDYR13)
14	Business license	[_____•__]	(SPNDYR14)
15	Water rates	[_____•__]	(SPNDYR15)
16	Electricity rates	[_____•__]	(SPNDYR16)
17	Telephone rates, mobile phone plan	[_____•__]	(SPNDYR17)
19	Vehicle maintenance	[_____•__]	(SPNDYR19)
21	Fees and paperwork	[_____•__]	(SPNDYR21)
04	Legal advice, support, aid	[_____•__]	(SPNDYR04)
05	Bribes	[_____•__]	(SPNDYR05)
06	Festivals/celebrations (regular occurrence)	[_____•__]	(SPNDYR06)
11	One-off family events (e.g. wedding/funeral, etc.)	[_____•__]	(SPNDYR11)

4.4	What is the money value (in VND) of the total amount purchased by the household in the last 12 months for the following items:		
	ITEMS	How much was spent in the last 12 months? (ENTER VALUE IN VND OR CODES 00=NOTHING, -77=NK)	How much of this was spend on items for [NAME]? 00=None of it, 01=Less than half, 02=About half, 03=More than half but not all, 04=All, 77=NK
		(SPYR)	(SPNAME)
	Clothing		
01	Clothing (adult men)	[_____•__]	

02	Clothing (adult women)	[_____•__]	
03	Clothing (girls - <u>excluding</u> school uniform)	[_____•__]	[__]
04	Clothing (boys - <u>excluding</u> school uniform)	[_____•__]	[__]
05	Footwear (e.g. shoes, slippers) (adult men))	[_____•__]	
06	Footwear (e.g. shoes, slippers) (adult women)	[_____•__]	
07	Footwear (e.g. shoes, slippers) (girls)	[_____•__]	[__]
08	Footwear (e.g. shoes, slippers) (boys)	[_____•__]	[__]
	Education		
09	School uniform (boys)	[_____•__]	[__]
10	School uniform (girls)	[_____•__]	[__]
11	Payment for schooling fees (registration, examination) or donations to school (boys)	[_____•__]	[__]
12	Payment for schooling fees (registration, examination) or donations to school (girls)	[_____•__]	[__]
13	Payment for private tuition (boys)	[_____•__]	[__]
14	Payment for private tuition (girls)	[_____•__]	[__]
15	School books and stationary (pens, erasers, paper)	[_____•__]	[__]
16	Transport to school	[_____•__]	[__]
	Medical		
17	Payments for medical consultation and treatment	[_____•__]	[__]
18	Any other medical expenditure?	[_____•__]	[__]

	Entertainment		
19	Cinema/entertainment	[_____•__]	[___]
	Other		
20	Presents or treats for children	[_____•__]	[___]
21	Jewellery (Gold necklace, earrings, etc. for personal use)	[_____•__]	[___]
22	Any other transport costs for adults or children	[_____•__]	[___]
23	Any other expenditure of non-food consumption	[_____•__]	[___]

SECTION 5 - SOCIAL CAPITAL

5.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[___]	ID5
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5A SUPPORT NETWORKS

5.1	If you had a problem is there someone who would help you? 00=No, 01=Yes, 77=NK If no, skip to 5.3	[___]	(ANYHELP)
5.2	Who are the people who would be most likely to help you? (You can give up to three answers but please give the most important first) (ENTER CODES FROM CODE BOX # 14)	[___] [___] [___]	(WHOHLP1) (WHOHLP2) (WHOHLP3)

CODEBOX 14 - PEOPLE MOST LIKELY TO HELP			
01=Parents	06= Government	11=Religious leader/person	16= Women's groups
02=Children	07= Other relatives	12=Political leader	17=Farmers' association
03=Labour union	08=Neighbour	13=NGO worker	18= No-one

04= Work colleagues	09= Friends	14= Community leader (informal)	19= IDDIR
05= Siblings	10= Teachers (school)	15= Community leader (formal)	20= Other

5.3	Suppose you are in need of material support. How many people can you rely on in time of need? (01=1-2 people, 02=3-5 people, 03=6-10, 04=11-15, 05=16-20, 06=21-30, 07=over 30) Write 00 if none. 77=NK)	[___]	(FINHELP)
5.4	Now think back four years ago, are there more, less or about the same number of people you can rely on in time of need? 01=More, 02=Less, 03=About the same, 77=NK	[___]	(RELYON)

5B FAMILY, GROUP AND POLITICAL CAPITAL

SAY: I now want to ask about organisations, groups or informal associations to which you or members of your household belong.

5.5	5.5.1	5.5.2	5.5.3	5.5.4		5.5.5	5.5.6
	Group Code (ENTER CODE FROM CODE BOX # 15)	ID of main person in the household who is a member of the group 80=Whole family	Since when has this person been a member? ENTER ALL FOUR DIGITS OF THE YEAR -77=NK	What are the main benefits from the group? (ENTER CODE FROM CODE BOX # 16)		How often does this person attend the group? 01=More than once per week, 02=Weekly, 03=Monthly, 04=Twice/year 05=Annually, 06=Less than once a year, 77=NK	Does this person hold a leadership or powerful position in the group? 00=No, 01=Yes, 77=NK If No, > SKIP TO 5.6
GRPID	GROUP	IDGRP	YEARMEM	BENGRP1	BENGRP2	FRQATTEN	LEADMEM
01	[___]	[___]	[_____]	[___]	[___]	[___]	[___]
02	[___]	[___]	[_____]	[___]	[___]	[___]	[___]
03	[___]	[___]	[_____]	[___]	[___]	[___]	[___]
04	[___]	[___]	[_____]	[___]	[___]	[___]	[___]
05	[___]	[___]	[_____]	[___]	[___]	[___]	[___]
06	[___]	[___]	[_____]	[___]	[___]	[___]	[___]
07	[___]	[___]	[_____]	[___]	[___]	[___]	[___]

08	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]
09	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]
10	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]

CODEBOX 15 - GROUPS			
	08=Caste group/association	15=Business group	22= Labour unions
02=Farmer's Co-operative		16=Youth group	23= Women's group
	10=Health Committee	17=NGO	24=Peasant association
	11=Credit society/Co-op	18=Religions group	25=Service co-operatives
05=Other Farmer's group	12=Chit fund		
06=Self-help group	13=Toddy tappers association	20=Cultural/sport group	
07=Education/Vidya committee	14=Traders' association	21= Mother's committee	28= Other

CODEBOX 16 - BENEFITS FROM THE GROUP		
01=Improves household income	05=Important in times of need	09= Benefit/recognition from NGO
02=Improves access to services	06=Offers benefits to the wider community	10= Marketing outlet for produce
03=Can get credit/savings facilities	07=Enjoyment/recreation	11= Other
04=Can get knowledge and information	08=Spiritual/Social status/Self-esteem	

FIELDWORKER: THIS IS A CONTINUATION OF THE TABLE ABOVE - YOU MUST MAKE SURE THE ROWS REFER TO THE SAME GROUP

	5.5.7	5.5.8	5.5.9	5.5.10
	Since when has this person held this position? (which year) -77=NK, -88=N/A	Did any parent or close relative of this person hold this post before? 00=NO, 01=Yes, 77=NK	What is the main outcome from holding this position? (ENTER CODE FROM CODE BOX # 17)	Has this position allowed this person to influence decisions and activities? 00=No, never, 02=Somewhat/Occasionally, 03=Yes, often 77=NK
(GRPID)	(YEARLEAD)	(PAREPOST)	(WHYJOIN)	(INFLNCE)
01	[_____]	[____]	[____]	[____]
02	[_____]	[____]	[____]	[____]
03	[_____]	[____]	[____]	[____]
04	[_____]	[____]	[____]	[____]
05	[_____]	[____]	[____]	[____]
06	[_____]	[____]	[____]	[____]
07	[_____]	[____]	[____]	[____]
08	[_____]	[____]	[____]	[____]
09	[_____]	[____]	[____]	[____]
10	[_____]	[____]	[____]	[____]

CODEBOX 17 - OUTCOMES OF LEADERSHIP POSITION				
<i>01= Decreases time allocated to household income generation</i>	<i>05=Improves access to credit/savings</i>	<i>09=Improves individual's self esteem</i>	<i>13= Improves individual's status among officials</i>	
<i>02= Decreases time spent with family</i>	<i>06=Improves access to knowledge and information</i>	<i>10=Improves individual's social status in community (e.g. more respect, emerge as role model)</i>	<i>14=Improves political chances</i>	
<i>03=Decreases time for household tasks</i>	<i>07=Improves access to services</i>	<i>11=Improves individual's status in household</i>	<i>15=Improves household's social status in community</i>	

04=Decreases household economic status	08=Improves household economic status	12=Improves individual's status with relatives	16=Others
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5.6	Besides the organizations listed above, does anyone in the household hold another position of responsibility, authority or power, as a leader or in a powerful position? IF YES, THEN FOR EACH ONE RECORD THE ID OF THE HOUSEHOLD MEMBER AND THE POSITION HELD. THEN ASK QUESTIONS 5.10.3 THROUGH TO 5.10.8							
	5.6.1	5.6.2	5.6.3	5.6.4	5.6.5	5.6.6	5.6.7	5.6.8
	ID of household member holding position	Position held (ENTER CODE FROM CODE BOX # 18)	When did this person first get this position? (ENTER YEAR) -77=NK	For how many years in total has she/he held this position? (ENTER NUMBER OF YEARS, 00=<1yr, 77=NK)	Is s/he still holding this position? 00=No, 01=Yes, 77=NK	Did any parent or close relative of this person hold this post before? 00=No, 01=Yes, 77=NK	What is the main outcome from holding this position? (ENTER CODE FROM CODE BOX # 17)	Has this position allowed this person to influence decisions and activities? 00=No/Never, 02=Somewhat/Occasionally, 03=Yes/Often 77=NK
(POSID)	(ID)	(POSHELD)	(POSFIRST)	(POSYEARS)	(POSTILL)	(POSREL)	(POSOUT)	(POSEFFEC)
01	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
02	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
03	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
04	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
05	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
06	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
07	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
08	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
09	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]
10	[__ __]	[__ __]	[__ __ __ __]	[__ __]	[__ __]	[__ __]	[__ __]	[__ __]

CODEBOX 18 - POSITION OF AUTHORITY			
01=Appointed village assistant	06=Formal position in political party	11=Political party leader	
02=Agricultural officer/assistant			17=Village ward member
03=Caste group leader	08=Member of the Police or Army	13=Teacher/school principal	18=Other
	09=Money lender	14=Traditional leader	
		15=Village militia	77=NK

5.7	Does the household have close relatives living in this Commune (excluding those in your own household)? 00=No, 01=Yes, 77=NK IF NO, -> SKIP TO 5.10	[__]	(RELLIVE)
5.8	How many relatives live in this community? (00=none, 01=1-5, 02=6-10, 03=11-20, 04=21-30, 05=over 30, 77=NK, 88=NA)	[__]	(NUMREL)
5.9	How many of these relatives are influential in the community? (00=none, 01=1-5, 02=6-10, 03=11-20, 04=21-30, 05=over 30, 77=NK, 88=NA)	[__]	(INFREL)

5C COLLECTIVE ACTION AND EXCLUSION

5.10	In the last three years have you or any members of your household done any of the following:	00=No, 01=Yes, 77=NK, 79=Refused to answer
		(ACTION)
04	Talked with other people in your area about a serious problem affecting the community	[__]
05	Taken action with others about a serious problem affecting the community	[__]
06	Actively participated in an awareness raising campaign	[__]
09	Made the media interested in a problem	[__]

5.11	SAY: I am now going to ask you about your access to certain services.
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	Services/things denied	5.11.1 Do you have access to this service? 00=No, 01=Yes, 77=NK IF YES, SKIP TO NEXT ROW	5.11.2 If no access, give the <u>main</u> reason for this. 01=It is not available, 02=Economic (cannot afford it) 03=Don't know about it, 04=Attempted to get it but access was denied 05=Other, 77=NK If Answer 04, ask 5.11.3	5.11.3 If access was denied, what do you think the reason was? 01=Social (Background, caste, ethnicity, religion, gender) 02=Could not afford the bribe required 03=Political reasons
		(ACCESS)	(NOACC)	(DENIED)
01	Education/schools	[___]	[___]	[___]
02	Health services/clinics	[___]	[___]	[___]
03	Housing assistance	[___]	[___]	[___]
04	Job training/employment	[___]	[___]	[___]
05	Credit/finance	[___]	[___]	[___]
06	Transportation	[___]	[___]	[___]
07	Drinking water distribution	[___]	[___]	[___]
08	Access to agricultural knowledge/information	[___]	[___]	[___]
09	Access to agricultural inputs	[___]	[___]	[___]
10	Sanitation services	[___]	[___]	[___]
11	Cultural activities	[___]	[___]	[___]
12	Self-help groups	[___]	[___]	[___]
13	Welfare programs	[___]	[___]	[___]
14	Power / electricity	[___]	[___]	[___]
16	Other	[___]	[___]	[___]

5D INFORMATION NETWORKS

Say: I am now going to ask you some questions about where you get information from.

5.12 Would you or anyone in the household be able to get information on the following:						
		5.12.1	5.12.2	5.12.3	5.12.4	5.12.5
		00=No, 01=Yes, 02=Don't need it, 77=NK If Yes, go to 5.15.2, If No, > go to next topic in list	Information source 1 (most important) (ENTER CODE FROM CODE BOX #19)	Information source 2 (Second most important) (ENTER CODE FROM CODE BOX #19) 88=N/A	Have you sought information on this in the last 3 yrs? 00=No, 01=Yes, 77=NK, 88=N/A	Did this information help? 00=No, 01=Yes, 77=NK, 88=N/A
		(GETINF)	(SRIN1)	(SRIN2)	(ST3YR)	(INFHLP)
	TOPIC					
01	Family planning	[___]	[___]	[___]	[___]	[___]
02	Information about child health	[___]	[___]	[___]	[___]	[___]
03	Education opportunities for children	[___]	[___]	[___]	[___]	[___]
04	Income earning opportunities	[___]	[___]	[___]	[___]	[___]
05	How to vote/who to vote for in elections	[___]	[___]	[___]	[___]	[___]
06	Legal issues (e.g. disputes)	[___]	[___]	[___]	[___]	[___]
07	Registration, fees or other forms	[___]	[___]	[___]	[___]	[___]

CODEBOX 19 - INFORMATION SOURCES			
01=No information needed/not interested	09=Health representative	17=Newspapers	25=Self resourced
02=Agriculture extension worker	10=Health workers	18=NGO	26=Teachers/head teacher

03=Church/Mosques	11=Internet		27=Other
04=Contractors	12=Landlord	20=Outside villages	
05=Education committee	13=Local government officials	21=Peer groups	
06=Friends		22=Radio/TV	
07=Health camp	15=Ministry of agriculture	23=Relatives	
08=Health extension worker	16=Neighbours		

5E - COMMUNITY WORKS

5.13	In the last four years, has the community where you live received investment in infrastructure from an external source? 00=No, 01=Yes, 77=NK If No or Don't know, skip to Section 6	[___]	(VNCMINV)
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5.14		5.14.1 In the last four years, has your community received investment in any of the following infrastructure projects from an external source? 00=No, 01=Yes, 77=NK IF No, Skip to next Type	5.14.2 What was the source of funding for this infrastructure project? 01=Program 135 02=Jobs and Poverty Reduction Program between 2001-05 (Project 143) 03=Other national budget source 04=Foreign non-governmental organization 05=Foreign government funding 06=Local individuals or organizations funding 07=Other 77=NK
	(SPECPROJ) (ESPCPROJ)	(VNPROJ01) - (VNPROJ12)	(VNPRFD01) (VNPRFD12)
01	Network Electricity	[___]	[___]
02	Traffic-way	[___]	[___]
03	Pre-school/ kindergarten	[___]	[___]

04	Primary school	[___]	[___]
05	Secondary school	[___]	[___]
06	Combined primary and secondary school	[___]	[___]
07	Medical Station	[___]	[___]
08	Irrigation	[___]	[___]
09	Clean water	[___]	[___]
10	Community (all ages) entertainment/amusement/sport/recreation centre	[___]	[___]
11	Children's entertainment/amusement/sport centre	[___]	[___]
12	Other, Specify: _____	[___]	[___]

5.15	SAY: I would now like to ask about your level of participation in any of these community projects. (RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years) and ask 5.15.1-5.15.4 for each.			
	5.15.1	5.15.2	5.15.3	5.15.4
(PROJECT ID) INSERT PROJECT ID FROM TABLE 5.14	How do you assess your level of participation in the project selection process for this infrastructure project? (READ CODES) 01=Veto in decision-making, 02= Vote in decision-making, 03=Consulted during decision-making process, 04=Informed, but not involved in decision-making 05=No participation,	How do you assess your level of participation in the management of the development of this infrastructure project? (READ CODES) 01=Veto in decision-making, 02= Vote in decision-making, 03=Consulted during decision-making process, 04=Informed, but not involved in decision-making 05=No participation,	How do you assess your level of participation in the procurement process for this infrastructure project? (READ CODES) 01=Veto in decision-making, 02= Vote in decision-making, 03=Consulted during decision-making process, 04=Informed, but not involved in decision-making 05=No participation,	How do you assess your level of participation in the operation and maintenance of this infrastructure project? (READ CODES) 01=Veto in decision-making, 02= Vote in decision-making, 03=Consulted during decision-making process, 04=Informed, but not involved in decision-making 05=No participation,

	77=NK	77=NK	77=NK	77=NK
(PROJID)	(PROJPRTL)	(PRJMANDV)	(PRJPROC)	(PRJOPMNT)
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]

5.16	SAY: I would now like to ask about the level of community participation in these projects. (RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years) and ask 5.16.1-5.16.4 for each.			
	5.16.1	5.16.2	5.16.3	5.16.4
(PROJECT ID) INSERT PROJECT ID FROM TABLE 5.14	How do you assess the level of community participation in the project selection process for this infrastructure project? (READ CODES) 01=Community is the decision-maker, 02= Community has significant influence on decision-making 03=Community has some influence on decision-making 04=Community has no impact on decision-making 77=NK	How do you assess the level of community participation in the management of the development of this infrastructure project? (READ CODES) 01=Community is the decision-maker, 02= Community has significant influence on decision-making 03=Community has some influence on decision-making 04=Community has no impact on decision-making 77=NK	How do you assess the level of community participation in the procurement process for this infrastructure project? (READ CODES) 01=Community is the decision-maker, 02= Community has significant influence on decision-making 03=Community has some influence on decision-making 04=Community has no impact on decision-making 77=NK	How do you assess the level of community participation in the operation and maintenance of this infrastructure project? (READ CODES) 01=Community is the decision-maker, 02= Community has significant influence on decision-making 03=Community has some influence on decision-making 04=Community has no impact on decision-making 77=NK
(PROJID)	(COMPRJLV)	(COMPRJDV)	(COMPRJPC)	(COMPRJMT)
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]

[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]

5.17	FIELDWORKER: RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years and ask 5.17.1 for each.			
(PROJECT ID) INSERT PROJECT ID FROM TABLE 5.14	5.17.1 On the whole, do you agree with the decisions made related to these infrastructure projects? (READ CODES) 01=Fully agree, 02=Basically Agree, 03=Neutral, 04=Don't agree, 05=Strongly disagree, 77=NK, 79=Refused to answer			
(PROJID)	(PRJAGREE)			
[___]	[___]			
[___]	[___]			
[___]	[___]			
[___]	[___]			
[___]	[___]			
[___]	[___]			

5.18	SAY: I would now like to ask about how these different infrastructure projects may have affected your family. (RECORD PROJECT ID's FROM TABLE 5.14 for any community infrastructure projects over the past four years) and ask 5.18.1-5.18.4 for each.			
	5.18.1	5.18.2	5.18.3	5.18.4
(PROJECT ID) INSERT PROJECT ID FROM TABLE 5.14	How do you assess the impact of this infrastructure project on your household's income? 01=Significant positive impact, 02=Slightly positive impact, 03=No impact,	How do you assess the impact of this infrastructure project on your household members' health and access to health services? 01=Significant positive impact, 02=Slightly positive impact,	How do you assess the impact of this infrastructure project for the children of your household? (e.g. children's care, education, amusement) 01=Significant positive impact,	How do you assess the impact of this infrastructure project for the safety of household members? 01=Significant positive impact, 02=Slightly positive impact, 03=No impact,

	04=Slightly negative impact, 05=Significantly negative impact, 77=NK 79=Refused to answer	03=No impact, 04=Slightly negative impact, 05=Significantly negative impact, 77=NK 79=Refused to answer	02=Slightly positive impact, 03=No impact, 04=Slightly negative impact, 05=Significantly negative impact, 77=NK 79=Refused to answer	04=Slightly negative impact, 05=Significantly negative impact, 77=NK 79=Refused to answer
(PROJID)	(IMPINCOM)	(IMPHEAL)	(IMPKIDS)	(IMPSAFE)
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]
[___]	[___]	[___]	[___]	[___]

SECTION 6 - ECONOMIC CHANGES AND RECENT LIFE HISTORY

6.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[___]	ID6
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6.1	SAY: I am now going to ask you to think about your current circumstances and how and why they might have changed over recent periods		
		6.1.1	6.1.2
	Time period	During this period, how would you describe the household you were living in? 01=Very rich, 02=Rich 03=Comfortable - manage to get by 04=Struggle - never have quite enough 05=Poor, 06=Destitute	(If situation has changed from the previous period to the current one) Please give the two main reasons why your situation has changed compared to the earlier period (ENTER CODE FROM CODE BOX # 20for IMPROVED STATUS) (ENTER CODES FROM CODE BOX #21 FOR WORSENED STATUS) DO NOT PROMPT
		(CIRCUM)	(REAS1) (REAS2)

01	Currently	[___]	N/A	N/A
02	FOUR years ago (around time of previous visit)	[___]	[___]	[___]
03	The year before NAME was born	[___]	[___]	[___]

CODEBOX 20 - WHY SITUATION IMPROVED			
01=Harvests have been good	09=Spouse works hard	17=Live in a nicer house	25=Panchyat is providing all facilities
02=Started growing new crops	10=Have new spouse	18=Managed my business well	26=Migration
03=Tried new farming techniques	11=Have more children	19=Worked hard	27=Was willing to take risks
04=Managed my crops or livestock well	12=Children older and more able to work	20=Own business or trade has been profitable	28=Prices have risen
05=Accumulated livestock	13=Women in household drink less	21=Set up a new business or trade	29=Good Luck
06=Accumulated other resources, assets, possessions	14=Men in household drink less	22=Started work or new job/non-farm work	30=God has helped
07=My own health has improved	15=More people around to help, advise (Better Networks)	23=Food or Cash aid	31=Other
08=Spouse's health has improved	16=Job security (continuous security)	24=FFW/CFW/Income generation programme	

CODEBOX 21 - WHY SITUATION WORSENERD			
41=Harvests have been poor	49=Lost job (permanent/temporary)	57=Children moved away	65=Prices for goods have fallen
42=Tried new crops but they failed	50=Have fewer other resources, assets, possessions	58=Large family/too many children/increased family size	66=Increase in price of inputs
43=Tried new ways of farming but they failed	51=I was lazy	59=Men in household drink too much	67=Increase in food prices
44=Managed my crops or livestock poorly	52=Spouse was lazy	60=Women in household drink too much	68=House is not as nice as it used to be

45=Have less livestock	53=I have been/got ill/injured/disabled	61=Less people around to help, advise (Poorer Networks)	69=Old age
46=Managed my business poorly	54=Spouse has been/got ill/injured/disabled	62=Theft/robbery	70=Bad luck
47=Own business failed or didn't do well	55=Spouse died	63=Too many loans	71=God has not helped
48=Tried new business/trade but it failed	56=Children sick or died	64=Did not want to take risks	72=Other

6.2	Compared to other households in this VILLAGE/SUBURB, would you describe your household at the moment as: 01=The richest, 02=Among the richest, 03=Richer than most households, 04=About average, 05=A little poorer than most households, 06=Among the poorest, 07=The poorest, 77=NK	[___]	(RELCTRC)
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SAY: Now I am going to ask you about the most important events and changes that have happened since the last time we came to see you.

FIELDWORKER: FIELDWORKER: Ask questions in shaded boxes and record responses that match EVENTS in that section. Record responses for 6.3.1 through to 6.3.3. Probe if necessary. When respondent has answered all questions (A-G), ask 6.3.4 and 6.3.5.

6.3		6.3.1	6.3.2	6.3.3	6.3.4	6.3.5
		00=No, 01=Yes, 77=NK IF NO, SKIP TO NEXT EVENT	Who was affected by this event? (e.g. what households and villages) (ENTER CODE FROM CODE BOX # 22)	What did your household do in response to this event? You can choose up to 3 responses but list in order of importance. (ENTER CODE FROM CODE BOX # 23)	FIELDWORKER: After all the events are entered, Ask: Which of all of these events were the 3 most important? 01 = Most important, 02=Second most important, 03=Third most important	Did these 3 important events have an impact on NAME? 00=No, 01=Yes, 77=NK
	(SPECEV45) (SPECEV46)	(EVENT)	(WIDESPRD)	(RESP1) (RESP2) (RESP3)	(EVRANK)	(IMPACT)
A	SAY: Has the household been the victim of any crimes in the past 4 years? (e.g. theft, vandalism) If yes, What were these? Prompt for the listed events. If no, enter <i>OO</i> next to all events, and skip to B					
01	Destruction or theft of tools or inputs for production	[___]	[___]	[___] [___] [___]	[___]	[___]
02	Theft of cash	[___]	[___]	[___] [___] [___]	[___]	[___]
03	Theft of crops	[___]	[___]	[___] [___] [___]	[___]	[___]
04	Theft of livestock	[___]	[___]	[___] [___] [___]	[___]	[___]
05	Theft or destruction of housing or consumer goods	[___]	[___]	[___] [___] [___]	[___]	[___]
06	Crime resulting in death or disablement of	[___]	[___]	[___] [___] [___]	[___]	[___]

6.3		6.3.1	6.3.2	6.3.3	6.3.4	6.3.5
	working adult household member					
B	<p>SAY: Have any regulations or actions had a negative impact on the household in the past 4 years? (e.g. land redistribution, forced eviction or movement, restrictions on movement or forced contributions) If yes, What were these? Prompt for the listed events. If no, enter OO next to all events, skip to C</p>					
07	Land redistribution in the PA	[___]	[___]	[___] [___] [___]	[___]	[___]
08	Resettlement, villagisation or forced migration	[___]	[___]	[___] [___] [___]	[___]	[___]
09	Ban or restrictions on migration	[___]	[___]	[___] [___] [___]	[___]	[___]
10	Forced contributions or arbitrary taxation or protection money	[___]	[___]	[___] [___] [___]	[___]	[___]
11	Eviction	[___]	[___]	[___] [___] [___]	[___]	[___]
C	<p>SAY: Have any changes to economic conditions affected the household in the last 4 years (e.g. changes to prices, employment, asset disputes) If yes, What were these? Prompt for the listed events. If no, enter OO next to all events, skip to D</p>					
12	Large increase in input prices	[___]	[___]	[___] [___] [___]	[___]	[___]
13	Large decrease in output prices	[___]	[___]	[___] [___] [___]	[___]	[___]
14	Livestock died	[___]	[___]	[___] [___] [___]	[___]	[___]
15	Place of employment shut down/destroyed	[___]	[___]	[___] [___] [___]	[___]	[___]
16	Job loss/source of income/family enterprise	[___]	[___]	[___] [___] [___]	[___]	[___]
17	Industrial action	[___]	[___]	[___] [___] [___]	[___]	[___]

6.3		6.3.1	6.3.2	6.3.3	6.3.4	6.3.5
18	Contract disputes (e.g. default, disagreement regarding prices or quantities) regarding purchased inputs	[___]	[___]	[___] [___] [___]	[___]	[___]
19	Contract disputes (e.g. default, disagreement regarding prices or quantities) regarding sale of output	[___]	[___]	[___] [___] [___]	[___]	[___]
20	Credit source disbanded	[___]	[___]	[___] [___] [___]	[___]	[___]
21	Confiscation of assets	[___]	[___]	[___] [___] [___]	[___]	[___]
22	Disputes with extended family members regarding land or assets	[___]	[___]	[___] [___] [___]	[___]	[___]
23	Disputes with neighbours/PA members regarding land or assets	[___]	[___]	[___] [___] [___]	[___]	[___]
D	SAY: Have you experienced any natural disasters in the last 4 years? For example, weather extremes, changes in land conditions, pests or diseases that may have affected your crops and livestock? If yes, What were these? Prompt for the listed events. If no, enter <i>OO</i> next to all events, skip to E					
24	Drought	[___]	[___]	[___] [___] [___]	[___]	[___]
25	Too much rain or flood	[___]	[___]	[___] [___] [___]	[___]	[___]
26	Erosion	[___]	[___]	[___] [___] [___]	[___]	[___]
27	Frosts or hailstorm	[___]	[___]	[___] [___] [___]	[___]	[___]
28	Pests or diseases that affected crops	[___]	[___]	[___] [___] [___]	[___]	[___]

6.3		6.3.1	6.3.2	6.3.3	6.3.4	6.3.5
	before they were harvested					
29	Crops failed	[___]	[___]	[___] [___] [___]	[___]	[___]
30	Pests or diseases that led to storage losses	[___]	[___]	[___] [___] [___]	[___]	[___]
31	Pests or diseases that affected livestock	[___]	[___]	[___] [___] [___]	[___]	[___]
E	SAY: Has anything happened in the last 4 years that has affected the building you live in? (e.g. fire, collapse) If yes, What were these? Prompt for the listed events. If no, enter OO next to all events, skip to F					
32	Fire	[___]	[___]	[___] [___] [___]	[___]	[___]
33	Building collapsed	[___]	[___]	[___] [___] [___]	[___]	[___]
F	SAY: Have there been any changes within the family in the past 4 years (including births, deaths, illnesses, injuries)? Or other things that have affected members of the family? (starting school, imprisonment, conscription, divorce)? If yes, What were these? Prompt for the following events If no, enter OO next to all shocks, skip to G					
34	Death of child's father	[___]	[___]	[___] [___] [___]	[___]	[___]
35	Death of child's mother	[___]	[___]	[___] [___] [___]	[___]	[___]
36	Death of another person from the household	[___]	[___]	[___] [___] [___]	[___]	[___]
37	Illness of child's father	[___]	[___]	[___] [___] [___]	[___]	[___]
38	Illness of child's mother	[___]	[___]	[___] [___] [___]	[___]	[___]
39	Illness of other household member	[___]	[___]	[___] [___] [___]	[___]	[___]
40	Divorce, separation or	[___]	[___]	[___] [___] [___]	[___]	[___]

6.3		6.3.1	6.3.2	6.3.3	6.3.4	6.3.5
	abandonment					
41	Birth/new household member	[___]	[___]	[___] [___] [___]	[___]	[___]
42	Child's school enrolment - having to pay school fees	[___]	[___]	[___] [___] [___]	[___]	[___]
43	Imprisonment	[___]	[___]	[___] [___] [___]	[___]	[___]
44	Conscription, abduction or draft of working adult household members	[___]	[___]	[___] [___] [___]	[___]	[___]
6	SAY: Has anything else happened in the last 4 years that has affected the economic situation of your household? If yes, Specify.					
45	Other Specify: _____	[___]	[___]	[___] [___] [___]	[___]	[___]
46	Other Specify: _____	[___]	[___]	[___] [___] [___]	[___]	[___]

CODEBOX 22 - WHO AFFECTED BY EVENT	
01=Only affected my household	04=Affected this village and other villages nearby
02=Affected my household and some others in this village	05=Affected areas beyond this community
03=Affected all households in this village	77=NK

CODEBOX 23 - RESPONSE TO EVENT			
01=Ate less	06=Migrated to work/find work	12=Sent children to work	17=Used savings
02=Bought less	07=Nothing	13=Sold things	18=Worked more/start work
03=Destruction of livestock	08=Received help from the community/leaders	14=Suicide of family member	30= Received help from Government
04=Fled/moved away from the problem	09=Received help from relatives/friends	15=Took children out of school	31= Received help from NGO
05=Insurance paid	11=Sent children to be cared for by friends or relatives	16=Used credit	19=Other

SECTION 7 - SOCIO-ECONOMIC STATUS

7.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[__ __]	ID7
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SAY: Now I am going to ask some questions about the place where you live.

7.1	Does anyone in your household own the land your house is on? 00=No, 01=Yes, 77=NK	[__ __]	(OWNHOUSE)
7.2	Does anyone in your household have a mortgage on your house? 00=No, 01=Yes, 77=NK	[__ __]	(MORTGAGE)
7.3	How many rooms are there in the house? (ENTER NUMBER) 77=NK	[__ __]	(NUMROOM)
7.4	Do you have electricity? 00=No, 01=Yes, 77=NK	[__ __]	(ELEC)

7.5	FIELDWORKER: OBSERVE AND RECORD WALL <u>MAIN</u> MATERIAL: (ENTER CODE FROM CODE BOX #24)	[_ _]	(WALL)
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CODEBOX 24 - WALL MATERIAL			
01= Adobe/mud	05=Fibreboard/Chipboard	09=Mud & stones	13=Quincha
02=Bamboo	06= Galvanised iron	10=Neohouzealla wattle	14=Stone
03= Brick/concrete	07= Matting	11=Nipa leaves	15= Wood/branches
04=Cement bags	08=Mud & bricks	12=Plastic sheet	16=Other

7.6	FIELDWORKER: OBSERVE and RECORD ROOF <u>MAIN</u> MATERIAL: (ENTER CODE FROM CODE BOX #25)	[_ _]	(ROOF)
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CODEBOX 25 - ROOF MATERIAL			
01=AC Roofing sheets	06= Galvanised iron	11= Straw/thatch	16=Wood & mud
02=Asbestos sheets	07=Grass	12=Sugar leaves	17= Wood & stones
03=Bamboo	08=Nipa leaves	13=Tar slabs	18=Wood/planks
04= Concrete/cement	09=Palm leaves	14=Thuthuri sticks	19=Other
05= Earth/mud	10=Plastic sheet	15=Tiles/slates	

7.7	FIELDWORKER: OBSERVE and RECORD FLOOR <u>MAIN</u> MATERIAL: (ENTER CODE FROM CODE BOX #26)	[_ _]	(FLOOR)
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CODEBOX 26 - FLOOR MATERIAL		
01= Cement/tile	05=Granite stone	09= Stone/brick
02=Cinder	06= Laminated material	10=Tar slabs
03= Concrete/cement	07=Marble stone	11= Wood
04= Earth	08=Polished stone	12=Other

7.8	What is the main source of drinking water for members of your household? (ENTER CODE FROM CODE BOX #27)	[__ __]	(DRWATER)
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CODEBOX 27 - SOURCE OF DRINKING WATER		
01=Bore well	06=Protected spring water	11= Unprotected well/spring/pond/river/stream/canal
02=Bought water (delivery or bottled)	07=Protected well	12=Unprotected water tank
03= Piped into dwelling/yard/plot	08= Public standpipe/tubewell	13=Water tank (community/protected)
04=Piped into neighbours dwelling/yard/plot	09=Rain water	14=Other
05=Piped into relatives' dwelling/yard/plot	10= Tubewell in dwelling/yard/plot	

7.9	What kind of toilet facility does your household use? (ENTER CODE FROM CODE BOX #28)	[___]	(TOILET)
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CODEBOX 28 - TOILET FACILITY			
01= Flush toilet/septic tank	04= None	07=Relatives	
02=Forest/field/open place	05= Pit latrine (communal)	08=Simple latrine on pond	
03=Neighbours	06= Pit latrine (household's)	09=Toilet in health post	

7.10	What is the main type of fuel you use for cooking? (ENTER CODE FROM CODE BOX #29)	[___]	(COOKING)
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CODEBOX 29 - FUEL FOR COOKING			
01=Bamboo	05=Coal	09=Kerosene/paraffin	13=Shavings/sawdust
02=Bio-gas	06=Cow dung	10=Leaves	14=Straw/dead plants
03=Branches	07=Crop residue	11=None	
04=Charcoal	08=Gas/electricity	12=Rice husk	16=Wood

7.11	Is heating used in your household? 00=No, 01=Yes, 77=NK If No or NK ➤ skip to 7.13	[___]	(HEATUSED)
7.12	What is the main type of fuel you usually use for heating? (ENTER CODE FROM CODE BOX #30)	[___]	(HEATING)

CODEBOX 30 - FUEL FOR HEATING			
01=Bamboo	05=Coal	09=Kerosene/paraffin	13=Shavings/sawdust

02=Bio-gas	06=Cow dung	10=Leaves	14=Straw/dead plants
03=Branches	07=Crop residue	11=None	
04=Charcoal	08=Gas/electricity	12=Rice husk	16=Wood

SAY: I am now going to ask you whether the household owns specific items.

FIELDWORKER: Ask about each item. Then ask which five are most valuable and for these five, ask for the value

7.13	Item	7.13.1 Does anyone in the household own this item? 01=Yes, 00=No, 77=NK If No or NK, SKIP to next item.		7.13.2 How many [NAME ITEM] does the household own? (Enter number, 00=none, 77=NK)		7.13.3 Is this one of the five most valuable things you own from this list? 00=No 01=Yes		7.13.4 If one of the five most valuable: If you were to sell this item, how much do you think they would fetch? (in VND) 77=NK, 88=N/A	
01	Working tractor	[___]	(TRACTOR)	[___]	(NUMTRAC)	[___]	(VAL5TRAC)	[_____]	(SELLTRAC)
02	Farm equipment (pump, plough etc)	[___]	(PUMP)	[___]	(NUMPUMP)	[___]	(VAL5PUMP)	[_____]	(SELLPUMP)
03	Working sewing machine	[___]	(SEWING)	[___]	(NUMSEW)	[___]	(VAL5SEW)	[_____]	(SELLSEW)
04	Working television	[___]	(TV)	[___]	(NUMTV)	[___]	(VAL5TV)	[_____]	(SELLTV)
05	Working radio	[___]	(RADIO)	[___]	(NUMRADI)	[___]	(VAL5RADI)	[_____]	(SELLRADI)
06	Working car/ truck/ automobile	[___]	(CAR)	[___]	(NUMCAR)	[___]	(VAL5CAR)	[_____]	(SELLCAR)
07	Working motorbike/scooter	[___]	(MOTOR)	[___]	(NUMMOTO)	[___]	(VAL5MOTO)	[_____]	(SELLMOTO)
08	Working bicycle	[___]	(BIKE)	[___]	(NUMBIKE)	[___]	(VAL5BIKE)	[_____]	(SELLBIKE)
09	Working landline	[___]	(PHONE)	[___]	(NUMPHON)	[___]	(VAL5PHON)	[_____]	(SELLPHON)

	telephone								
10	Working mobile/cell telephone	[___]	(MOBPHONE)	[___]	(NUMMOBP)	[___]	(VAL5MOBP)	[_____]	(SELLMOBP)
11	Working refrigerator	[___]	(FRIDGE)	[___]	(NUMFRID)	[___]	(VAL5FRID)	[_____]	(SELLFRID)
12	Electric oven/mitad	[___]	(MITAD)	[___]	(NUMMITA)	[___]	(VAL5MITA)	[_____]	(SELLMITA)
13	Table & chair	[___]	(TABCHAIR)	[___]	(NUMTABC)	[___]	(VAL5TABC)	[_____]	(SELLTABC)
14	Sofa	[___]	(SOFA)	[___]	(NUMSOFA)	[___]	(VAL5SOFA)	[_____]	(SELLSOFA)
15	Working Fan	[___]	(FAN)	[___]	(NUMFAN)	[___]	(VAL5FAN)	[_____]	(SELLFAN)
16	Bedstead	[___]	(BEDSTED)	[___]	(NUMBEDS)	[___]	(VAL5BEDS)	[_____]	(SELLBEDS)

7.14	Have you invested in the improvement of your dwelling in the last 4 years? 00=No, 01=Yes, 77=NK (If No, skip to Section 8)	[___]	(INVEST)
7.14.1	If yes, what have you invested in? (Enter up to three codes from Code Box #31)	[___]	(INVEST1)
		[___]	(INVEST2)
		[___]	(INVEST3)

CODEBOX 31 - DWELLING IMPROVEMENT		
<i>01=New/renovated bedrooms</i>	<i>06=Improved wall finish</i>	<i>11=Sanitation</i>
<i>02=New/renovated kitchen</i>	<i>07=Improved roof cover</i>	<i>12=Water supply</i>
<i>03=New/renovated bathroom</i>	<i>08=Rebuild dwelling</i>	<i>13=Other</i>
<i>04=New/renovated living room</i>	<i>09=Electric re-wiring</i>	
<i>05=Improved floor</i>	<i>10=Central heating/gas servicing</i>	

SECTION 8 - CHILD CARE, EDUCATION AND ACTIVITIES

This section does not exist for caregivers of 12 year old children.

SECTION 9: CHILD HEALTH

9.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[___]	ID9
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SAY: Now I am going to ask you some questions about NAME's health.

9.1	Compared to other children of this age would you say NAME's health is the same, better or worse? 01=Same, 02=Better, 03=Worse, 77=NK	[___]	(HEALTHY)
9.2	In the last 3 years has NAME had one or more serious illnesses or injuries when you really thought s/he might die? 00=No, 01=Yes, 77=NK If No or NK, > Skip to 9.5	[___]	(MIGHTDIE)

9.3	If NAME had one or more serious illnesses, what were the illnesses? IF NO ILLNESSES > SKIP TO 9.4.				
	9.3.2	9.3.3	9.3.4	9.3.5	9.3.6
Illness number	Illness (ENTER CODE FROM CODE BOX #32)	Did you seek treatment or advice for this illness at any point? 00=No, 01=Yes, 77=NK, If No or NK (77), > skip to next illness	From where did you seek this treatment/advice (if more than one put in order of attendance)? (ENTER CODE FROM CODE BOX #33)	Did you have to pay for any of this treatment? 00=No, 01=Yes, 77=NK, 88=N/A	How long did it take you to get to the first treatment place? 01=less than 30 minutes, 02=less than 1 hour 03=less than 2 hours 04=more than 2 hours 77=NK, 88=N/A
(ILLID)	(ILLDIE)	(ILLTRT)	(TRTILL1) (TRTILL2) (TRTILL3)	(PAYILL)	(DISILL)
01	[___]	[___]	[___] [___] [___]	[___]	[___]
02	[___]	[___]	[___] [___] [___]	[___]	[___]
03	[___]	[___]	[___] [___] [___]	[___]	[___]

CODEBOX 32 - SERIOUS ILLNESS			
01=Malaria/High fever	08=Hepatitis	15=Brain tumour	22=Parasitic infection/worms
02=Pneumonia	09=Tuberculosis	16=Other tumour	23=Nose bleed
03=Diarrhoea & vomiting	10=Epilepsy	17=Heart problem	24=Leach
04=Asthma/respiratory problem	11=Influenza	18= Skin diseases	25=Midge (general name for a number of diseases)
05=Malnutrition	12=Typhoid	19=Problems with drugs and medicines	26=Allergies
06=Anaemia	13=HIV/AIDS	20=Fatigue	
07=Dengue fever	14=Other Infectious disease	21=Migraine	

CODEBOX 33 - TREATMENT FACILITIES			
GOVERNMENT	NON-GOVERNMENT (NGO)	12=Pharmacy	19=Self-medication
01=Hospital	07=Health facility	13=RMP/PMP 14Other private	20=Faith-healer/counsellor
02=Health Centre	08=Community-based outlet	OTHER SOURCE	21=Other
03=Health station/clinic	09=Other NGO	15=Drug vendor	
04=Health post	PRIVATE	16=Shop	
05=Community-based outlet	10=Private hospital	17=Relative/friend	
06=Other government	11=Private doctor/clinic	18=Herbalist/traditional healer	

9.4 If they had one or more serious injuries, what were the injuries? If no injuries then skip to 9.5						
	9.4.1	9.4.2	9.4.3	9.4.4	9.4.5	9.4.6
Injury number	Injury (ENTER CODE FROM CODE BOX # 34)	What was the cause of this injury? (ENTER CODE FROM CODE BOX # 35)	Did you seek treatment or advice for this injury at any point? 00=No, 01=Yes, 77=NK IF NO, > SKIP TO NEXT INJURY	From where did you seek this treatment/advice (if more than one put in order of attendance)? (ENTER CODE FROM CODE BOX # 33)	Did you have to pay for any of this treatment? 00=No, 01=Yes, 77=NK	How long did it take you to get to the first treatment place? 01=Less than 30 minutes, 02=Less than one hour, 03=Less than two hours, 04 More than two hours, 77=NK
(INJID)	(INJURY)	(INJCAUSE)	(INJTRT)	(TRTINJ1) (TRTINJ2) (TRTINJ3)	(PAYINJ)	(DISINJ)
01	[___]		[___]	[___][___][___]	[___]	[___]
02	[___]		[___]	[___][___][___]	[___]	[___]
03	[___]		[___]	[___][___][___]	[___]	[___]

CODEBOX 34 - SERIOUS INJURIES		
01= Brain injury	06= Eye/ sight loss	11= Other
02= Broken bone	07=Hearing loss	
03= Burn	08= Poisoning	
04=Cut/ laceration	09= Swollen muscles/ bruising	
05= Concussion	10= Teeth loss	

CODEBOX 35 - INJURY CAUSES		
01= Accident while working outside dwelling	06= Fire related incident	11= Victim of physical assault by adult
02= Accident while working in dwelling	07= Food poisoning	12= Other
03= Animal/ insect/snake bite	08= Kicked by animal	
04= Driving accident (in vehicle)	09= Problems caused by drugs and medicines	
05= Fighting with other children	10= Traffic (e.g. hit by car in road)	

9.5	Does 'NAME' have any long term health problems that affect their daily life? 00=No, 01=Yes, 77=NK If No or NK(77), > Skip to 9.7	[___]	(LONGTERM)
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9.6	What are these long term health problems?		
	9.6.1	9.6.2	9.6.3
	Health problem (ENTER CODE FROM CODE BOX # 36)	How much treatment has NAME had in the last year? 00=None, 01=Once a year, 02=Monthly, 03=Weekly, 04=Daily, 77=NK, 88=NA If None (00), > Skip to next health problem	In the past 12 months, how much has the household spent on treatment for this health problem?
(HEALTHID)	(HEALTH)	(HTHTRT)	(TREATEXP)
01	[___]	[___]	[_____ • ___]
02	[___]	[___]	[_____ • ___]
03	[___]	[___]	[_____ • ___]

CODEBOX 36 - LONG TERM HEALTH PROBLEMS			
01=Physical disability	06=Skin problems/Eczema	11=Sight problems	16=Congenital illness
02=Mental disability	07=Anaemia	12=Speech problems	17=Other
03=Fits/Epilepsy	08=HIV/AIDS	13=Allergies	

04=Asthma/respiratory problem	09=Digestive problems	14=Tooth decay	
05=Malnutrition	10=Hearing problems	15=Ear ache	

9.7	Now I am going to ask you some questions about NAME's ability to do certain things. Please describe how easy or difficult the following actions are for NAME (PROMPT IF NECESSARY)	01=Easily, 02=With difficulty, 03=Not at all, 77=Not known	
01	FIELDWORKER OBSERVE IF NAME IS PRESENT: Can NAME walk?	[___]	(DISAB01)
02	Can NAME see as well as other children his/her age?	[___]	(DISAB02)
03	Can NAME hear as well as other children his/her age?	[___]	(DISAB03)
04	Can NAME understand what you are saying as well as other children his/her age?	[___]	(DISAB04)
05	Can NAME move their arms and legs freely?	[___]	(DISAB05)
06	Can NAME learn to do things like other children his/her age?	[___]	(DISAB06)
07	Can NAME speak like other children his/her age?	[___]	(DISAB07)
08	Can NAME feed themselves as well as other children his/her age?	[___]	(DISAB08)
09	Can NAME make friends with other children?	[___]	(DISAB09)

9.8	Does NAME have a vaccination card? 00=No, 01=Yes, 77=NK	[___]	(VACCARD)
9.9	Has NAME ever had any of the following immunizations?	00=No, 01=Yes, 77=NK	
	BCG	[___]	(BCG)
	Measles	[___]	(MEASLES)
	DPT (3 courses)	[___]	(DPT)
	OPV (polio)	[___]	(OPV)
	HIB	[___]	(HIB)

9.10	Food frequency: During the previous 24-hour period (or when child was last well), did NAME consume:	00=No, 01=Yes, 77=NK	
01	Any food before a morning meal	[___]	(FOODFRQ1)
02	A morning meal	[___]	(FOODFRQ2)
03	Any food between morning and midday meals	[___]	(FOODFRQ3)
04	A midday meal	[___]	(FOODFRQ4)
05	Any food between midday and evening meals	[___]	(FOODFRQ5)
06	An evening meal	[___]	(FOODFRQ6)
07	Any food after the evening meal	[___]	(FOODFRQ7)
	Total added automatically do not fill	[___]	(FOODTOT)

FIELDWORKER: ESTABLISH FIRST IF CHILD IS VEGETARIAN. IF YES PUT 88 IN # 06, #07.

9.11	During the previous 24-hour period did NAME consume any of the following		
		Did NAME consume item? 00=No, 01=Yes, 77=NK, 88=N/A	
01	Cereals (maize, rice, bread)	[___]	(FDDIV01)
02	Roots/Tubers (potato, cassava)	[___]	(FDDIV02)
03	Legumes (lentils, beans, peas) + nuts	[___]	(FDDIV03)
04	Milk/Milk Products (milk, yogurt, cheese)	[___]	(FDDIV04)
05	Eggs	[___]	(FDDIV05)
06	Meat/offal	[___]	(FDDIV06)
07	Fish/Seafood	[___]	(FDDIV07)
08	Oil/Fat (butter, vegetable oil, palm oil)	[___]	(FDDIV08)
09	Sugar/Honey	[___]	(FDDIV09)
10	Fruits (banana, orange, mango)	[___]	(FDDIV10)
11	Vegetables (spinach, onion, carrot)	[___]	(FDDIV11)
	Total added automatically do not fill	[___]	(FDDIVTOT)

NOTE: Substitute local foods as examples in each food group. Record the total number of food groups consumed by the child.

SAY: Now I am going to ask you about eating patterns within the household.

9.12	Has the household had any food shortages in the last 12 months? 00=No, 01=Yes, 77=NK, If no, skip to section 10.	[___]	(FOODSHRT)
9.13	9.13.1 In times of food shortage, does anyone in the household do the following: 00=No, 01=Yes, 77=NK, 88=NA If No to all, skip to section 10.		9.13.2 If yes, who does this affect most? (INSERT CODES FROM CODEBOX # 37)
01	Eating foods that are less preferred?	[___]	(FOODPREF) [___] (FDPREFID)
02	Limiting portion size?	[___]	(PORTION) [___] (PORTID)
03	Skipping meals?	[___]	(SKIPMEAL) [___] (SKIPMLID)
04	Skipping eating for a whole day?	[___]	(SKIPDAY) [___] (SKIPDYID)
05	Borrowing food or money to buy food?	[___]	(BORROW) [___] (BORROWID)
06	Is anybody in the house forfeiting their meals for other household members?	[___]	(BUFFER) [___] (BUFFERID)

CODEBOX 37 - FAMILY COMBINATIONS		
<i>01=Family</i>	<i>05=All males</i>	<i>09=Girls</i>
<i>02=All adults</i>	<i>06=Other</i>	<i>10=Boys</i>
<i>03=All children</i>	<i>07=Adult male</i>	<i>11=Adult male + girls</i>
<i>04=All females</i>	<i>08=Adult female</i>	<i>12=Adult female + boys</i>

SECTION 10 - ANTHROPOMETRY

10.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[___]	ID10
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SAY: Now I am going to weigh and measure 'NAME'. First I want to ask you what you think about 'NAME'S' height and weight.

10.1	Compared to other children of this age would you say 'NAME's' weight is the same, heavier or lighter? 01=Same, 02=Heavier, 03=Lighter, 77=NK	[___]	(COMPWEIG)
10.2	Compared to other children of this age would you say 'NAME's' height is the same, taller or shorter? 01=Same, 02=Taller, 03=Shorter, 77=NK	[___]	(COMPHEAL)

SAY: I have to use XXXX to make sure the measurements are right, this won't hurt. I am going to ask you to XXXX.

MAKE SURE THE CHILD IS WEARING ONLY LIGHT CLOTHES

10.3	SCALE CHECKED (TICK)?	[]	(SCALTCK1)
10.4	First child weight TO NEAREST 0.1 KG	[___ .__]	(CHWT1)
10.5	Second child weight TO NEAREST 0.1 KG?	[___ .__]	(CHWT2)
10.6	Agreed child weight TO NEAREST 0.1 KG?	[___ .__]	(CHWEIGHT)
10.7	First child height MEASURE TO NEAREST 0.1 CM	[_____ .__]	(CHHT1)
10.8	Second child height MEASURE TO NEAREST 0.1 CM	[_____ .__]	(CHHT2)
10.9	Agreed child height MEASURE TO NEAREST 0.1 CM	[_____ .__]	(CHHEIGHT)
10.10	Why was child not measured? 01 = Child not present, 02 = Caretaker refused, 03 = Child ill, 04 = Child refused, 05 = Other, 77 = NK, 88=N/A (child measured)	[___]	(NOTMEAS)

SAY: Now I would like to record your [the mother's] measurements. I have to use XXXX to make sure the measurements are right, this won't hurt. I am going to ask you to XXXX.

MAKE SURE THE MOTHER IS WEARING ONLY LIGHT CLOTHES

10.11	SCALE CHECKED (TICK)?	[]	(SCALTCK2)
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10.12	First maternal weight TO NEAREST 0.1 KG	[___ .__]	(MTWT1)
10.13	Second maternal weight TO NEAREST 0.1 KG?	[___ .__]	(MTWT2)
10.14	Agreed maternal weight TO NEAREST 0.1 KG?	[___ .__]	(MTWEIGHT)
10.15	First maternal length MEASURE TO NEAREST 0.1 CM	[____ .__]	(MHT1)
10.16	Second maternal length MEASURE TO NEAREST 0.1 CM	[____ .__]	(MHT2)
10.17	Agreed maternal length MEASURE TO NEAREST 0.1 CM	[____ .__]	(MHEIGHT)
10.18	Why was the mother not measured? 01 = Mother not present, 02 = Mother refused, 03 = Mother ill, 04 = Other, 77 = NK, 88=N/A (mother measured)	[___]	(NOMEASMT)

SECTION 11 - CAREGIVER PERCEPTIONS AND ATTITUDES

FIELDWORKERS: The respondent for this section must be the primary caregiver.

11.0	ID of respondent for this section (identify the respondent for this section using the ID from the household roster)	[_ _]	ID11
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SAY: I am going to read some things that people sometimes say, think or feel. Please think about whether it sounds like something you might say, think or feel. I want you to choose one of these four cards. If it sounds like something you might say, think or feel, please choose one of the ticks (SHOW TICK CARDS). If it sounds a lot like you, please choose the big tick (SHOW BIG TICK=01). If it sounds a bit like you, please choose the small tick (SHOW SMALL TICK=02). If it doesn't sound like something you would say, think or feel, choose one of the crosses (SHOW CROSSES). If it sounds very unlike, or the opposite, of you, please choose the big cross (SHOW BIG CROSS=04). If it doesn't really sound like you, please choose the small cross (SHOW SMALL CROSS=03). We will do a few practise questions first (**FIELDWORKER: DO PRACTISE QUESTIONS UNTIL RESPONDENT UNDERSTANDS TASK - UP TO 3 TIMES**).

Say: 'I like rice'
 'I can run fast'
 'I like cats'

SAY: I am going to read you some things that people sometimes say, think or feel. I want you to think whether it is something that you might say, think or feel. First, I want you to think about the area in which you live.

11.1		Scale response (01-04), 77=NK	
01	The nearest primary school provides a good quality education for children [+]	[_ _]	(CSV1)
02	The nearest health centre provides a good quality health service for children [+]	[_ _]	(CSV2)
03	The local police do their job well [+]	[_ _]	(CSV3)
04	People in this community can affect local government decisions that matter for people's lives [+]	[_ _]	(CSV4)
05	Most people in this community are basically honest [+]	[_ _]	(CSV5)
06	I believe the government does what is right for people like me [+]	[_ _]	(CTR1)
07	I am confident of the ability of government officials to do their job [+]	[_ _]	(CTR2)
08	I feel I can trust my neighbours to look after my house if I am away [+]	[_ _]	(CTR4)
09	I think it is safe for NAME to go out on the street on their own [+]	[_ _]	(CTR6)

SAY: Now, I want you to think about other aspects of your life.

11.2		Scale response (01-04), 77=NK	
01	If I try hard, I can improve my situation in life [+]	[___]	(CAG1)
02	I feel proud to show my friends or other visitors where I live [+]	[___]	(CPS1)
03	I like to make plans for my future [+]	[___]	(CAG2)
04	I am ashamed of my clothes	[___]	(CPS2)
05	I feel proud of the job done by my [INSERT HOUSEHOLD HEAD'S RELATIONSHIP TO CAREGIVER] [+]	[___]	(CPS3)
06	I had no choice about which school to send NAME to	[___]	(CAG3)
07	When I am at shops/market I am usually treated by others with fairness and with respect [+]	[___]	(CSD1)
09	The job I do makes me feel proud [+]	[___]	(CPS4)
10	If NAME gets really sick, I can do little to help them get better	[___]	(CAG4)
11	Other people in my STREET/VILLAGE look down on me and my family	[___]	(CSD2)
12	I feel proud of my children [+]	[___]	(CPS5)

FIELDWORKER CHECK: IS NAME IN SCHOOL? IF SO, ASK 11.2.13-11.2.14. IF NO, > SKIP TO 11.3.

11.2			
13	My children's teachers are unfriendly or rude to me	[___]	(CSD3)
14	I can do little to help NAME do well in school, no matter how hard I try	[___]	(CAG5)

SHOW LADDER/PICTURE OF LADDER

11.3	There are nine steps on this ladder. Suppose we say that the ninth step, at the very top, represents the best possible life for you and the bottom represents the worst possible life for you. Where on the ladder do you feel you personally stand at the present time? (RECORD STEP NUMBER 01-09, 77=NK, 88=NA)	[___]	(LADDER)
11.4	Where do you think you will be on the ladder in four years from now? (RECORD STEP NUMBER 01-09, 77=NK, 88=NA)	[___]	(FARLAD)
11.5	(If answer to 11.3 is 09, skip to 11.6)		

	What would help you move up the ladder? (ENTER CODE FROM CODE BOX # 38). You are allowed up to three answers but please give the most important one first. If answer to 11.3 is 09, answer 88=NA.
	(HLPMOVE1) (HLPMOVE2) (HLPMOVE3)
01	[___]
02	[___]
03	[___]
11.6	(If answer to 11.3 is 01, skip to 11.7) What would cause you to move down the ladder? (ENTER CODE FROM CODE BOX # 39). You are allowed up to three answers but please give the most important one first. If answer to 11.3 is 01, insert 88=NA)
	(HLPDOWN1) (HLPDOWN2) (HLPDOWN3)
01	[___]
02	[___]
03	[___]

CODEBOX 38 - HELP MOVING UP THE LADDER			
01=Making more money	07=Improve my existing skills	13= Better/more government loan facilities	19=Gambling/winning the lottery
02=My children's education	08=Learn new skills	14= Learn to do things by themselves /not be dependent on others	20== Support of NGOs/other organisations
03=Leaving this town/migrating	09= Send children to a hostel for education	15= Work harder (additional work)	21=Rise in prices
04=Good luck/God's blessing	10= Become a member of a local organisation	16= Good harvest	22=Better credit facilities
05=Government (local or national) giving me opportunities such as FFW, Cash for work, Food aid	11= Support of husband	17= Job security (continuous work)	23=Receiving remittances
06=Better health status	12= Support of family	18= Better job	24= Other

CODEBOX 39 - REASONS FOR MOVING DOWN LADDER			
01=Making less money	08=Can't find steady work	15=Children leaving home	22=No support from NGOs/other organisations
02=Poor credit facilities	09=Job is poor	16=Children poorly educated	23=Have to end education early
03=Poor government loan facilities	10=Can't improve skills	17=Death/illness of household member	
04=Government (local or national) denying opportunities such as FFW, Cash for work, Food aid	11=Poor harvest	18=No support from family	
05=Poor health status	12=Too dependent on others	19=No support from spouse	
06= Gambling debts	13=Not receiving remittances	20=Marriage	30= Other
07=Rise/fall in prices	14==Leaving this town/migrating	21=Bad luck/Don't have God's blessing	77=NK

SAY: I am now going to ask you your opinion on various issues. There are no right and wrong answers; I just want to know what you think.

11.7	Imagine that a family in the VILLAGE/SUBURB has a 12 year old son who is attending school full-time. The family badly needs to increase the household income, one option is to send the son to work but the son wants to stay in school. What should the family do? 01=Make child leave school, 02=Let child stay in school, 03=Other, 77=Don't know	[__]	(FAMSON)
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FIELDWORKER CHECK RESPONSE TO QUESTION 2.1.1: DID RESPONDENT GO TO SCHOOL? IF YES, ASK QUESTION 11.8. IF NO, ASK QUESTION 11.9 (DO NOT ANSWER BOTH 11.8 AND 11.9. GO TO 11.10 AFTER EITHER ANSWER)

11.8	Do you think that formal schooling has been useful in your life? 00=No, it has not been useful, 01=Yes, it is essential, 02=Yes, but it is not essential, 77=NK >SKIP TO 11.10	[__]	(SCUSEFUL)
11.9	Do you think that formal schooling would have been useful to you in your life? 00=No, it is not useful, 01=Yes, it is essential, 02=Yes, but it is not essential, 77=NK	[__]	(NSCUSE)
11.10	When NAME is about 20 years old, what job do you think s/he will be doing? (ENTER CODE FROM CODE BOX # 40 - Do not prompt)	[__]	(CFUTURJB)

CODEBOX 40 - EXPECTATION/AMBITION FOR CHILD			
01=Accountant	12=Domestic Worker	23=Mason	34=Sportsman/woman
02=Actor/actress	13=Driver	24=Mechanic	35=Tailor
03=Artist	14=Engineer	25=Nurse	36=Taxi Driver
04=Civil servant	15=Farmer	26=Painter/decorator	37=Teacher
05=Computer operator	16=Fireman/woman	27=Pilot	38=Trader/businessman/woman
06=Conductor	17=Fisherman	28=Policeman/woman	39=Traditional occupation
07=Construction worker	18=Fulltime parent/Housewife	29=Politician	40=University Student
08=Cook	19=Labourer	30=President/leader of country	41=Vet
09=Dentist	20=Lawyer	31=Scientist	42=Other
10=District collector	21=Lecturer	32=Singer	
11=Doctor	22=Market Trader/shop assistant/	33=Soldier	

FIELDWORKER CHECK: IS NAME IN SCHOOL? IF YES, ASK Q11.11-Q11.13. IF NO> SKIP TO 11.14.

11.11	Ideally what level of formal education would you like NAME to complete? (Enter grade 01 to 12, 13=Post-secondary, vocational, 14=University, 28=Adult literacy, 29=Religious education, 00=None, 77=NK, 88=NA)	[___]	(GRADLIKE)
11.12	Do you expect NAME will reach that level of education? 00=No, 01=Yes, 77=NK, 88=NA IF YES, > SKIP TO 11.14	[___]	(EXPGRADE)
11.13	What are main reasons NAME is most likely to drop out of school early? You can give up to three answers but please give the most important one first. (ENTER CODES FROM CODE BOX # 41 - DO NOT PROMPT) 88=NA	[___] [___] [___]	(YDROPOT1) (YDROPOT2) (YDROPOT3)

CODEBOX 41 - REASONS MAY LEAVE SCHOOL EARLY

<i>01= Fees will be too expensive</i>	<i>08= Truancy, lack of interest</i>	<i>15= Bullying/abuse from peers</i>	<i>22= It won't be appropriate for girls to carry on</i>
<i>02= Books and/or other supplies will be too expensive</i>	<i>09= May be banned from school for behaviour reasons</i>	<i>16= Ill-treatment/abuse from teachers/principal</i>	<i>23= Marriage</i>
<i>03= Shoes/Clothes/Uniform for school will be too expensive</i>	<i>10= May be banned from school because away for too long</i>	<i>17= No need for schooling for future job.</i>	<i>24= Disability, Illness</i>
<i>04= Transport will be too expensive</i>	<i>11= May be banned from school because failed to achieve necessary grade/level at school</i>	<i>18= Need to learn a trade/skill, e.g. through apprenticeship so will need to go to work</i>	<i>25= Stigma and Discrimination (child will not be welcome because of ethnic group/caste/socioeconomic group etc).</i>
<i>05= May move further from school</i>	<i>12= Quality of education at school (teaching and learning) may be poor</i>	<i>19= Will need to do paid work to earn money (including agricultural work other than for household)</i>	<i>26= Family member may become ill/disabled/elderly (including care for this family member)</i>
<i>06= Will become unsafe to travel to school</i>	<i>13= Quality of care (food, non-educational care) may be poor</i>	<i>20= Will need to stay home to look after siblings</i>	<i>27= Other</i>
<i>07= Lack of transport</i>	<i>14= Lack of sanitation facilities at school will become a problem</i>	<i>21= Will need to stay home for domestic and/or agricultural work (include chores, farm work, harvest)</i>	

11.14	What do you think would be the ideal number of sons for you to have or to have had? (ENTER NUMBER, 77=NK, 80=No preference)	[___]	(NUMSON)
11.15	What do you think would be the ideal number of daughters for you to have or to have had? (ENTER NUMBER, 77=NK, 80=No preference)	[___]	(NUMDTR)
11.16	Imagine that a family in the VILLAGE/SUBURB has a 12 year old daughter who is attending school full-time. The family badly needs to increase the household income, and one option is to send the daughter to work but the daughter wants to stay in school. What should the family do? 01=Make child leave school, 02=Let child stay in school, 03=Other, 77=Don't know	[___]	(FAMDTR)

11.17	I am going to read you a list of qualities that children can be encouraged to learn at home. I want you to tell me whether you think each quality is important for children to learn at home.		
		00=No, 01=Yes, 77=NK	
01	Independence	[___]	(IMPIND)
02	Hard work	[___]	(IMPHDWK)
03	Feeling of responsibility	[___]	(IMPRES)
04	Imagination	[___]	(IMPIMA)
05	Tolerance and respect for other people	[___]	(IMPTOL)
06	Thrift, saving money and things	[___]	(IMPTHRI)
07	Determination, perseverance	[___]	(IMPDET)
08	Religious faith	[___]	(IMPREL)
09	Unselfishness	[___]	(IMPUNSEL)
10	Obedience	[___]	(IMPOB)
11	Cooperation and conforming within your community	[___]	(IMPINT)
12	Respect for elders	[___]	(IMPFIL)
13	Accepting difficulties without complaint	[___]	(IMPSIS)

11.18	Of all of these qualities (listed above), which three do you think are the most important? (Fieldworker enter the number of the quality from 11.17)
	(IMPCAR1) (IMPCAR2) (IMPCAR3)

	[__ __]
	[__ __]
	[__ __]

11.19	SAY: I have a list here of reasons people may give for wanting to have children in general. Please use this scale as a guide. Think about your experience with your own (<i>child/children</i>) and tell me how important the following reasons for wanting to have children are to you personally.		
NB These items are taken from the Value of Children project and wordings MUST NOT be changed. Also, 1-11 form a scale and cannot be removed.			
		01=Not important at all, 02=Not very important, 03=moderately important, 04=important, 05=Very important, 77=NK	
01	Because having children increases your sense of responsibility and helps you to develop	[__ __]	(EPREAS1)
02	To be sure that enough children will survive to adulthood.	[__ __]	(NEREAS1)
03	Because it is fun to have young children around the house.	[__ __]	(EPREAS2)
04	To have one more person to help your family economically.	[__ __]	(NEREAS2)
05	Because of the pleasure you get from watching your children grow.	[__ __]	(EPREAS3)
06	To carry on the family name.	[__ __]	(NEREAS3)
07	Your children can help you when you're old.	[__ __]	(NEREAS4)
08	Because of the special feeling of love that develops between a parent and a child.	[__ __]	(EPREAS4)
09	Because a child helps around the house	[__ __]	(NEREAS5)
10	Because it is a duty to have children according to your beliefs/religion.	[__ __]	(NEREAS6)
11	Because raising children helps you to learn about life and yourself.	[__ __]	(EPREAS5)
12	To have a girl/another girl.	[__ __]	(INREAS1)
13	To have a boy/another boy.	[__ __]	(INREAS2)
14	Because having children brings your husband/wife and you closer together	[__ __]	(INREAS3)

11.20	SAY: Please tell me the extent to which you expect the following kinds of help from NAME when s/he is grown-up	01 = Not at all, 02 = A little, 03 = Somewhat, 04 = Quite a lot, 05 = A lot, 77=NK	
01	that s/he continues living close to you.	[__]	(GUCLOSE)
02	that s/he provides financial assistance to his/her younger brothers and sisters.	[__]	(GUFINAN)
03	that s/he helps you with housework.	[__]	(GUHELPS)
04	that s/he provides financial assistance to you.	[__]	(GUFINYOU)
05	that s/he helps you care for his/her younger siblings.	[__]	(GUCARES)
06	that s/he cares for you when you are old.	[__]	(GUOLD)
07	that s/he provides emotional support to you.	[__]	(GUEMOT)

SAY: I am now going to ask you about some of your expectations for NAME's life specifically:

11.21	At what age did NAME/do you expect NAME to:	ENTER AGE, 00=no expectation, 77=NK	
01	Start earning money to support your household?	[__]	(EXPEARN)
02	Leave full-time education?	[__]	(EXPEDU)
03	Be financially independent of HIS/HER parents?	[__]	(EXPIND)
04	Leave this household?	[__]	(EXPLEAV)
05	Get married and start living together?	[__]	(EXPMAR)
06	Have a child?	[__]	(EXPCHILD)

11.22	What job would you most like NAME to do in the future? (ENTER CODE FROM CODE BOX # 42 - Do not prompt)	[_ _]	(CAMBITN)
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CODEBOX 42 - EXPECTATION/AMBITION FOR CHILD			
01=Accountant	12=Domestic Worker	23=Mason	34=Sportsman/woman
02=Actor/actress	13=Driver	24=Mechanic	35=Tailor
03=Artist	14=Engineer	25=Nurse	36=Taxi Driver
04=Civil servant	15=Farmer	26=Painter/decorator	37=Teacher
05=Computer operator	16=Fireman/woman	27=Pilot	38=Trader/businessman/woman
06=Conductor	17=Fisherman	28=Policeman/woman	39=Traditional occupation
07=Construction worker	18=Fulltime parent/Housewife	29=Politician	40=University Student
08=Cook	19=Labourer	30=President/leader of country	41=Vet
09=Dentist	20=Lawyer	31=Scientist	42=Other
10=District collector	21=Lecturer	32=Singer	
11=Doctor	22=Market Trader/shop assistant/	33=Soldier	

Comments: Please add below any comments regarding this household